



COMMONWEALTH OF DOMINICA

MINISTRY OF PUBLIC WORKS, PUBLIC UTILITIES, AND THE DIGITAL ECONOMY

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Request for Proposals

Information Systems

Design, Supply and Installation

Procurement of:

***Design and Development of an Automated
Border Control (eGate) System***

Purchaser: **Project Implementation Unit**

Project: **Caribbean Digital Transformation Project**

Contract title: **Design and Development of an Automated Border Control (eGate) System**

Country: **Commonwealth of Dominica**

Loan No.: **IDA-66850-DM**

RFP No: **DM-MPWDE-467842-NC-RFP**

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PART 1 – REQUEST FOR PROPOSALS PROCEDURES

SECTION I - INSTRUCTIONS TO PROPOSERS (ITP)

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Section I - Instructions to Proposers

A. GENERAL

- 1. Scope of Proposal**
- 1.1. The Purchaser, as indicated in the PDS, or its duly authorized Purchasing Agent if so specified in the PDS (interchangeably referred to as “the Purchaser” issues this request for proposals document for the supply and installation of the Information System as specified in Section VII, Purchaser’s Requirements. The name, identification and number of lots (contracts) of this RFP are specified in the PDS.
- 1.2. Unless otherwise stated, throughout this request for proposals document definitions and interpretations shall be as prescribed in the Section VIII, General Conditions of Contract.

Throughout this request for proposals document:

- (a) the term “in writing” means communicated in written form (e.g. by mail, e-mail, fax, including if specified in the PDS, distributed or received through the electronic-procurement system used by the Purchaser) with proof of receipt;
- (b) if the context so requires, “singular” means “plural” and vice versa; and
- (c) “Day” means calendar day, unless otherwise specified as “Business Day”. A Business Day is any day that is an official working day of the Borrower. It excludes the Borrower’s official public holidays.
- (d) “ES” means environmental and social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH));
- (e) “Sexual Exploitation and Abuse” “(SEA)” means the following:
- Sexual Exploitation is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
- Sexual Abuse is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.
- (f) “Sexual Harassment” “(SH)” is defined as unwelcome sexual advances, requests for sexual favors, and other verbal

or physical conduct of a sexual nature by the Supplier's Personnel with other Supplier's Personnel or Purchaser's Personnel.

(g) "Supplier's Personnel" is as defined in GCC Clause 1.1; and

(h) "Purchaser's Personnel" is as defined in GCC Clause 1.1.

A non-exhaustive list of (i) behaviors which constitute SEA and (ii) behaviors which constitute SH is attached to the Code of Conduct form in Section IV

- 2. Source of Funds**
- 2.1. The Borrower or Recipient (hereinafter called "Borrower") indicated in the PDS has applied for or received financing (hereinafter called "funds") from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called "the Bank") in an amount specified in the PDS toward the project named in the PDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this request for proposals document is issued.
- 2.2. Payments by the Bank will be made only at the request of the Borrower and upon approval by the Bank in accordance with the terms and conditions of the Loan (or other financing) Agreement between the Borrower and the Bank (hereinafter called the Loan Agreement), and will be subject in all respects to the terms and conditions of that Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of equipment, materials or any other goods, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the funds.
- 3. Fraud and Corruption**
- 3.1. The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Section VI.
- 3.2. In further pursuance of this policy, Proposers shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any initial selection process,

prequalification process, bid submission, proposal submission and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

- 4. Eligible Proposers**
- 4.1. A Proposer may be a firm that is a private entity, a state-owned enterprise or institution subject to ITP 4.6, or any combination of such entities in the form of a joint venture (JV) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the procurement process and, in the event the JV is awarded the Contract, during contract execution. Unless specified in the PDS, there is no limit on the number of members in a JV.
- 4.2. A Proposer shall not have a conflict of interest. Any Proposer found to have a conflict of interest shall be disqualified. A Proposer may be considered to have a conflict of interest for the purpose of this procurement process, if the Proposer:
- (a) directly or indirectly controls, is controlled by or is under common control with another Proposer; or
 - (b) receives or has received any direct or indirect subsidy from another Proposer; or
 - (c) has the same legal representative as another Proposer; or
 - (d) has a relationship with another Proposer, directly or through common third parties, that puts it in a position to influence the Proposal of another Proposer, or influence the decisions of the Purchaser regarding this procurement process; or
 - (e) any of its affiliates participates as a consultant in the preparation of the design or technical specifications of the Information System that are the subject of the Proposal; or
 - (f) or any of its affiliates has been hired (or is proposed to be hired) by the Purchaser or Borrower as Project Manager for the Contract implementation; or
 - (g) would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the

project specified in the PDS ITP 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or

- (h) has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the request for proposals document or specifications of the Contract, and/or the Proposal evaluation process of such Contract; or (ii) would be involved in the implementation or supervision of such Contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the procurement process and execution of the Contract.

4.3. A firm that is a Proposer (either individually or as a JV member) shall not participate as a Proposer or as JV member in more than one Proposal except for permitted alternative Proposals. Such participation shall result in the disqualification of all Proposals in which the firm is involved. However, this does not limit the participation of a Proposer as subcontractor in another Proposal or of a firm as a subcontractor in more than one Proposal.

4.4. A Proposer may have the nationality of any country, subject to the restrictions pursuant to ITP 4.8. A Proposer shall be deemed to have the nationality of a country if the Proposer is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed sub-contractors or sub-consultants for any part of the Contract including related Services.

- 4.5. A Proposer that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework as described in Section VI paragraph 2.2 d., shall be ineligible to be initially selected for, prequalified for, bid for, propose for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the PDS.
- 4.6. Proposers that are state-owned enterprises or institutions in the Purchaser's Country may be eligible to compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Purchaser.
- 4.7. A Proposer shall not be under suspension from bidding or submitting proposals by the Purchaser as the result of the operation of a Bid-Securing Declaration or Proposal-Securing Declaration.
- 4.8. Firms and individuals may be ineligible if so indicated in Section V and (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country.
- 4.9. This request for proposal process is open for all eligible Proposers, unless otherwise specified in ITP 15.2.
- 4.10. A Proposer shall provide such documentary evidence of eligibility satisfactory to the Purchaser, as the Purchaser shall reasonably request.
- 4.11. A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment; (a) relates to fraud or corruption,

and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.

5. Eligible Goods and Services

- 5.1. The Information Systems to be supplied under the Contract and financed by the Bank may have their origin in any country in accordance with Section V, Eligible Countries.
- 5.2. For the purposes of this request for proposals document, the term “Information System” means all:
- (a) the required information technologies, including all information processing and communications-related hardware, software, supplies, and consumable items that the Supplier is required to design, supply and install under the Contract, plus all associated documentation, and all other materials and goods to be designed, supplied, installed, integrated, and made operational; and
 - (b) the related software development, transportation, insurance, installation, customization, integration, commissioning, training, technical support, maintenance, repair, and other services necessary for proper operation of the Information System to be provided by the selected Proposer and as specified in the Contract.
- 5.3. For purposes of ITP 5.1 above, “origin” means the place where the goods and services making the Information System are produced in or supplied from. An Information System is deemed to be produced in a certain country when, in the territory of that country, through software development, manufacturing, or substantial and major assembly or integration of components, a commercially recognized product results that is substantially different in basic characteristics or in purpose or utility from its components.

B. CONTENTS OF THE REQUEST FOR PROPOSALS DOCUMENT

6. Sections of the Request for Proposals Document

- 6.1. The request for proposals document consists of Parts 1, 2, and 3, which include all the sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITP 8:

PART 1 - Request for Proposals Procedures

Section I - Instructions to Proposers (ITP)

Section II - Proposal Data Sheet (PDS)

Section III - Evaluation and Qualification Criteria

Section IV - Proposal Forms

Section V - Eligible Countries

Section VI - Fraud and Corruption

PART 2 - Purchaser's Requirements

Section VII - Requirements of the IS, including:

- Technical Requirements
- Implementation Schedule
- System Inventory Tables
- Background and Informational Materials

PART 3 - Contract

Section VIII - General Conditions of Contract

Section IX -Special Conditions of Contract

Section X - Contract Forms

- 6.2. The Specific Procurement Notice – Request for Proposals (RFP) issued by the Purchaser is not part of this request for proposals document.
- 6.3. Unless obtained directly from the Purchaser, the Purchaser is not responsible for the completeness of the document, responses to requests for clarification, the Minutes of the pre-Proposal meeting (if any), or Addenda to the request for proposals document in accordance with ITP 8. In case of any contradiction, documents obtained directly from the Purchaser shall prevail.
- 6.4. The Proposer is expected to examine all instructions, forms, terms, and specifications in the request for proposals document and to furnish with its Proposal all information or documentation as is required by the request for proposals document.

- 7. Clarification of Request for Proposals Document, Site Visit, Pre-Proposal Meeting**
- 7.1. A Proposer requiring any clarification of the request for proposals document shall contact the Purchaser in writing at the Purchaser’s address specified in the PDS or raise its enquiries during the pre-Proposal meeting if provided for in accordance with ITP 7.4. The Purchaser will respond in writing to any request for clarification, provided that such request is received prior to the deadline for submission of Proposals within a period specified in the PDS. The Purchaser’s shall forward copies of its response to all Proposers who have acquired the request for proposals document in accordance with ITP 6.3, including a description of the inquiry but without identifying its source. If so specified in the PDS, the Purchaser shall also promptly publish its response at the web page identified in the PDS. Should the Purchaser deem it necessary to amend the request for proposals document as a result of a request for clarification, it shall do so following the procedure under ITP 8 and ITP 23.2.
- 7.2. The Proposer may wish to visit and examine the site where the Information System is to be installed and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the Proposal and entering into a contract. The costs of visiting the site shall be at the Proposer’s own expense.
- 7.3. The Proposer and any of its personnel or agents will be granted permission by the Purchaser to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Proposer, its personnel, and agents will release and indemnify the Purchaser and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4. The Proposer’s designated representative is invited to attend a pre-Proposal meeting and/or a site visit, if provided for in the PDS. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5. The Proposer is requested, as far as possible, to submit any questions in writing, to reach the Purchaser not later than one week before the meeting.
- 7.6. Minutes of the pre-Proposal meeting, including the text of the questions raised without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Proposers who have acquired the request for proposals document in accordance with ITP 6.3. Any

modification to the request for proposals document that may become necessary as a result of the pre-Proposal meeting shall be made by the Purchaser exclusively through the issue of an Addendum pursuant to ITP 8 and not through the minutes of the pre-Proposal meeting.

- 7.7. Nonattendance at the pre-Proposal meeting will not be a cause for disqualification of a Proposer.
- 8. Amendment of Request for Proposals Document**
- 8.1. At any time prior to the deadline for submission of Proposals, the Purchaser may amend the request for proposals document by issuing addenda.
- 8.2. Any addendum issued shall be part of the request for proposals document and shall be communicated in writing to all who have obtained the request for proposals document from the Purchaser in accordance with ITP 6.3. The Purchaser shall also promptly publish the addendum on the Purchaser’s web page in accordance with ITP 7.1.
- 8.3. To give prospective Proposers reasonable time in which to take an addendum into account in preparing their Proposals, the Purchaser may, at its discretion, extend the deadline for the submission of Proposals, pursuant to ITP 23.2.

C. PREPARATION OF PROPOSALS

- 9. Cost of Proposals**
- 9.1. The Proposer shall bear all costs associated with the preparation and submission of its Proposal, and the Purchaser shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Request for Proposals process.
- 10. Language of Proposal**
- 10.1. The Proposal, as well as all correspondence and documents relating to the Proposal exchanged by the Proposer and the Purchaser, shall be written in the language specified in the PDS. Supporting documents and printed literature that are part of the Proposal may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified in the PDS, in which case, for purposes of interpretation of the Proposal, such translation shall govern.
- 11. Documents Comprising the Proposal**
- 11.1. The Proposal shall comprise two Parts, namely the Technical Part and the Financial Part. These two Parts shall be submitted simultaneously in two separate sealed envelopes (two-envelope procurement process). One envelope shall contain only information relating to the Technical Part and the other, only information relating to the Financial Part. These two envelopes

shall be enclosed in a separate sealed outer envelope marked “Original Proposal”.

11.2. The Technical Part shall contain the following:

- (a) **Letter of Proposal**-Technical Part, prepared in accordance with ITP 12;
- (b) **Proposal Security or Proposal-Securing Declaration** in accordance with ITP 20;
- (c) **Alternative Proposal**- Technical Part: if permissible, in accordance with ITP 13, the Technical Part of any Alternative Proposal;
- (d) **Authorization**: written confirmation authorizing the signatory of the Proposal to commit the Proposer, in accordance with ITP 21.3;
- (e) **Eligibility of Information System**: documentary evidence established in accordance with ITP 14.1 that the Information System offered by the Proposer in its Proposal or in any alternative Proposal, if permitted, are eligible;
- (f) **Proposer’s Eligibility and qualifications**: documentary evidence in accordance with ITP 15 establishing the Proposer’s eligibility and qualifications to perform the contract if its Proposal is accepted;
- (g) **Conformity**: documentary evidence established in accordance with ITP 16 that the Information System offered by the Proposer conform to the **request for proposals** document;
- (h) **Subcontractors**: list of subcontractors, in accordance with ITP 16.4;
- (i) **Intellectual Property**: a list of: Intellectual Property as defined in GCC Clause 15;
 - (i) all Software included in the Proposal, assigning each item to one of the software categories defined in GCC Clause 1.1 (c):
 - a. System, General Purpose, and Application Software;
or
 - b. Standard and Custom Software;
 - (ii) all Custom Materials, as defined in GCC Clause 1.1 (c), included in the Proposal;

All Materials not identified as Custom Materials shall be deemed Standard Materials, as defined in GCC Clause 1.1 (c);

Re-assignments among the Software and Materials categories, if necessary, will be made during the implementation of the Contract according to GCC Clause 39 (Changes to the Information System); and

- (j) any other document required **in the PDS**.

11.3. The Financial Part shall contain the following:

- (a) Letter of Proposal – Financial Part: prepared in accordance with ITP 12 and ITP 17;
- (b) Schedule: Price Schedules completed in accordance with ITP 12 and ITP 17;
- (c) Alternative Proposal - Financial Part: if permissible in accordance with ITP 13, the Financial Part of any Alternative Proposal; and
- (d) any other document required in the PDS.

11.4. The Technical Part shall not include any information related to the Proposal price. Where material financial information related to the Proposal price is contained in the Technical Part the Proposal shall be declared non-responsive.

11.5. In addition to the requirements under ITP 11.2, Proposals submitted by a JV shall include in the Technical Part a copy of the Joint Venture Agreement entered into by all members indicating at least the parts of the Information System to be executed by the respective members. Alternatively, a letter of intent to execute a Joint Venture Agreement in the event of a successful Proposal shall be signed by all members and submitted with the Proposal, together with a copy of the proposed Agreement indicating at least the parts of the Information System to be executed by the respective members.

11.6. The Proposer shall furnish in the Letter of Proposal - Financial Part information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this procurement process.

12. Letters of Proposal and Price Schedules

12.1. The Letter of Proposal - Technical Part, Letter of Proposal-Financial Part and Price Schedules shall be prepared using the relevant forms furnished in Section IV, Proposal Forms. The forms must be completed without any alterations to the text, and no

substitutes shall be accepted except as provided under ITP 21.3. All blank spaces shall be filled in with the information requested.

13. Alternative Proposals

- 13.1. The PDS indicates whether alternative Proposals are allowed. If they are allowed, the PDS will also indicate whether they are permitted in accordance with ITP 13.3, or invited in accordance with ITP 13.2 and/or ITP 13.4.
- 13.2. When alternatives to the Time Schedule are explicitly invited, a statement to that effect will be included in the PDS, and the method of evaluating different time schedules will be described in Section III, Evaluation and Qualification Criteria.
- 13.3. Except as provided under ITP 13.4 below, Proposers wishing to offer technical alternatives to the Purchaser's requirements as described in the request for proposals document must also provide: (i) a price at which they are prepared to offer an Information System meeting the Purchaser's requirements; and (ii) all information necessary for a complete evaluation of the alternatives by the Purchaser, including drawings, design calculations, technical specifications, breakdown of prices, and proposed installation methodology and other relevant details. Only the technical alternatives, if any, of the Proposer with the Most Advantageous Proposal conforming to the basic technical requirements shall be considered by the Purchaser.
- 13.4. When Proposers are invited in the PDS to submit alternative technical solutions for specified parts of the system, such parts shall be described in Section VII, Purchaser's Requirements. Technical alternatives that comply with the performance and technical criteria specified for the Information System shall be considered by the Purchaser on their own merits, pursuant to ITP 32.

14. Documents Establishing the Eligibility of the Information System

- 14.1. To establish the eligibility of the Information System in accordance with ITP 5, Proposers shall complete the country of origin declarations in the Price Schedule Forms, included in Section IV, Proposal Forms.

15. Documents Establishing the Eligibility and Qualifications of the Proposer

- 15.1. To establish its eligibility and qualifications to perform the Contract in accordance with Section III, Evaluation and Qualification Criteria, the Proposer shall provide the information requested in the corresponding information sheets included in Section IV, Proposal Forms.
- 15.2. In the event that prequalification of potential Proposers has been undertaken as stated in the PDS, only Proposals from prequalified

Proposers shall be considered for award of Contract. These qualified Proposers should submit with their Proposals any information updating their original prequalification applications or, alternatively, confirm in their Proposals that the originally submitted prequalification information remains essentially correct as of the date of Proposal submission.

- 15.3. Any change in the structure or formation of a Proposer after being prequalified and invited to submit Proposals, if applicable, (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Purchaser prior to the deadline for submission of Proposals. Such approval shall be denied if (i) a Proposer proposes to associate with a disqualified Proposer or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Proposer no longer substantially meets the qualification criteria; or (iii) in the opinion of the Purchaser, the change may result in a substantial reduction in competition. Any such change should be submitted to the Purchaser not later than fourteen (14) days after the date of the notice for RFP sent to the prequalified Proposers.

**16. Documents
Establishing
Conformity of
the
Information
System**

- 16.1. Pursuant to ITP 11.2 (g), the Proposer shall furnish, as part of its Proposal, documents establishing the conformity to the request for proposals documents of the Information System that the Proposer proposes to design, supply and install under the Contract.
- 16.2. The documentary evidence of conformity of the Information System to the request for proposals documents including:
- (a) Preliminary Project Plan describing, among other things, the methods by which the Proposer will carry out its overall management and coordination responsibilities if awarded the Contract, and the human and other resources the Proposer proposes to use. The Preliminary Project Plan must also address any other topics specified in the PDS. In addition, the Preliminary Project Plan should state the Proposer's assessment of what it expects the Purchaser and any other party involved in the implementation of the Information System to provide during implementation and how the Proposer proposes to coordinate the activities of all involved parties;
 - (b) written confirmation that the Proposer accepts responsibility for the successful integration and inter-operability of all

- components of the Information System as required by the request for proposals documents;
- (c) an item-by-item commentary on the Purchaser's Technical Requirements, demonstrating the substantial responsiveness of the Information System offered to those requirements. In demonstrating responsiveness, the Proposer should use the Technical Responsiveness Checklist (or Checklist Format) in the Sample Proposal Forms (Section IV). The commentary shall include explicit cross-references to the relevant pages in the supporting materials included in the Proposal. Whenever a discrepancy arises between the item-by-item commentary and any catalogs, technical specifications, or other preprinted materials submitted with the Proposal, the item-by-item commentary shall prevail;
 - (d) support material (e.g., product literature, white papers, narrative descriptions of technologies and/or technical approaches), as required and appropriate; and
 - (e) any separate and enforceable contract(s) for Recurrent Cost items which the PDS ITP 17.2 requires Proposers to propose.
- 16.3. References to brand names or model numbers or national or proprietary standards designated by the Purchaser in the request for proposals documents are intended to be descriptive and not restrictive. Except as specified in the PDS for specific items or standards, the Proposer may substitute alternative brand/model names or standards in its Proposal, provided that it demonstrates to the Purchaser's satisfaction that the use of the substitute(s) will result in the Information System being able to perform substantially equivalent to or better than that specified in the Technical Requirements.
- 16.4. For major items of the Information System as listed by the Purchaser in Section III, Evaluation and Qualification Criteria, which the Proposer intends to purchase or subcontract, the Proposer shall give details of the name and nationality of the proposed subcontractors, including manufacturers, for each of those items. In addition, the Proposer shall include in its Proposal information establishing compliance with the requirements specified by the Purchaser for these items. Quoted rates and prices will be deemed to apply to whichever subcontractor is appointed, and no adjustment of the rates and prices will be permitted.
- 16.5. The Proposer shall be responsible for ensuring that any subcontractor proposed complies with the requirements of ITP 4, and that any goods or services to be provided by the subcontractor comply with the requirements of ITP 5 and ITP 16.1.

- 17. Proposal Prices**
- 17.1. All Goods and Services identified in the Supply and Installation Cost Sub-Tables in System Inventory Tables in Section VII, and all other Goods and Services proposed by the Proposer to fulfill the requirements of the Information System, must be priced separately and summarized in the corresponding cost tables in the Sample Proposal Forms (Section IV), in accordance with the instructions provided in the tables and in the manner specified below.
- 17.2. Unless otherwise specified in the PDS, the Proposer must also propose Recurrent Cost Items specified in the Technical Requirements, Recurrent Cost Sub-Table of the System Inventory Tables in Section VII (if any). These must be priced separately and summarized in the corresponding cost tables in the Sample Proposal Forms (Section IV), in accordance with the instructions provided in the tables and in the manner specified below:
- (a) if specified **in the PDS**, the Proposer must also propose separate enforceable contracts for the Recurrent Cost Items not included in the main Contract;
 - (b) prices for Recurrent Costs are all-inclusive of the costs of necessary Goods such as spare parts, software license renewals, labor, etc., needed for the continued and proper operation of the Information System and, if appropriate, of the Proposer's own allowance for price increases;
 - (c) prices for Recurrent Costs beyond the scope of warranty services to be incurred during the Warranty Period, defined in GCC Clause 29.4 and prices for Recurrent Costs to be incurred during the Post-Warranty Period, defined in SCC Clause 1.1. (e) (xiii), shall be quoted as Service prices on the Recurrent Cost Sub-Table in detail, and on the Recurrent Cost Summary Table in currency totals.
- 17.3. Unit prices must be quoted at a level of detail appropriate for calculation of any partial deliveries or partial payments under the contract, in accordance with the Implementation Schedule in Section VII), and with GCC and SCC Clause 12 – Terms of Payment. Proposers may be required to provide a breakdown of any composite or lump-sum items included in the Cost Tables
- 17.4. The price of items that the Proposer has left blank in the cost tables provided in the Sample Proposal Forms (Section IV) shall be assumed to be included in the price of other items. Items omitted altogether from the cost tables shall be assumed to be omitted from the Proposal and, provided that the Proposal is substantially

responsive, an adjustment to the Proposal price will be made during Proposal evaluation in accordance with ITP 34.1.

17.5. The prices for Goods components of the Information System are to be expressed and shall be defined and governed in accordance with the rules prescribed in the edition of Incoterms specified in the PDS, as follows:

(a) Goods supplied from outside the Purchaser's country:

Unless otherwise specified **in the PDS**, the prices shall be quoted on a CIP (named place of destination) basis, exclusive of all taxes, stamps, duties, levies, and fees imposed in the Purchaser's country. The named place of destination and special instructions for the contract of carriage are as specified in the SCC for GCC 1.1 (e) (iii). In quoting the price, the Proposer shall be free to use transportation through carriers registered in any eligible countries. Similarly, the Proposer may obtain insurance services from any eligible source country;

(b) Locally supplied Goods:

Unit prices of Goods offered from within the Purchaser's Country, shall be quoted on an EXW (ex factory, ex works, ex warehouse or off-the-shelf, as applicable) basis, including all customs duties, levies, fees, sales and other taxes incurred until delivery of the Goods, but excluding all VAT or sales and other taxes and duties/fees incurred for the Goods at the time of invoicing or sales transaction, if the Contract is awarded;

(c) Inland transportation.

17.6. Unless otherwise stated in the PDS, inland transportation, insurance and related local costs incidental to the delivery of the Goods to the designated Project Sites must be quoted separately as a Service item in accordance with ITP 17.5, whether the Goods are to be supplied locally or from outside the Purchaser's country, except when these costs are already included in the price of the Goods, as is, e.g., the case, when ITP 17.5 (a) specifies CIP, and the named places of destination are the Project Sites.

17.7. The price of Services shall be separated into their local and foreign currency components and where appropriate, broken down into unit prices. Prices must include all taxes, duties, levies and fees whatsoever, except only VAT or other indirect taxes, or stamp duties, that may be assessed and/or apply in the Purchaser's country on/to the price of the Services invoiced to the Purchaser, if the Contract is awarded.

- 17.8. Unless otherwise specified in the PDS, the prices must include all costs incidental to the performance of the Services, as incurred by the Supplier, such as travel, subsistence, office support, communications, translation, printing of materials, etc. Costs incidental to the delivery of the Services but incurred by the Purchaser or its staff, or by third parties, must be included in the price only to the extent such obligations are made explicit in these request for proposals documents (as, e.g., a requirement for the Proposer to include the travel and subsistence costs of trainees).
- 17.9. Unless otherwise specified in the PDS, prices quoted by the Proposer shall be fixed during the Proposer's performance of the Contract and not subject to increases on any account. Proposals submitted that are subject to price adjustment will be rejected.
- 18. Currencies of Proposal and Payment**
- 18.1. The currency(ies) of the Proposal and currencies of payment shall be the same. The Proposer shall quote in the currency of the Purchaser's Country the portion of the Proposal price that corresponds to expenditures incurred in the currency of the Purchaser's Country, unless otherwise specified in the PDS.
- 18.2. The Proposer may express the Proposal price in any currency. If the Proposer wishes to be paid in a combination of amounts in different currencies, it may quote its price accordingly but shall use no more than three foreign currencies in addition to the currency of the Purchaser's Country.
- 19. Period of Validity of Proposals**
- 19.1. Proposals shall remain valid until the date specified in the PDS or any extended date if amended by the Purchaser in accordance with ITP 8. A Proposal that is not valid until the date specified in the PDS, or any extended date if amended by the Purchaser in accordance with ITP 8, shall be rejected by the Purchaser as nonresponsive.
- 19.2. In exceptional circumstances, prior to the date of expiry of the Proposal validity, the Purchaser may request Proposers to extend the date of validity until a specified date. The request and the responses shall be made in writing. If a Proposal Security is requested in accordance with ITP 20, it shall also be extended for twenty-eight days (28) beyond the deadline of the extended validity period. A Proposer may refuse the request without forfeiting its Proposal Security. A Proposer granting the request shall not be required or permitted to modify its Proposal, except as provided in ITP 19.3.
- 19.3. If the award is delayed by a period exceeding fifty-six (56) days beyond the expiry of the initial Proposal validity specified in

accordance with ITP 19.1, the Contract price shall be determined as follows:

- (a) in case of fixed price contracts, the contract price shall be the Proposal price adjusted by a factor or factors specified **in the PDS**;
- (b) in the case of an adjustable price contracts, no adjustments shall be made;
- (c) in any case, Proposal evaluation shall be based on the Proposal Price without taking into consideration the applicable correction from those indicated above.

20. Proposal Security

- 20.1. The Proposer shall furnish as part of the Technical Part of its Proposal, either a Proposal-Securing Declaration or a Proposal Security as specified in the PDS, in original form and, in the case of a Proposal Security, in the amount and currency specified in the PDS.
- 20.2. A Proposal-Securing Declaration shall use the form included in Section IV, Proposal Forms.
- 20.3. If a Proposal Security is specified pursuant to ITP 20.1, the Proposal security shall be a demand guarantee in any of the following forms at the Proposer's option:
 - (a) an unconditional guarantee issued by a non-bank financial institution (such as an insurance, bonding or surety company);
 - (b) an irrevocable letter of credit;
 - (c) a cashier's or certified check; or
 - (d) another security indicated **in the PDS**,

from a reputable source from an eligible country. If an unconditional guarantee is issued by a non-bank financial institution located outside the Purchaser's Country the issuing non-bank financial institution shall have a correspondent financial institution located in the Purchaser's Country to make it enforceable unless the Purchaser has agreed in writing, prior to Proposal submission, that a correspondent financial institution is not required. In the case of a bank guarantee, the Proposal Security shall be submitted either using the Proposal Security Form included in Section IV, Proposal Forms or in another substantially similar format approved by the Purchaser prior to Proposal submission. In either case, the form must include the complete name of the Proposer. The Proposal Security shall be valid for twenty-eight (28) days beyond the original date of expiry of the Proposal validity, or beyond any extended date if requested under ITP 19.2.

- 20.4. If a Proposal Security or a Proposal-Securing Declaration is specified pursuant to ITP 20.1, any Proposal not accompanied by a substantially responsive Proposal Security or Proposal-Securing Declaration shall be rejected by the Purchaser as non-responsive.
- 20.5. If a Proposal Security is specified pursuant to ITP 20.1, the Proposal Security of unsuccessful Proposers shall be returned as promptly as possible upon the successful Proposer's furnishing of the Performance Security pursuant to ITP 48.
- 20.6. The Proposal Security of the successful Proposer shall be returned as promptly as possible once the successful Proposer has signed the Contract and furnished the required Performance Security.
- 20.7. The Proposal Security may be forfeited:
 - (a) if a Proposer withdraws its Proposal prior to the expiry date of Proposal validity specified by the Proposer on the Letter of Proposal or any extended date provided by the Proposer;
or
 - (b) if the successful Proposer fails to:
 - (i) sign the Contract in accordance with ITP 47; or
 - (ii) furnish a performance security in accordance with ITP 48.

20.8. The Proposal Security or the Proposal-Securing Declaration of a JV shall be in the name of the JV that submits the Proposal. If the JV has not been legally constituted into a legally enforceable JV at the time of submission of Proposals, the Proposal Security or the Proposal-Securing Declaration shall be in the names of all future members as named in the letter of intent referred to in ITP 4.1 and ITP 11.5.

20.9. If a Proposal Security is not required in the PDS, and;

- (a) if a Proposer withdraws its Proposal prior to the expiry date of the Proposal validity specified by the Proposer on the Letter of Proposal, or any extended date provided by the Proposer; or
- (b) if the successful Proposer fails to: sign the Contract in accordance with ITP 47; or furnish a Performance Security in accordance with ITP 48;

the Purchaser may, if provided for **in the PDS**, declare the Proposer disqualified to be awarded a contract by the Purchaser for a period of time as stated **in the PDS**.

21. Format and Signing of Proposal

21.1. The Proposer shall prepare one original and copies/sets of the documents comprising the Proposal as described in ITP 11 and Proposer22.

21.2. Proposers shall mark as “CONFIDENTIAL” information in their Proposals which is confidential to their business. This may include proprietary information, trade secrets, or commercial or financially sensitive information.

21.3. The original and all copies of the Proposal shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Proposer. This authorization shall consist of a written confirmation as specified in the PDS and shall be attached to the Proposal. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Proposal where entries or amendments have been made shall be signed or initialed by the person signing the Proposal.

- 21.4. In case the Proposer is a JV, the Proposal shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.
- 21.5. Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.

D. SUBMISSION OF PROPOSALS

22. Submission, Sealing and Marking of Proposals

- 22.1. The Proposer shall deliver the Proposal in two separate, sealed envelopes (the Technical Part and the Financial Part). These two envelopes shall be enclosed in a separate sealed outer envelope marked “Original PROPOSAL”. In addition, the Proposer shall submit copies of the Proposal in the number specified in the PDS. Copies of the Technical Part shall be placed in a separate sealed envelope marked “COPIES: TECHNICAL PART”. Copies of the Financial Part shall be placed in a separate sealed envelope marked “COPIES: FINANCIAL PART”. The Proposer shall place both of these envelopes in a separate, sealed outer envelope marked “PROPOSAL COPIES”. In the event of any discrepancy between the original and the copies, the original shall prevail.
- 22.2. If alternative Proposals are permitted in accordance with ITP 14, the alternative Proposals shall be submitted as follows: the original of the alternative Proposal Technical Part shall be placed in a sealed envelope marked “ALTERNATIVE PROPOSAL – TECHNICAL PART” and the Financial Part shall be placed in a sealed envelope marked “ALTERNATIVE PROPOSAL – FINANCIAL PART” and these two separate sealed envelopes then enclosed within a sealed outer envelope marked “ALTERNATIVE PROPOSAL – ORIGINAL”, the copies of the alternative Proposal will be placed in separate sealed envelopes marked “ALTERNATIVE PROPOSAL – COPIES OF TECHNICAL PART”, and “ALTERNATIVE PROPOSAL – COPIES OF FINANCIAL PART” and enclosed in a separate sealed outer envelope marked “ALTERNATIVE PROPOSAL - COPIES.
- 22.3. The envelopes marked “ORIGINAL PROPOSAL” and “PROPOSAL COPIES” (and, if appropriate, a third envelope marked “ALTERNATIVE PROPOSAL”) shall be enclosed in a separate sealed outer envelope for submission to the Purchaser.
- 22.4. The inner and outer envelopes shall:
 - (a) bear the name and address of the Proposer;
 - (b) be addressed to the Purchaser in accordance with ITP 23.1;

- (c) bear the specific identification of this request for proposals process indicated in accordance with ITP 1.1; and
 - (d) bear a warning not to open before the time and date for Proposal opening.
- 22.5. If all envelopes are not sealed and marked as required, the Purchaser will assume no responsibility for the misplacement or premature opening of the Proposal.
- 23. Deadline for Submission of Proposals**
 - 23.1. Proposals must be received by the Purchaser at the address and no later than the date and time indicated in the PDS. When so specified in the PDS, Proposers shall have the option of submitting their Proposals electronically. Proposers submitting Proposals electronically shall follow the electronic Proposal submission procedures specified in the PDS.
 - 23.2. The Purchaser may, at its discretion, extend this deadline for submission of Proposals by amending the request for proposals documents in accordance with ITP 8, in which case all rights and obligations of the Purchaser and Proposers will thereafter be subject to the deadline as extended.
- 24. Late Proposals**
 - 24.1. The Purchaser shall not consider any Proposal that arrives after the deadline for submission of Proposals, in accordance with ITP 23. Any Proposal received by the Purchaser after the deadline for submission of Proposals shall be declared late, rejected, and returned unopened to the Proposer.
- 25. Withdrawal, Substitution, and Modification of Proposals**
 - 25.1. A Proposer may withdraw, substitute, or modify its Proposal after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITP 21.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Proposal must accompany the respective written notice. All notices must be:
 - (a) prepared and submitted in accordance with ITP 21 and ITP 22 (except that withdrawals notices do not require copies), and in addition, the respective envelopes shall be clearly marked “WITHDRAWAL,” “SUBSTITUTION,” “MODIFICATION;” and
 - (b) received by the Purchaser prior to the deadline prescribed for submission of Proposals, in accordance with ITP 23.

- 25.2. Proposals requested to be withdrawn in accordance with ITP 25.1 shall be returned unopened to the Proposers.

No Proposal may be withdrawn, substituted, or modified in the interval between the deadline for submission of Proposals and the date of expiry of the Proposal validity specified by the Proposer on the Letter of Proposal or any extended date thereof.

E. PUBLIC OPENING OF TECHNICAL PARTS OF PROPOSALS

26. Public Opening of Technical Parts of Proposals

- 26.1. Except as in the cases specified in ITP 24 and ITP 25.2, the Purchaser shall conduct the Proposal opening in public, in the presence of Proposers` designated representatives and anyone who chooses to attend, and at the address, date and time specified in the PDS. Any specific electronic Proposal opening procedures required if electronic submission of proposals is permitted in accordance with ITP 23.1, shall be as specified in the PDS.
- 26.2. First, envelopes marked “Withdrawal” shall be opened and read out and the envelope with the corresponding Proposal shall not be opened but returned to the Proposer. No Proposal withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Proposal opening.
- 26.3. Next, envelopes marked “Substitution” shall be opened and read out and exchanged with the corresponding Proposal being substituted, and the substituted Proposal shall not be opened, but returned to the Proposer. No Proposal substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Proposal opening.
- 26.4. Envelopes marked “Modification” shall be opened and read out with the corresponding Proposal. No Proposal modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Proposal opening. Only Proposals that are opened and read out at Proposal opening shall be considered further.
- 26.5. Next, all other envelopes marked “Technical Part” shall be opened one at a time. All envelopes marked “Second Envelope: Financial Part” shall remain sealed and kept by the Purchaser in safe custody until they are opened at a later public opening, following the evaluation of the Technical Part parts of the Proposals. On opening the envelopes marked “Technical Part” the Purchaser shall read out: the name of the Proposer, the presence or the absence of a Proposal Security, or Proposal-Securing Declaration,

if required, and whether there is a modification; and Alternative Proposal - Technical Part; and any other details as the Purchaser may consider appropriate.

- 26.6. Only Technical Parts of Proposals and Alternative Proposal - Technical Parts that are read out at Proposal opening shall be considered further for evaluation. The Letter of Proposal-Technical Part and the separate sealed envelope marked “Second Envelope: Financial Part” are to be initialed by representatives of the Purchaser attending Proposal opening in the manner specified in the PDS.
- 26.7. The Purchaser shall neither discuss the merits of any Proposal nor reject any Proposal (except for late Proposals, in accordance with ITP 24.1).
- 26.8. The Purchaser shall prepare a record of the Proposal opening that shall include, as a minimum:
 - (a) the name of the Proposer and whether there is a withdrawal, substitution, or modification;
 - (b) any alternative Proposals; and
 - (c) the presence or absence of a Proposal Security or a Proposal-Securing Declaration.
- 26.9. The Proposers’ representatives who are present shall be requested to sign the record. The omission of a Proposer’s signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Proposers.

F. EVALUATION OF PROPOSALS- GENERAL PROVISIONS

- 27. Confidentiality**
- 27.1. Information relating to the evaluation of the Technical Part shall not be disclosed to Proposers or any other persons not officially concerned with the procurement process until the notification of evaluation of the Technical Part in accordance with ITP 33. Information relating to the evaluation of Financial Part, the evaluation of combined Technical Part and Financial Part, and recommendation of contract award shall not be disclosed to Proposers or any other persons not officially concerned with the RFP process until the Notification of Intention to Award the Contract is transmitted to Proposers in accordance with ITP 42ProposerProposer.
 - 27.2. Any effort by a Proposer to influence the Purchaser in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal.

27.3. Notwithstanding ITP 27.2, from the time of Proposal opening to the time of Contract award, if any Proposer wishes to contact the Purchaser on any matter related to the procurement process, it should do so in writing.

28. Clarification of Proposals

28.1. To assist in the examination, evaluation, and comparison of the Proposals, and qualification of the Proposers, the Purchaser may, at its discretion, ask any Proposer for a clarification of its Proposal. Any clarification submitted by a Proposer that is not in response to a request by the Purchaser shall not be considered. The Purchaser's request for clarification and the response shall be in writing. No change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Purchaser in the evaluation of the Proposals, in accordance with ITP 35.

28.2. If a Proposer does not provide clarifications of its Proposal by the date and time set in the Purchaser's request for clarification, its Proposal may be rejected.

29. Deviations, Reservations, and Omissions

29.1. During the evaluation of Proposals, the following definitions apply:

- (a) "Deviation" is a departure from the requirements specified in the request for proposals document;
- (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the request for proposals document; and
- (c) "Omission" is the failure to submit part or all of the information or documentation required in the request for proposals document.

29.2. Provided that a Proposal is substantially responsive, the Purchaser may waive any nonmaterial nonconformities in the Proposal.

Provided that a Proposal is substantially responsive, the Purchaser may request that the Proposer submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Proposal related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the Proposal. Failure of the Proposer to comply with the request may result in the rejection of its Proposal.

G. EVALUATION OF TECHNICAL PART OF PROPOSALS

30. Determination of Responsiveness

- 30.1. The Purchaser's determination of the Technical Part's responsiveness shall be based on the contents of the Proposal, as specified in ITP 11.
- 30.2. Preliminary examination of the Technical Part shall be carried out to identify proposals that are incomplete, invalid or substantially nonresponsive to the requirements of the request for proposals documents. A substantially responsive Proposal is one that materially confirms to the requirements of the request for proposals document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,
- (a) if accepted, would:
 - (i) affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
 - (ii) limit in any substantial way, inconsistent with the request for proposals document, the Purchaser's rights or the Proposer's obligations under the proposed Contract; or
 - (iii) limit in any substantial way, inconsistent with the request for proposals document, the Purchaser's rights or the Proposer's obligations under the proposed Contract; or
 - (b) if rectified, would unfairly affect the competitive position of other Proposers presenting substantially responsive Proposals.
- 30.3. If the Technical Part is not substantially responsive to the requirements of the request for proposals document, it shall be rejected by the Purchaser and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.

31. Eligibility and Qualifications of the Proposer

- 31.1. The Purchaser shall determine to its satisfaction whether the Proposers that have been assessed to have submitted substantially responsive Proposals are eligible, and either continue to meet (if prequalification applies) or meet (if prequalification has not been carried out), the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.
- 31.2. The determination shall be based upon an examination of the documentary evidence of the Proposer's eligibility and

qualifications submitted by the Proposer, pursuant to ITP 15. The determination shall not take into consideration the qualifications of other firms such as the Proposer’s subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the request for proposals document), or any other firm.

- 31.3. Prior to Contract award, the Purchaser will verify that the successful Proposer (including each member of a JV) is not disqualified by the Bank due to noncompliance with contractual SEA/SH prevention and response obligations. The Purchaser will conduct the same verification for each subcontractor proposed by the successful Proposer. If any proposed subcontractor does not meet the requirement, the Purchaser will require the Proposer to propose a replacement subcontractor.
- 31.4. Only substantially responsive Proposals submitted by eligible and qualified Proposers shall proceed to the detailed technical evaluation specified in ITP 32.
- 31.5. The Purchaser’s evaluation of Technical Part will be carried out as specified in Section III, Evaluation and Qualification Criteria.

**32. Detailed
Evaluation of
Technical Part**

- 32.1. The scores to be given to technical factors and sub factors are specified in the PDS.

H. NOTIFICATION OF EVALUATION OF TECHNICAL PARTS AND PUBLIC OPENING OF FINANCIAL PARTS

**33. Notification of
Evaluation of
Technical Parts
and Public
Opening of
Financial Parts**

- 33.1. Following the completion of the evaluation of the Technical Parts of the Proposals, the Purchaser shall notify in writing those Proposers whose Proposals were considered substantially non-responsive to the request for proposals document or failed to meet the eligibility and qualification requirements, advising them of the following information:
- (a) the grounds on which their Technical Part of Proposal failed to meet the requirements of the request for proposals document;
 - (b) their envelopes marked “SECOND ENVELOPE: FINANCIAL PART” will be returned to them unopened after the completion of the selection process and the signing of the Contract; and

- (c) Option 1: when BAFO or negotiations is not to be applied notify them of the date, time and location of the public opening of the envelopes marked ‘Financial Part’’, or;

Option 2: when BAFO or negotiations apply as specified in the PDS, notify them that: (i) the envelopes marked ‘Financial Part’ will not be opened in public, but in the presence of a probity auditor appointed by the Purchaser, and that (ii) the announcement of the names of the Proposers whose Financial Parts will be opened and the total Proposal prices will be deferred to the time that the Notification of Intention to Award the contract is issued.

- 33.2. The Purchaser shall, simultaneously, notify in writing those Proposers whose Technical Part have been evaluated as substantially responsive to the request for proposals document and met the eligibility and qualification requirements, advising them of the following information:
- 33.3. their Proposal has been evaluated as substantially responsive to the request for proposals document and met the eligibility and qualification requirements;
- 33.4. Option 1: when BAFO or negotiations is not to be applied notify them of the date, time and location of the public opening of the envelopes marked ‘Financial Part’’, or;

Option 2: when BAFO or negotiations apply as specified in the PDS, notify them that: (i) the envelopes marked ‘Financial Part’ will not be opened in public, but in the presence of a probity auditor appointed by the Purchaser, and that (ii) the announcement of the names of the Proposers whose Financial Parts will be opened and the total Proposal prices will be deferred to the time that the Notification of Intention to Award the contract is issued.

- 33.5. When BAFO or negotiations do not apply as specified in the PDS, the Financial Part of the Proposal shall be opened publicly in the presence of Proposers’ designated representatives and anyone who chooses to attend.
- 33.6. The opening date shall be not less than ten (10) Business Days from the date of notification of the results of the technical evaluation, specified in ITP 33.1 and 33.2. However, if the Purchaser receives a complaint on the results of the technical evaluation within the ten (10) Business Days, the opening date shall be subject to ITP 50.1.

- 33.7. At this public opening, the Financial Parts will be opened by the Purchaser in the presence of Proposers, or their designated representatives and anyone else who chooses to attend. Proposers who met the eligibility and qualification requirements and whose Proposals were evaluated as substantially responsive will have their envelopes marked “SECOND ENVELOPE: FINANCIAL PART” opened at the second public opening. Each of these envelopes marked “SECOND ENVELOPE: FINANCIAL PART” shall be inspected to confirm that they have remained sealed and unopened. These envelopes shall then be opened by the Purchaser. The Purchaser shall read out the names of each Proposer, the technical score and the total Proposal prices, per lot (contract) if applicable, including any discounts and Alternative Proposal - Financial Part, and any other details as the Purchaser may consider appropriate.
- 33.8. Only envelopes of Financial Part of Proposals, Financial Parts of Alternative Proposals and discounts that are opened and read out at Proposal opening shall be considered further for evaluation. The Letter of Proposal – Financial Part and the Price Schedules are to be initialed by a representative of the Purchaser attending the Proposal opening in the manner specified in the PDS.
- 33.9. The Purchaser shall neither discuss the merits of any Proposal nor reject any envelopes marked “SECOND ENVELOPE: FINANCIAL PART” at this public opening.
- 33.10. The Purchaser shall prepare a record of the Financial Part of the Proposal opening that shall include, as a minimum: (a) the name of the Proposer whose Financial Part was opened; (b) the Proposal price, per lot (contract) if applicable, including any discounts; and (c) if applicable, any Alternative Proposal – Financial Part.
- 33.11. The Proposers whose envelopes marked “SECOND ENVELOPE: FINANCIAL PART” have been opened or their representatives who are present shall be requested to sign the record. The omission of a Proposer’s signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Proposers.
- 33.12. When, as specified in the PDS, BAFO or negotiations apply the Financial Parts will not be opened in public and will be opened in the presence of a probity auditor appointed by the Purchaser.
- 33.13. At the opening each of the envelopes marked “Financial Part” shall be inspected to confirm that they have remained sealed and unopened. These envelopes shall then be opened by the Purchaser. The Purchaser shall record the names of each Proposer, and the total Proposal prices and any other details as the Purchaser may consider appropriate. The Letter of Proposal - Financial Part and

the Price Schedules are to be initialed by a representative of the Purchaser attending the opening and by the probity auditor.

- 33.14. The Purchaser shall prepare a record of the opening of the Financial Part envelopes that shall include, as a minimum:
- (a) the name of the Proposers whose Financial Part was opened;
 - (b) the Proposal prices including any discounts. and
 - (c) The Probity Auditor’s report of the opening of the Financial Part.
- 33.15. The probity auditor shall sign the record. The contents of the envelopes marked ‘Financial Part’ and the record of the opening shall be kept in safe custody by the Purchaser and not disclosed to anyone until the time of the transmission of the Notification of Intention to Award the contract.

I. EVALUATION OF FINANCIAL PART OF PROPOSALS

- 34. Adjustments for Non-material Nonconformities**
- 34.1. Provided that a Proposal is substantially responsive, the Purchaser shall rectify quantifiable nonmaterial nonconformities related to the Proposal Price. To this effect, the Proposal Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component by adding the average price of the item or component quoted by substantially responsive Proposers. If the price of the item or component cannot be derived from the price of other substantially responsive Proposers, the Purchaser shall use its best estimate.
- 35. Correction of Arithmetic Errors**
- 35.1. In evaluating the Financial Part of each Proposal, the Purchaser shall correct arithmetical errors on the following basis:
- (a) where there are errors between the total of the amounts given under the column for the price breakdown and the amount given under the Total Price, the former shall prevail and the latter will be corrected accordingly;
 - (b) where there are errors between the total of the amounts of Schedule Nos. 1 to 5 and the amount given in Schedule No. 6 (Grand Summary), the former shall prevail and the latter will be corrected accordingly; and
 - (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.

36. Evaluation of Proposals Financial Part

- 35.2. Proposers shall be requested to accept correction of arithmetical errors. Failure to accept the correction in accordance with ITP 35.1, shall result in the rejection of the Proposal.
- 36.1. To evaluate the Financial Part, the Purchaser shall consider the following:
- (a) the Proposal price, excluding provisional sums and the provision, if any, for contingencies in the Price Schedules;
 - (b) price adjustment for correction of arithmetic errors in accordance with ITP 35.1;
 - (c) price adjustment due to discounts offered in accordance with ITP 26;
 - (d) price adjustment due to quantifiable nonmaterial nonconformities in accordance with ITP 34.1;
 - (e) converting the amount resulting from applying (a) to (c) above, if relevant, to a single currency in accordance with ITP 36.2; and
 - (f) the evaluation factors indicated in the PDS and detailed in Section III, Evaluation and Qualification Criteria.
- 36.2. For evaluation and comparison purposes, the currency(ies) of the **Proposal** shall be converted into a single currency **as specified in the PDS**.
- 36.3. No margin of domestic preference shall apply.
- 36.4. If price adjustment is allowed in accordance with ITP 17.7, the estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Proposal evaluation.
- 36.5. If this request for proposals document allows Proposers to quote separate prices for different lots (contracts), each lot will be evaluated separately to determine the Most Advantageous Proposal using the methodology specified in Section III, Evaluation and Qualification Criteria. Discounts that are conditional on the award of more than one lot or slice shall not be considered for Proposal evaluation.
- 36.6. The Purchaser will evaluate and compare the Proposals. The evaluation will be performed assuming either that:

- (a) the Contract will be awarded to the Most Advantageous Proposal for the entire Information System; or
- (b) if specified **in the PDS**, Contracts will be awarded to the Proposers for each individual Subsystem, lot, or slice defined in the Technical Requirements whose Proposals result in the Most Advantageous Proposal/Proposals for the entire System.

In the latter case, discounts that are conditional on the award of more than one Subsystem, lot, or slice may be offered in Proposals. Such discounts will be considered in the evaluation of Proposals as specified **in the PDS**.

37. Abnormally Low Proposals

- 37.1. An Abnormally Low Proposal is one where the Proposal price in combination with other constituent elements of the Proposal appears unreasonably low to the extent that the Proposal price raises material concerns as to the capability of the Proposer to perform the Contract for the offered Proposal Price.
- 37.2. In the event of identification of a potentially Abnormally Low Proposal, the Purchaser shall seek written clarifications from the Proposer, including detailed price analyses of its Proposal price in relation to the subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the request for proposals document.
- 37.3. After evaluation of the price analyses, in the event that the Purchaser determines that the Proposer has failed to demonstrate its capability to perform the Contract for the offered Proposal Price, the Purchaser shall reject the Proposal.

38. Unbalanced or Front Loaded Proposals

- 38.1. If the Proposal that is evaluated as the lowest evaluated cost is, in the Purchaser's opinion, seriously unbalanced or front loaded the Purchaser may require the Proposer to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the Proposal prices with the scope of information systems, installations, proposed methodology, schedule and any other requirements of the request for proposals document.
- 38.2. After the evaluation of the information and detailed price analyses presented by the Proposer, the Purchaser may:
 - (a) accept the Proposal; or

- (b) if appropriate, require that the total amount of the Performance Security be increased, at the expense of the Proposer, to a level not exceeding twenty percent (20%) of the Contract Price; or
- (c) reject the Proposal.

J. EVALUATION OF COMBINED TECHNICAL AND FINANCIAL PARTS, MOST ADVANTAGEOUS PROPOSAL AND NOTIFICATION OF INTENTION TO AWARD

39. Evaluation of combined Technical and Financial Parts, Most Advantageous Proposal

- 39.1. The Purchaser's evaluation of responsive Proposals will take into account technical factors, in addition to cost factors in accordance with Section III Evaluation and Qualification Criteria. The weight to be assigned for the Technical factors and cost is specified in the PDS. The Purchaser will rank the Proposals based on the evaluated Proposal score (B).
- 39.2. Best and Final Offer (BAFO): After completion of the combined technical and financial evaluation of proposals, If specified in the PDS, the Purchaser may invite those Proposers to submit their BAFOs. The procedure for submitting BAFOs will be specified in the PDS. BAFO is a final opportunity for Proposers to improve their Proposals without changing the specified business function and performance requirements in accordance with the invitation to Submit Second Stage Combined Technical and Financial Proposals, Proposers are not obliged to submit a BAFO. Where BAFO is used there will be no negotiation after BAFO.
- 39.3. BAFO will apply a two envelope procurement process. The submission of BAFOs, opening of the Technical Parts and Financial Parts and the evaluation of Proposals will follow the procedures described for the Technical, Financial and Combined evaluation above, as appropriate.
- 39.4. The Purchaser shall determine the Most Advantageous Proposal. The Most Advantageous Proposal is the Proposal of the Proposer that meets the Qualification Criteria and whose Proposal has been determined to be substantially responsive to the request for proposals document and is the Proposal with the highest combined technical and financial score.
- 39.5. If specified in the PDS, the Purchaser may conduct negotiations following the evaluation of the proposals and before the final

contract award. The procedure of the negotiations will be specified in the PDS.

- 39.6. Negotiations shall be held in the presence of probity auditor appointed by the Purchaser.
- 39.7. Negotiations may address any aspect of the contract so long as they do not materially change the specified business function and performance requirements.
- 39.8. The Purchaser may negotiate first with the Proposer that has the Most Advantageous Proposal. If the negotiations are unsuccessful the Purchaser may negotiate with the Proposer that has the next best Most Advantageous Proposal, and so on down the list until a successful negotiated outcome is achieved.
- 39.9. Unless otherwise specified in the PDS, the Purchaser will NOT carry out tests prior to Contract award, to determine that the performance or functionality of the Information System offered meets those stated in the Technical Requirements. However, if so specified in the PDS the Purchaser may carry out such tests as detailed in the PDS.
- 39.10. Proposer.
- 39.11. Prior to Contract award, the Purchaser may carry out visits or interviews with the Proposer's clients referenced in its Proposal and site inspections.
- 39.12. The capabilities of the manufacturers and subcontractors proposed by the Proposer that is determined to have offered the Most Advantageous Proposal for identified major items of supply or services will also be evaluated for acceptability in accordance with Section III, Evaluation and Qualification Criteria. Their participation should be confirmed with a letter of intent between the parties, as needed. Should a manufacturer or subcontractor be determined to be unacceptable, the Proposal will not be rejected, but the Proposer will be required to substitute an acceptable manufacturer or subcontractor without any change to the Proposal price. Prior to signing the Contract, the corresponding Appendix to the Contract Agreement shall be completed, listing the approved manufacturers or subcontractors for each item concerned.

**40. Purchaser's
Right to Accept
Any Proposal,
and to Reject
Any or All
Proposals**

- 40.1. The Purchaser reserves the right to accept or reject any Proposal, and to annul the procurement process and reject all Proposals at any time prior to contract award, without thereby incurring any liability to Proposers. In case of annulment, all Proposals submitted and specifically, Proposal securities, shall be promptly returned to the Proposers.

- 41. Standstill Period** 41.1. The Contract shall not be awarded earlier than the expiry of the Standstill Period. The Standstill Period shall be ten (10) Business Days unless extended in accordance with ITP 46. The Standstill Period commences the day after the date the Purchaser has transmitted to each Proposer the Notification of Intention to Award the Contract. Where only one Proposal is submitted, or if this contract is in response to an emergency situation recognized by the Bank, the Standstill Period shall not apply.
- 42. Notification of Intention to Award** 42.1. The Purchaser shall send to each Proposer the Notification of Intention to Award the Contract to the successful Proposer. The Notification of Intention to Award shall contain, at a minimum, the following information:
- (a) the name and address of the Proposer submitting the successful Proposal;
 - (b) the Contract price of the successful Proposal;
 - (c) the total combined score of the successful Proposal;
 - (d) the names of all Proposers who submitted Proposals, and their Proposal prices as readout and as evaluated prices and technical scores;
 - (e) a statement of the reason(s) the Proposal (of the unsuccessful Proposer to whom the notification is addressed) was unsuccessful;
 - (f) the expiry date of the Standstill Period; and
 - (g) instructions on how to request a debriefing or submit a complaint during the standstill period;

K. AWARD OF CONTRACT

- 43. Award Criteria** 43.1. Subject to ITP 40, the Purchaser shall award the Contract to the successful Proposer. This is the Proposer whose Proposal has been determined to be the Most Advantageous Proposal..
- Proposer.
- 44. Purchaser's Right to Vary Quantities at Time of Award** 44.1. The Purchaser reserves the right at the time of Contract award to increase or decrease, by the percentage(s) for items as indicated in the PDS.

45. Notification of Award

- 45.1. Prior to the date of expiry of the Proposal validity and upon expiry of the Standstill Period, specified in ITP 41.1 or any extension thereof, and, upon satisfactorily addressing any complaint that has been filed within the Standstill Period, the Purchaser shall notify the successful Proposer, in writing, that its Proposal has been accepted. The notification letter (hereinafter and in the Contract Forms called the “Letter of Acceptance”) shall specify the sum that the Purchaser will pay the Supplier in consideration of the execution of the Contract (hereinafter and in the Conditions of Contract and Contract Forms called “the Contract Price”).
- 45.2. Within ten (10) Business days after the date of transmission of the Letter of Acceptance, the Purchaser shall publish the Contract Award Notice which shall contain, at a minimum, the following information:
- (a) name and address of the Purchaser;
 - (b) name and reference number of the contract being awarded, and the selection method used;
 - (c) names of all Proposers that submitted Proposals, and their Proposal prices as read out at Proposal opening, and as evaluated;
 - (d) name of Proposers whose Proposals were rejected and the reasons for their rejection;
 - (e) the name of the successful Proposer, the final total contract price, the contract duration and a summary of its scope; and
 - (f) successful Proposer’s Beneficial Ownership Disclosure Form.
- 45.3. The Contract Award Notice shall be published on the Purchaser’s website with free access if available, or in at least one newspaper of national circulation in the Purchaser’s Country, or in the official gazette. The Purchaser shall also publish the Contract Award Notice in UNDB online.
- 45.4. Until a formal contract is prepared and executed, the Notification of Award shall constitute a binding Contract.

46. Debriefing by the Purchaser

- 46.1. On receipt of the Purchaser’s Notification of Intention to Award referred to in ITP 42, an unsuccessful Proposer has three (3) Business Days to make a written request to the Purchaser for a debriefing. The Purchaser shall provide a

debriefing to all unsuccessful Proposers whose request is received within this deadline.

- 46.2. Where a request for debriefing is received within the deadline, the Purchaser shall provide a debriefing within five (5) Business Days, unless the Purchaser decides, for justifiable reasons, to provide the debriefing outside this timeframe. In that case, the standstill period shall automatically be extended until five (5) Business Days after such debriefing is provided. If more than one debriefing is so delayed, the standstill period shall not end earlier than five (5) Business Days after the last debriefing takes place. The Purchaser shall promptly inform, by the quickest means available, all Proposers of the extended standstill period.
- 46.3. Where a request for debriefing is received by the Purchaser later than the three (3) Business Day deadline, the Purchaser should provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of Public Notice of Award of contract. Requests for debriefing received outside the three (3)-day deadline shall not lead to extension of the standstill period.
- 46.4. Debriefings of unsuccessful Proposers may be done in writing or verbally. The Proposer shall bear their own costs of attending such a debriefing meeting.

47. Signing of Contract

- 47.1. The Purchaser shall send to the successful Proposer the Letter of Acceptance including the Contract Agreement, and a request to submit the Beneficial Ownership Disclosure Form providing additional information on its beneficial ownership. The Beneficial Ownership Disclosure Form shall be submitted within eight (8) Business Days of receiving this request.
- 47.2. The successful Proposer shall sign, date and return to the Purchaser, the Contract Agreement within twenty-eight (28) days of its receipt.
- 47.3. Notwithstanding ITP 47.2 above, in case signing of the Contract Agreement is prevented by any export restrictions attributable to the Purchaser, to the country of the Purchaser, or to the use of the Information System to be supplied, where such export restrictions arise from trade regulations from a country supplying those Information System, the Proposer shall not be bound by its Proposal, always provided, however, that the Proposer can demonstrate to the satisfaction of the Purchaser and of the Bank that signing of the Contract Agreement has not been prevented by any lack of diligence on

the part of the Proposer in completing any formalities, including applying for permits, authorizations and licenses necessary for the export of the Information System under the terms of the Contract.

48. Performance Security

- 48.1. Within twenty-eight (28) days of the receipt of the Letter of Acceptance from the Purchaser, the successful Proposer shall furnish the performance security in accordance with the General Conditions, subject to ITP 38.2 (b), using for that purpose the Performance Security Form included in Section X, Contract Forms, or another form acceptable to the Purchaser. If the Performance Security furnished by the successful Proposer is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Proposer to be acceptable to the Purchaser. A foreign institution providing a Performance Security shall have a correspondent financial institution located in the Purchaser's Country.
- 48.2. Failure of the successful Proposer to submit the above-mentioned Performance Security or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Proposal Security. In that event the Purchaser may award the Contract to the Proposer offering the next Most Advantageous Proposal.

49. Adjudicator

- 49.1. Unless the PDS states otherwise, the Purchaser proposes that the person named in the PDS be appointed as Adjudicator under the Contract to assume the role of informal Contract dispute mediator, as described in GCC Clause 43.1. In this case, a résumé of the named person is attached to the PDS. The proposed hourly fee for the Adjudicator is specified in the PDS. The expenses that would be considered reimbursable to the Adjudicator are also specified in the PDS. If a Proposer does not accept the Adjudicator proposed by the Purchaser, it should state its non-acceptance in its Proposal Form and make a counterproposal of an Adjudicator and an hourly fee, attaching a résumé of the alternative. If the successful Proposer and the Adjudicator nominated in the PDS happen to be from the same country, and this is not the country of the Purchaser too, the Purchaser reserves the right to cancel the Adjudicator nominated in the PDS and propose a new one. If by the day the Contract is signed, the Purchaser and the successful Proposer have not agreed on the appointment of the Adjudicator, the Adjudicator shall be appointed, at the request of either party, by the Appointing Authority specified in the

SCC clause relating to GCC Clause 43.1.4, or if no Appointing Authority is specified there, the Contract will be implemented without an Adjudicator.

**50. Procurement
Related
Complaint**

- 50.1. The procedures for making a Procurement-related Complaint are as specified in the PDS.

SECTION II - PROPOSAL DATA SHEET (PDS)

The following specific data for the Information System to be procured shall complement, supplement, or amend the provisions in the Instructions to Proposers (ITP). Whenever there is a conflict, the provisions in the PDS shall prevail over those in ITP.

ITP Reference	A. General
ITP 1.1	<p>The reference number of the Request for Proposals is: DM-MPWDE-467842-NC-RFP</p> <p>The Purchaser is: Ministry of Public Works, Public Utilities and the Digital Economy</p> <p>The name of the RFP is: Design and Development of an Automated Border Control (eGate) System</p> <p>The Purchaser shall not accept Proposal for multiple lots under this request for proposals document.</p> <p>The lots are: Not applicable</p>
ITP 1.3 (a)	<p>Electronic Procurement shall not be applicable to this procurement.</p> <p>The Purchaser shall use the following electronic-procurement system to manage this procurement process: not applicable</p> <p>The electronic-procurement system shall be used to manage the following aspects of the Procurement process: not applicable</p>
ITP 2.1	<p>The Borrower is: The Government of the Commonwealth of Dominica</p> <p>Loan or Financing Agreement amount: US\$28 Million</p> <p>The name of the Project is: Caribbean Digital Transformation Project</p>
ITP 4.1	Maximum number of members in the JV shall be: three (3)
ITP 4.5	A list of debarred firms and individuals is available on the Bank's external website: http://www.worldbank.org/debarr .
B. Request for proposals Document	
ITP 7.1	<p>For <u>Clarification of Proposal purposes</u> only, the Purchaser's address is:</p> <p>Attention : Dr. Jermaine Jewel Jean-Pierre Project Manager Project Implementation Unit</p>

	<p>Caribbean Digital Transformation Project (CARDTP) Ministry of Public Works, Public Utilities and the Digital Economy 2nd Floor First Domestic Building 19-21 King George V Street Roseau 00109-8000 Commonwealth of Dominica Telephone: 1-767-266-3840/3841/3844 Email: dtpprocurement@dominica.gov.dm cc jeanpierrej@dominica.gov.dm</p> <p>Requests for clarification should be received by the Purchaser no later than 21 days prior to the deadline for submission of Proposals in accordance with ITP 23.</p> <p>Date: February 4, 2025</p>
ITP 7.1	Web page: https://cardtp.gov.dm/procurement-activities
ITP 7.4	<p>A Pre-Proposal meeting shall take place at the following date, time and place:</p> <p>Date: January 21, 2025</p> <p>Time: 9:30 a.m.</p> <p>Join Zoom Meeting https://us02web.zoom.us/j/81849783935?pwd=VguDZnp7LUuSNZUtgqGDNyTuf4h9hs.1</p> <p>Meeting ID: 818 4978 3935 Passcode: 514339</p> <p>A site visit conducted by the Purchaser shall be organized, if required.</p>
C. Preparation of Proposals	
ITP 10.1	<p>The language of the Proposal is: English.</p> <p>All correspondence exchange shall be in English language.</p> <p>Language for translation of supporting documents and printed literature is English.</p>
ITP 11.2 (j)	<p>The Proposer shall submit with its Proposal, the following additional documents in the Technical Part of its Proposal:</p> <p>(1) Code of Conduct for Supplier’s Personnel (ES)</p> <p>The Proposer shall submit its Code of Conduct that will apply to the Supplier’s Personnel (as defined in GCC Clause 1.1) employed in the execution of the Contract at the Project Site/s to ensure compliance with</p>

	<p>the Supplier’s Environmental and/or Social obligations under the Contract, as applicable. The Proposer shall use for this purpose the Code of Conduct form provided in Section IV. No substantial modifications shall be made to this form, except that the Proposer may introduce additional requirements, including as necessary to take into account specific Contract issues/risks.</p> <p>(2) Cyber security management strategies and implementation plans The Proposer shall submit method statement, management strategies and implementation plans and innovations to manage cyber security risks.</p> <p>(3) Preliminary technical specifications of ICT infrastructure The Proposer shall submit preliminary technical specifications of ICT infrastructure (hardware, software and other products) and any licenses to be procured by the Purchaser under separate contracts in accordance with the technical specifications developed by the Supplier and approved by the Purchaser. The preliminary specifications should also include high level designs of the ICT infrastructure for the production environment.</p>
ITP 11.3(d)	The Proposer shall submit the following additional documents in the Financial Part of its Proposal: None
ITP 13.1	Alternative Proposals are not permitted.
ITP 13.2	Alternatives to the Time Schedule are not permitted.
ITP 13.4	Alternative technical solutions shall be permitted for the following parts of the Information System: none .
ITP 15.2	Prequalification has not been undertaken.
ITP 16.2 (a)	<p>In addition to the topics described in ITP Clause 16.2 (a), the Preliminary Project Plan must address the following topics:</p> <ul style="list-style-type: none"> (i) <i>Project Organization and Management Sub-Plan, including management authorities, responsibilities, and contacts, as well as task, time and resource-bound schedules (in GANTT format);</i> (ii) <i>Implementation Sub-Plan;</i> (iii) <i>Training Sub-Plan to include Capacity Building and Training Module;</i> (iv) <i>Testing and Quality Assurance Sub-Plan;</i> (v) <i>Warranty Defect Repair and Technical Support Service Sub-Plan</i>

ITP 16.3	In the interest of effective integration, cost-effective technical support, and reduced re-training and staffing costs, Proposers shall offer the following items: None
ITP 17.2	The Proposer must propose Recurrent Cost Items.
ITP 17.2	The Proposer must not propose for contracts for Recurrent Cost Items not included in the main Contract.
ITP 17.5	The Incoterms edition is: Incoterms® 2022
ITP 17.5 (a)	Named place of destination is: Please refer to Table B – Site Table(s) in Section VII – Purchaser’s Requirements.
ITP 17.6	Named place of final destination (or Project site) is: Please refer to Table B – Site Table(s) in Section VII – Purchaser’s Requirements.
ITP 17.8	ITP 17.8 is modified as follows: There are no modifications to ITP 17.8.
ITP 17.9	The prices quoted by the Proposer shall not be subject to adjustment during the performance of the Contract.
ITP 18.1	The Proposer is not required to quote in the currency of the Purchaser’s Country the portion of the Proposal price that corresponds to expenditures incurred in that currency.
ITP 19.1	The Proposal shall be valid until: June 25, 2025 (120 calendar days from Proposal Submission Deadline).
ITP 19.3 (a)	The Proposal price shall be adjusted by the following factor(s): not applicable.
ITP 20.1	A Proposal Security shall be required. A Proposal-Securing Declaration shall not be required. The amount and currency of the Proposal Security shall be Thirty Thousand United States Dollars (USD30,000.00). The Proposal Security shall be in the form of a Bank Guarantee or Proposal Bond, using for that purpose the Forms provided in Section IV – Proposal Forms.
ITP 20.3 (d)	Other types of acceptable securities: None No other form of Proposal Securities will be accepted besides those listed in the ITP 20.1 of the Proposal Data Sheet. Failure to provide

	the Proposal Security using the prescribed forms will be subject to disqualification.
ITP 20.9	If the Proposer performs any of the actions prescribed in ITP 20.9 (a) or (b), the Purchaser will declare the Proposer ineligible to be awarded contracts by the Purchaser for a period of three (3) years starting from the date the Proposer performs any of the actions.
ITP 21.3	The written confirmation of authorization to sign on behalf of the Proposer shall consist of: Power of Attorney to demonstrate the authority of the signatory to sign the Proposal.
D. Submission and Opening of Proposals	
ITP 22.1	In addition to the original of the Proposal, the number of copies is: two (2). The Proposer shall also submit an electronic version of the Technical Proposal on a flash drive.
ITP 23.1	For Proposal submission purposes_only, the Purchaser’s address is: Attention : Dr. Jermaine Jewel Jean-Pierre Project Manager Project Implementation Unit Caribbean Digital Transformation Project (CARDTP) Ministry of Public Works, Public Utilities and the Digital Economy 2nd Floor First Domestic Building 19-21 King George V Street Roseau 00109-8000 Commonwealth of Dominica The deadline for Proposal submission is: Date: 25th February 2025 Time: 10:00 a.m.
ITP 23.1	Proposers shall not have the option of submitting their Proposals electronically. The electronic proposals submission procedures shall be: not applicable.
E. Public Opening of Technical Parts of Proposals	
ITP 26.1	The Proposal opening shall take place at: Project Implementation Unit Caribbean Digital Transformation Project (CARDTP)

	<p>Ministry of Public Works, Public Utilities and the Digital Economy 2nd Floor First Domestic Building 19-21 King George V Street Roseau 00109-8000 Commonwealth of Dominica</p> <p>Date: 25th February 2025</p> <p>Time: 10:15 a.m.</p> <p>The Opening of the Technical Parts of Proposals will also be shown via “Zoom” Video Communication, by reading out loud the information as in any normal proposal opening.</p> <p>Join Zoom Meeting</p> <p>https://us02web.zoom.us/j/83785113696?pwd=DRubKCdkDhrzZE3binDKLasdah9HRK.1</p> <p>Meeting ID: 837 8511 3696</p> <p>Passcode: 168268</p>																																					
ITP 26.1	The electronic Proposal opening procedures shall be: not applicable.																																					
G. Evaluation of Technical Part of Proposals																																						
ITP 32.2	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%; text-align: left;">The technical factors (sub-factors) and the corresponding weight out of 100% are:Rated Category and Features</th> <th style="width: 30%; text-align: center;">Weight in %age</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1. Technical Factor</td> <td style="text-align: center;">50%</td> </tr> <tr> <td>General Experience</td> <td style="text-align: center;">10</td> </tr> <tr> <td>Specific Experience</td> <td style="text-align: center;">10</td> </tr> <tr> <td>Approach & Methodology</td> <td style="text-align: center;">30</td> </tr> <tr> <td>Solution Architecture</td> <td style="text-align: center;">30</td> </tr> <tr> <td>Work Plan</td> <td style="text-align: center;">10</td> </tr> <tr> <td>Staff Scheduling</td> <td style="text-align: center;">10</td> </tr> <tr> <td>2. Team Leader & Border Control Specialist</td> <td style="text-align: center;">10%</td> </tr> <tr> <td>Professional Qualification</td> <td style="text-align: center;">20</td> </tr> <tr> <td>Year of Relevant Experience</td> <td style="text-align: center;">35</td> </tr> <tr> <td>Relevant technical and management experience</td> <td style="text-align: center;">45</td> </tr> <tr> <td>3. Senior Technical support Engineer</td> <td style="text-align: center;">10%</td> </tr> <tr> <td>Professional Qualification</td> <td style="text-align: center;">20</td> </tr> <tr> <td>Year of Relevant Experience</td> <td style="text-align: center;">35</td> </tr> <tr> <td>Relevant technical and management experience</td> <td style="text-align: center;">45</td> </tr> <tr> <td>4. Passenger Service Support Technician</td> <td style="text-align: center;">10%</td> </tr> <tr> <td>Professional Qualification</td> <td style="text-align: center;">20</td> </tr> </tbody> </table>		The technical factors (sub-factors) and the corresponding weight out of 100% are: Rated Category and Features	Weight in %age	1. Technical Factor	50%	General Experience	10	Specific Experience	10	Approach & Methodology	30	Solution Architecture	30	Work Plan	10	Staff Scheduling	10	2. Team Leader & Border Control Specialist	10%	Professional Qualification	20	Year of Relevant Experience	35	Relevant technical and management experience	45	3. Senior Technical support Engineer	10%	Professional Qualification	20	Year of Relevant Experience	35	Relevant technical and management experience	45	4. Passenger Service Support Technician	10%	Professional Qualification	20
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	5.Solution Architect	10%
	Professional Qualification	20
	Year of Relevant Experience	35
	Relevant technical and management experience	45
	6. IT Security & Business Continuity/Disaster Recovery Architect	10%
	Professional Qualification	20
	Year of Relevant Experience	35
	Relevant technical and management experience	45
	Technical Score (T)	100%
The technical proposal scoring methodology is specified in Section III- Evaluation and Qualification Criteria.		
H. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts		
ITP 33.8	The Letter of Proposal – Financial Part and the Price Schedules shall be initialed by two (2) representatives of the Purchaser conducting Proposal opening. Each Financial Part of Proposal shall be initialed by all representatives and shall be numbered. Any modification to the unit or total price shall be initialed by the Representative of the Purchaser.	
I. Evaluation of Financial Part of Proposals		
36.1(f)	The adjustments shall be determined using the following criteria, from amongst those set out in Section III, Evaluation and Qualification Criteria. (a) Deviation in Time for Completion: No	
ITP 36.2	The currency(ies) of the Proposal shall be converted into a single currency as follows: Eastern Caribbean Dollar (XCD) The currency that shall be used for Proposal evaluation and comparison purposes to convert all Proposal prices expressed in various currencies into a single currency is: Eastern Caribbean Dollar (XCD) The source of exchange rate shall be: Eastern Caribbean Central Bank (ECCB). The date for the exchange rate shall be: 25th February 2025.	

J. Evaluation of Combined Technical and Financial Parts and Most Advantageous Proposal	
ITP 39.1	The weight to be given for cost is: 50% . Discount Rate (I) for net present value calculations of recurrent costs (if any) is five percent (5%) per annum.
ITP 39.2	BAFO does not apply. If BAFO applies, the procedure will be: not applicable
ITP 39.5	Negotiation does not apply If negotiation applies, the procedure: not applicable
ITP 39.9	As additional qualification measures, the Information System (or components/parts of it) offered by the Proposer with the Most Advantageous Proposal may be subjected to the following tests and performance benchmarks prior to Contract award: A live demo session could be organized to allow the Purchaser to see the software capabilities and ask questions through a virtual session, before contract signature by H1 bidder.
K. AWARD OF CONTRACT	
ITP 44	The maximum percentage by which quantities may be increased is: 10 percent The maximum percentage by which quantities may be decreased is: 10 percent
ITP 49	The proposed Adjudicator is: Mr. Craig Nesty. The proposed hourly fee is: USD60.00.
ITP 50.1	The procedures for making a Procurement-related Complaint are detailed in the “ Procurement Regulations for IPF Borrowers (Annex III).” A Procurement-related Complaint may challenge any of the following: <ol style="list-style-type: none"> 1. The terms of the request for proposals document; 2. the Purchaser’s decision to exclude a Proposer from the procurement process prior to the award of contract; and 3. The Purchaser’s decision to award the contract. <p>If a Proposer wishes to make a Procurement-related Complaint, the Proposer should submit its complaint following these procedures, in</p>

	<p>writing (by the quickest means available, that is either by email or fax), to:</p> <p>For the attention: Jermaine Jewel Jean-Pierre</p> <p>Title/position: Project Manager, Project Implementation Unit</p> <p>Purchaser: Caribbean Digital Transformation Project, Ministry of Public Works, Public Utilities and the Digital Economy</p> <p>Email address: dtpprocurement@dominica.gov.dm with cc to jeanpierrej@dominica.gov.dm</p> <p>Fax number: Not applicable</p>
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Résumé of the Proposed Adjudicator



Craig Nesty IT MANAGEMENT | DEVELOPER

OBJECTIVE

To further advance my consultation and mediation practices especially in the information, communications and technology landscape. To work with local and international leaders in the ICT space which would allow me to leverage my diverse skill set while affording me the opportunity to grow technically and administratively.

SKILLS

Independent learner and effective communicator. An extensive array of IT, Software Development, Documentation and Policy skills. Completed 7 of the 10 courses required to satisfy the Ms in CS.

EXPERIENCE

EXECUTIVE DIRECTOR • NTRC • 2009 – CURRENT

Provided overall leadership and guidance for the institution and transformed it into a more functional and relevant institution. Led an initiative to pioneer the creation of mobile applications on the island and won the PitchIT World Bank local hub initiative. Won the FRIDA grant to implement internet of things in schools. Led the implementation of Alfresco Document Management System which streamlined the storage and retrieval of Documents at the NTRC.

TELECOMMUNICATIONS CONSULTANT • CLARA LIONEL FOUNDATION • 2020 – 2021

Prepared a desk review of the state of telecommunications in both Dominica and Barbados outlining coverage of various services, network robustness and resilience, regulatory frameworks and emergency communication services.

EASTERN CARIBBEAN SUPREME COURT APPOINTED MEDIATOR • 2016 – CURRENT

Conducted several successful mediations for the Eastern Caribbean Supreme Court involving a wide array of matters involving defamation, and property rights. Mediated issues concerning anti competitive practises, harmful frequency interference between telecommunications companies

INDEPENDENT CONTRACTOR • UNDP • 2017 – 2018

Provided Technical Support and Quality Control/Quality Assurance for the Building Damage Assessment National Survey. Used Python, R and PowerBI to provide comprehensive analyses of over 1 million records of building damage data collected and hosted in the Building Damage Management Information System.

IP AND DATA MANAGER • CABLE & WIRELESS(FLOW) • 2002 – 2009

Developed a customer tracking dashboard by mining data from the Customer Information Management System which informed monthly meetings by summarizing KPIs. Successfully implemented the eService and billing platform



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CRAIGITY



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CN

Craig Nesty IT MANAGEMENT DEVELOPER

PROJECT ENGINEER • DOWASCO • 1995 – 2001

Designed and constructed water distribution networks, storage tanks, pump stations and intakes. Developed a database that tracked all material used on the 30 Million dollar rural water project.

AUTOCAD CONSULTANT • CARIBBEAN DATA SERVICES • 2000 – 2001

Provided consulting services to an American Offshore GIS firm by writing AutoLisp routines in AutoCAD which facilitated conversion of raster aerial photographs to vector drawings.

EDUCATION

MASTER OF SCIENCE IN COMPUTER SCIENCE • 2023 • GEORGIA INSTITUTE OF TECHNOLOGY, ATLANTA, GEORGIA

MASTER OF ENGINEERING IN INTERNETWORKING • 2003 • UNIVERSITY OF DALHOUSIE, HALIFAX, NOVA SCOTIA

BACHELORS OF APPLIED SCIENCES CIVIL ENGINEERING • 1995 • UNIVERSITY OF WATERLOO, WATERLOO, ONT.
FIRST CLASS HONORS, DEAN'S LIST.

VOLUNTEER EXPERIENCE OR LEADERSHIP

Initiated the Dominica Internet Society Chapter
President of the Dominica Association of Professional Engineers
Member of the Rotary Club

REFERENCES

WILLIAM ROBERTSON, PROGRAM DIRECTOR, DAL CANADA TEL: +1902 494 2702
JEFFREY BAPTISTE, GENERAL MANAGER, FLOW DOMINICA TEL: +1767 2351244



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SECTION III - EVALUATION AND QUALIFICATION CRITERIA (WITHOUT PREQUALIFICATION)

This Section contains all the criteria that the Purchaser shall use to evaluate Proposals and qualify Proposers. No other factors, methods or criteria shall be used. The Proposer shall provide all the information requested in the forms included in Section IV, Proposal Forms.

1. Qualification

1.1 Qualification Requirements

The Proposer's qualification shall be assessed in accordance with the **Qualification table included in this section (at the end).**

1.2 Financial Resources – Requirement Specified in section iii, evaluation qualification criteria para 1.3

1.3 Key Personnel

The Proposer must demonstrate that it will have suitably qualified key personnel. The Proposer shall complete the relevant Forms in Section IV, Proposal Forms.

The list of key personnel with qualification required is given in the table below and the Supplier must propose the team in the technical proposal.

The key personnel will be evaluated under rated criteria as per ITP – 32.2

Position Name	Nos	Role	Qualifications	Experience
Team Leader & Border Control Specialist	1	<ul style="list-style-type: none"> • Ensure the on-site team is performing to the satisfaction of PIU and to the requirements of the contract. • Report on team activities and provide a Safety plan as needed. 	<ul style="list-style-type: none"> • At a minimum Bachelors in Engineering or IT or equivalent • Must have 	<ul style="list-style-type: none"> • Overall minimum 15 years of experience • Must have 3+ Years of Experience on managing and leading e-gates projects or similar project

			PMP or Prince 2 or equivalent certificates	<p>including at least 2 projects in the last 5 years</p> <ul style="list-style-type: none"> • Must have 7+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Must have 7+ years of experience in providing IT technical support in for land border/airports/seaports domains
Senior Technical Support Engineer	1	<ul style="list-style-type: none"> • Provide 3rd Level support to troubleshoot complex issues including diagnosing and resolving network & connectivity issues, integration issues, Self-Service software application issues, hardware and equipment failures etc. • Manage TSEs & PSSTs 	<ul style="list-style-type: none"> • At a minimum, Bachelors in Engineering or IT or equivalent • ITIL, CCNA certificates 	<ul style="list-style-type: none"> • Overall minimum 7 years of Experience • Must have 5+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system

				<ul style="list-style-type: none"> • Must have 5+ years of experience in providing IT technical support in for land border/airports/seaports domains
Passenger Service Support Technician (PSST)	2	<ul style="list-style-type: none"> • Responsible for providing on-site operational support of all components of the ABC system, including incident management. • The technician shall escalate unresolved first/second level support issues to the appropriate resources. • Perform preventive maintenance (PM) work on ABC systems, as needed, to ensure adherence to required repair time. Maintenance shall be carried out as deemed appropriate by PIU and in compliance with the manufacturer's preventive maintenance recommendations. 	<ul style="list-style-type: none"> • IT, programming, computer systems, computer technician diploma or higher • Preferable to have ITIL, CCNA certificates 	<ul style="list-style-type: none"> • Overall minimum 5years of Experience • Preferable to have 1+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Preferable to have 1+ years of experience in providing IT technical support in for land border/airports/seaports domains • Must have 3+ years experience in providing IT technical support
Solution Architect	1	Professional Qualification	<ul style="list-style-type: none"> • IT, programming, 	<ul style="list-style-type: none"> • Overall minimum 5years of Experience

	<ul style="list-style-type: none"> • Should be a graduate in Business Administration, Public Administration, Computer Science/IT or relevant areas <p>Relevant Experience</p> <ul style="list-style-type: none"> • Should have experience in implementing Automated Border Control System projects of similar nature in the public/government /private sector projects • Should have carried out solution architecting for Interoperable/Cloud Architecture • Should have experience in designing cloud architecture <p>Relevant technical and management experience</p> <ul style="list-style-type: none"> • Should have demonstrative experience in the performing technical and managerial roles or responsibilities in projects or tasks of similar nature 	<p>computer systems, computer technician diploma or higher</p> <ul style="list-style-type: none"> • Preferable to have TOGAF or equivalent 	<ul style="list-style-type: none"> • Preferable to have 1+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Preferable to have 1+ years of experience in providing IT technical support in for land border/airports/seaports domains
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<p>IT Security & Business Continuity/Disaster recovery Architect</p>	<p>1</p>	<p>Professional Qualification</p> <ul style="list-style-type: none"> Should be a graduate in Business Administration, Public Administration, Computer Science/IT or relevant areas <p>Relevant Experience</p> <ul style="list-style-type: none"> Should have experience in IT Security & Business Continuity/Disaster Recovery Architect <p>Relevant technical and management experience</p> <ul style="list-style-type: none"> Should have demonstrative experience in the performing technical and managerial roles or responsibilities in projects or tasks of similar nature 	<ul style="list-style-type: none"> Should be CISA/CI SM certified 	<ul style="list-style-type: none"> Overall minimum 5 years of Experience Must have 3+ years of experience in IT Security & Business Continuity/Disaster Recovery Architect Must have 3+ Years of experience in IT security & Business Continuity/ Disaster Recovery architect support in for land border/airports/ seaports domains
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Non- Key personnel (not for evaluation)

Position Name	Nos	Role	Qualifications	Experience
Technical Support Engineer (TSE)	2	<ul style="list-style-type: none"> • Provide 1st and 2nd Line technical support. • Dealing mostly service requests and dispatching in-scope hardware related callouts. This function is performed from the service desk and includes 24x7 monitoring of the system infrastructure with senior technical support engineers dispatched onto the floors when there is a need to physically do hands-on work. 	<ul style="list-style-type: none"> • At a minimum, Bachelors in Engineering or IT or equivalent • ITIL, CCNA certificates 	<ul style="list-style-type: none"> • Overall minimum 5 years of Experience • Must have 1+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Preferable to have 2+ years of experience in providing IT technical support in for land border/airports/seaports domains • Must have 5+ providing IT technical support

Change Management & Capacity Building Expert	1	<ul style="list-style-type: none"> • Provide Training and capacity building support and ensure streamlined change management process. • Ensure all the change management and capacity building processes are well documented 	<ul style="list-style-type: none"> • At a minimum, Bachelors in Engineering or IT or Computer Science or Social Science or equivalent • Preferable to have Lean Six Sigma/ CCMP or equivalent certification 	<ul style="list-style-type: none"> • Overall minimum 7 years of experience • Must have 2+ Years of Experience on implementing MIS/Digital Solutions or similar projects including at least 2 projects in the last 5 years • Must have 1+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Must have 2+ years of experience in providing Change management and/or capacity building support in for land border/airports/seaports domains
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1.4 Subcontractors/vendors/manufacturers (if any)

Subcontractors/vendors/manufacturers for major items of supply or services identified in the prequalification document must meet or continue to meet the minimum criteria specified therein for each item.

Subcontractors for the following additional major items of supply or services must meet the following minimum criteria, herein listed for that item:

Item No.	Description of Item	Minimum Criteria to be met
1.	All the sub-modules of ABC system	Should meet the Minimum Business Function Requirement
2.	All the sub-modules of SSO	

3.	All the sub-modules of ESB or interoperability framework	
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Failure to comply with this requirement will result in the rejection of the subcontractor.

1.5 Manufacturer's authorization

For all powered (active) hardware and/or software components of the Information System which the Proposer does not itself produce, by submission of documentary evidence in its Proposal, the Proposer must establish to the Purchaser's satisfaction that it is not prohibited to supply those components in the Purchaser's country under the Contract(s) that may result from this procurement.

- (i) In the case of powered (active) hardware and other powered equipment, this must be documented by including Manufacturer's Authorizations in the Proposal (based on the sample found in the Sample Proposal Forms in Section IV.);
- (ii) In the case of proprietary commercial software (i.e., excluding open source or "freeware" software) that the Proposer does not manufacture itself and for which the Proposer has or will establish an Original Equipment Manufacturer (OEM) relationship with the manufacturer, the Proposer must provide Manufacture's Authorizations;
- (iii) In the case of proprietary commercial software (i.e., excluding open source or "freeware" software) that the Proposer does not manufacture itself and for which the Proposer does not or will not establish an OEM relationship with the manufacturer, the Proposer must document to the Purchaser's satisfaction that the Proposer is not excluded from sourcing these items from the manufacturer's distribution channels and proposing offering these items for supply in the Borrower's Country.
- (iv) In the case of open-source software, the Proposer must identify the software item as open source and provide copies of the relevant open source license(s).

The Proposer is responsible for ensuring that the manufacturer or producer complies with the requirements of ITP 4 and ITP 5 and meets the minimum criteria listed above for that item.

1.6 Local Representative

In the case of a Proposer not doing business within the Purchaser's country, the Proposer shall submit documentary evidence in its Proposal to establish to the Purchaser's satisfaction that it is or will be (if awarded the Contract)

represented by an agent in that country who is equipped and able to carry out / manage the Proposer's maintenance, technical support, training, and warranty repair obligations specified in the Purchaser's Requirements

2. Technical Evaluation

2.1 Assessment of adequacy of Technical Proposal with Requirements in accordance with ITP 32.1

2.2 Technical Evaluation (ITP 32.2)

The technical features to be evaluated are generally defined below and specifically identified in the PDS:

- (i) to which extent that the performance, capacity, or functionality features meet or exceed the levels specified in the performance / functional requirements and/or influence the life-cycle cost and effectiveness of the Information System;
- (ii) usability features, such as ease of use, ease of administration, or ease of expansion, which influence the life-cycle cost and effectiveness of the Information System;
- (iii) quality of the Proposer's Preliminary Project Plan as evidenced by the thoroughness, reasonableness, and responsiveness of: (a) the task and resource schedules, both general and specific, and (b) the proposed arrangements for management and coordination, training, quality assurance, technical support, logistics, problem resolution, and transfer of knowledge, and other such activities as specified by the Purchaser or proposed by the Proposer based on the Proposer's experience;
- (iv) Any sustainable procurement requirement if specified in Section VII-Requirements of the Information System.

The total technical points assigned to each Proposal in the Evaluated Proposal Formula will be determined by adding and weighting the scores assigned by an evaluation committee to technical features of the Proposal **in accordance with the PDS** and the scoring methodology below:

Technical Proposal Scoring Methodology

- (a) During the evaluation process, the evaluation committee will assign each desirable/preferred feature a whole number score from 0 to 4, where 0 means that the feature is absent, and 1 to 4 either represent predefined values for desirable features amenable to an objective way of rating (as is the case for, e.g., extra memory, or extra mass storage capacity, etc., if these extras would be conducive for the utility of the system), or if the feature represents a desirable functionality (e.g., of a software package) or a quality improving the prospects for a successful implementation (such as the strengths of the

proposed project staff, the methodology, the elaboration of the project plan, etc., in the proposal), the scoring will be 1 for the feature being present but showing deficiencies; 2 for meeting the requirements; 3 for marginally exceeding the requirements; and 4 for significantly exceeding the requirements.

- (b) The score for each feature (i) within a category (j) will be combined with the scores of features in the same category as a weighted sum to form the Category Technical Score using the following formula:

$$S_j \equiv \sum_{i=1}^k t_{ji} * w_{ji}$$

where:

t_{ji} = the technical score for feature “i” in category “j”

w_{ji} = the weight of feature “i” in category “j”

k = the number of scored features in category “j”

and $\sum_{i=1}^k w_{ji} = 1$

- (c) The Category Technical Scores will be combined in a weighted sum to form the total Technical Proposal Score using the following formula:

$$T \equiv \sum_{j=1}^n S_j * W_j$$

where:

S_j = the Category Technical Score of category “j”

W_j = the weight of category “j” as specified in the PDS

n = the number of categories

and $\sum_{j=1}^n W_j = 1$

3. Technical alternatives

If invited in accordance with ITP 13.4, will be evaluated as follows: **None**

4. Financial Evaluation

The following factors and methods will apply:

- (a) **Time Schedule:**

NA

(b) Recurrent Costs

Since the operation and maintenance of the system being procured form a major part of the implementation, the resulting recurrent costs will be evaluated according to the principles given hereafter, including the cost of recurrent cost items for the initial period of operation stated below, based on prices furnished by each Proposer in Price Schedule Nos. 3.3 and 3.5.

Recurrent cost items for post- warranty service period if subject to evaluation shall be included in the main contract or a separate contract signed together with the main contract.

Such costs shall be added to the Proposal price for evaluation.

Option 1: The recurrent cost factors for calculation of the implementation schedule are:

- (i) number of years for implementation
- (ii) software licenses and updates
- (iii) technical support services / helpdesk
- (iv) post operational acceptance technical assistance; and
- (v) other services as may be defined in the technical requirements and proce schedules.

The Recurrent Costs (R) are reduced to net present value and determined using the following formula:

$$R \circ \overset{\circ}{a}_{X-1} \frac{R_x}{(1+I)^x}$$

where

N = number of years of evaluated recurrent costs

x = an index number 1, 2, 3, ... N.

R_x = total Recurrent Costs for year “x,” as recorded in the Recurrent Cost Sub-Table.

I = discount rate to be used for the Net Present Value calculation, as specified **in the PDS for ITP 39.1.**

(c) Specific additional criteria

The relevant evaluation method, if any, shall be as follows: **Not Applicable**

5. Combined Evaluation

The Purchaser will evaluate and compare the Proposals that have been determined to be substantially responsive.

An Evaluated Proposal Score (B) will be calculated for each responsive Proposal using the following formula, which permits a comprehensive assessment of the evaluated cost and the technical merits of each Proposal:

$$B \equiv \frac{C_{low}}{C} * X * 100 + \frac{T}{T_{high}} * (1 - X) * 100$$

where

C = Evaluated Proposal Price

C_{low} = the lowest of all Evaluated Proposal Prices among responsive Proposals

T = the total Technical Score awarded to the Proposal

T_{high} = the Technical Score achieved by the Proposal that was scored best among all responsive Proposals

X = weight for the Cost as specified in the PDS

The Proposal with the best evaluated Proposal Score (B) among responsive Proposals shall be the Most Advantageous Proposal provided the Proposer was prequalified and/or it was found to be qualified to perform the Contract

1. Qualification

Factor	1.1 ELIGIBILITY					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each member	At least one member		
1.1 <u>Nationality</u>	Nationality in accordance with ITP 4.4.	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Form ELI –1.1 and 1.2, with attachments
1.2 <u>Conflict of Interest</u>	No- conflicts of interests as described in ITP 4.2.	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Letter of Proposal
1.3 <u>Bank Ineligibility</u>	Not having been declared ineligible by the Bank as described in ITP 4.5.	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Letter of Proposal
1.4 <u>State owned Entity of the Borrower country</u>	Compliance with conditions of ITP 4.6	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Form ELI –1.1 and 1.2, with attachments

Factor	1.1 ELIGIBILITY					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each member	At least one member		
1.1.5 United Nations resolution or Borrower’s country law	Not having been excluded as a result of prohibition in the Borrower’s country laws or official regulations against commercial relations with the Proposer’s country, or by an act of compliance with UN Security Council resolution, both in accordance with ITP 4.8	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Letter of Proposal

Factor	1.2 HISTORICAL CONTRACT NON-PERFORMANCE					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
1.2.1 History of non-performing contracts	Non-performance of a contract ¹ did not occur as a result of Proposer's default since 1 st January 2019.		Must meet requirement by itself or as member to past or existing JV	N / A		Must meet requirement ²
1.2.2 Suspension	Not under suspension based on execution of a Proposal Securing Declaration or Proposal Securing Declaration pursuant to ITP 4.7 and ITP 20.10	Must meet requirement	N / A	Must meet requirement	N / A	Letter of Proposal

¹ Nonperformance, as decided by the Purchaser, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Purchaser decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² This requirement also applies to contracts executed by the Applicant as JV member.

1.2.3 Pending Litigation	Proposer's financial position and prospective long-term profitability still sound according to criteria established in 2.3.1 below and assuming that all pending litigation will be resolved against the Proposer.	Must meet requirement by itself or as member to past or existing JV	N / A	Must meet requirement	N / A	Form CON – 2
1.2.4 Litigation History	No consistent history of court/arbitral award decisions against the Proposer ³ since 1 st January 2019.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2
1.2.5 Bank's SEA and/or SH Disqualification ⁴	At the time of Contract Award, not subject to disqualification by the Bank for non-compliance with SEA/ SH obligations	Must meet requirement (including each subcontractor proposed by the Proposer)	N/A	Must meet requirement (including each subcontractor proposed by the Proposer)	N/A	Letter of Proposal, Form CON-3

³ The Proposer shall provide accurate information on the related Letter of Proposal about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Proposer or any member of a joint venture may result in failure of the Proposal.

Factor	1.3 FINANCIAL SITUATION						
Sub-Factor	Criteria					Documentation Required	
	Requirement	Proposer					
		Single Entity	Joint Venture (existing or intended)				
			All members combined	Each member	At least one member		
1.3.1 Historical Financial Performance	Submission of audited balance sheets or if not required by the law of the Proposer’s country, other financial statements acceptable to the Purchaser, for the last three (3) years to demonstrate the current soundness of the Proposers financial position and its prospective long-term profitability. <u>Net worth positive in last three years.</u>	Must meet requirement	N / A	Must meet requirement	N / A	Form FIN – 1.3.1 with attachments	
1.3.2 Average Annual Turnover	Minimum average annual turnover of USD 3,000,000 calculated as total certified payments received for contracts in progress or completed, within the last three (3) years.	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN –1.3.2	

Factor	1.3 FINANCIAL SITUATION					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each member	At least one member		
1.3.3 Financial Resources	The Proposer must demonstrate access to, or availability of, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments to meet the following cash-flow requirement: Positive working capital exceeding USD 400,000.	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN –1.3.3

Factor	1.4 EXPERIENCE					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each member	At least one member		
1.4.1 General Experience	Experience under Information System contracts in the role of prime supplier, management contractor, JV member, or subcontractor for at least the last five (5) years prior to the applications submission deadline.	Must meet requirement	N / A	Must meet requirement	N / A	Form EXP-1.4.1
1.4.2 Specific Experience	Participation as a prime supplier, management contractor, JV ⁵ member, sub-contractor, in at least two (2) contracts within the last seven (7) years , each with a value of at least USD 1,500,000.00 (One Million Five Hundred Thousand) , that have been successfully and substantially completed and that are similar	Must meet requirement	Must meet requirements	N / A	Must meet the following requirement <i>[specify any key requirement to be met by one member]</i>	Form EXP 1.4.2

⁵ For contracts under which the Proposer participated as a joint venture member or sub-contractor, only the Proposer's share, by value, and role and responsibilities shall be considered to meet this requirement.

Factor	1.4 EXPERIENCE					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each member	At least one member		
	to the proposed Information System. The successfully completed similar contracts shall be documented by a copy of an Operational Acceptance Certificate (or equivalent documentation satisfactory to the Purchaser) issued by the purchaser(s).					

SECTION IV - PROPOSAL FORMS

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Letter of Proposal- Technical Part

INSTRUCTIONS TO PROPOSERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Proposer must prepare this Letter of Proposal on stationery with its letterhead clearly showing the Proposer's complete name and business address.

Note: All italicized text is to help Proposers in preparing this form.

Date of this Proposal submission: *[insert date (as day, month and year) of Proposal submission]*

RFP No.: *[insert number of RFP process]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative, otherwise state "not applicable"]*

We, the undersigned, declare that:

To: *[insert complete name of Purchaser]*

- (a) **No reservations:** We have examined and have no reservations to the request for proposals document, including Addenda issued in accordance with Instructions to Proposers (ITP 8);
- (b) **Eligibility:** We meet the eligibility requirements and have no conflict of interest in accordance with ITP 4;
- (c) **Proposal-Securing Declaration:** We have not been suspended nor declared ineligible by the Purchaser based on execution of a Proposal-Securing Declaration or Proposal-Securing Declaration in the Purchaser's Country in accordance with ITP 4.7;
- (d) **Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH):** *[select the appropriate option from (i) to (v) below and delete the others. In case of JV members and/or subcontractors, indicate the status of disqualification by the Bank of each JV member and/or subcontractor].*

We, including any of our subcontractors:

- (i) [have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (ii) [are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (iii) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, and were removed from the disqualification list. An arbitral award on the disqualification case has been made in our favor.]

- (e) **Conformity:** We offer to provide design, supply and installation services in conformity with the request for proposals document of the following: *[insert a brief description of the IS Design, Supply and Installation Services]*;
- (f) **Proposal Validity:** Our Proposal shall be valid *in accordance with ITP 19.1*, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- (g) **Performance Security:** If our Proposal is accepted, we commit to obtain a Performance Security in accordance with the request for proposals document;
- (h) **One Proposal Per Proposer:** We are not submitting any other Proposal(s) as an individual Proposer, and we are not participating in any other Proposal(s) as a Joint Venture member, and meet the requirements of ITP 4.3, other than alternative Proposals submitted in accordance with ITP 13;
- (i) **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Purchaser's Country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (j) **State-owned enterprise or institution:** *[select the appropriate option and delete the other: We are not a state-owned enterprise or institution / We are a state-owned enterprise or institution but meet the requirements of ITP 4.6]*;
- (k) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the procurement process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity]*

Name of Recipient	Address	Reason	Amount

[If none has been paid or is to be paid, indicate "none."]

- (l) **Binding Contract:** We understand that this Proposal, together with your written acceptance thereof included in your Letter of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;

- (m) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Proposal, the Most Advantageous Proposal or any other Proposal that you may receive; and
- (n) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption.

Name of the Proposer: **[insert complete name of the Proposer]*

Name of the person duly authorized to sign the Proposal on behalf of the Proposer: ***[insert complete name of person duly authorized to sign the Proposal]*

Title of the person signing the Proposal: *[insert complete title of the person signing the Proposal]*

Signature of the person named above: *[insert signature of person whose name and capacity are shown above]*

Date signed *[insert number]* day of *[insert month]*, *[insert year]*

*: In the case of the Proposal submitted by joint venture specify the name of the Joint Venture as Proposer

** : Person signing the Proposal shall have the power of attorney given by the Proposer to be attached with the Proposal

FORM ELI 1.1.1- PROPOSER INFORMATION FORM

[Note: The Proposer shall fill in this Form in accordance with the instructions indicated below. No alterations to its format shall be permitted and no substitutions shall be accepted.]

Date: *[insert date (as day, month and year) of Proposal submission]*

RFP No.: *[insert number of Request for Proposals process]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative] otherwise state “not applicable”*

Page _____ of _____ pages

1. Proposer’s Name <i>[insert Proposer’s legal name]</i>
2. In case of JV, legal name of each member : <i>[insert legal name of each member in JV]</i>
3. Proposer’s actual or intended country of registration: <i>[insert actual or intended country of registration]</i>
4. Proposer’s year of registration: <i>[insert Proposer’s year of registration]</i>
5. Proposer’s Address in country of registration: <i>[insert Proposer’s legal address in country of registration]</i>
6. Proposer’s Authorized Representative Information Name: <i>[insert Authorized Representative’s name]</i> Address: <i>[insert Authorized Representative’s Address]</i> Telephone/Fax numbers: <i>[insert Authorized Representative’s telephone/fax numbers]</i> Email Address: <i>[insert Authorized Representative’s email address]</i>
7. Attached are copies of original documents of <i>[check the box(es) of the attached original documents]</i> <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITP 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITP 4.1. <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITP 4.6 documents establishing: <ul style="list-style-type: none"> • Legal and financial autonomy

- Operation under commercial law
 - Establishing that the Proposer is not under the supervision of the Purchaser
8. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. *[The successful Proposer shall provide additional information on beneficial ownership, using the Beneficial Ownership Disclosure Form.]*

FORM ELI 1.1.2- PROPOSER’S JV MEMBERS INFORMATION FORM

[The Proposer shall fill in this Form in accordance with the instructions indicated below. The following table shall be filled in for the Proposer and for each member of a Joint Venture].

Date: *[insert date (as day, month and year) of Proposal submission]*

RFP No.: *[insert number of Request for Proposals process]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative, otherwise state “not applicable”]*

Page _____ of _____ pages

1. Proposer’s Name: <i>[insert Proposer’s legal name]</i>
2. Proposer’s JV Member’s name: <i>[insert JV’s Member legal name]</i>
3. Proposer’s JV Member’s country of registration: <i>[insert JV’s Member country of registration]</i>
4. Proposer’s JV Member’s year of registration: <i>[insert JV’s Member year of registration]</i>
5. Proposer’s JV Member’s legal address in country of registration: <i>[insert JV’s Member legal address in country of registration]</i>
6. Proposer’s JV Member’s authorized representative information Name: <i>[insert name of JV’s Member authorized representative]</i> Address: <i>[insert address of JV’s Member authorized representative]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers of JV’s Member authorized representative]</i> Email Address: <i>[insert email address of JV’s Member authorized representative]</i>

7. Attached are copies of original documents of *[check the box(es) of the attached original documents]*
- Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITP 4.4.
 - In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Purchaser in accordance with ITP 4.6.
8. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. *[The successful Proposer shall provide additional information on beneficial ownership for each JV member using the Beneficial Ownership Disclosure Form.]*

FORM CON – 2- HISTORICAL CONTRACT NON-PERFORMANCE, PENDING LITIGATION AND LITIGATION HISTORY

In case a prequalification process was conducted this form should be used only if the information submitted at the time of prequalification requires updating

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: _____ *[insert date]*

JV member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

Page _____ of _____ pages

Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
Non-Performed Contracts in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> Contract non-performance did not occur since 1 st January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 1.2.1.			
<input type="checkbox"/> Contract(s) not performed since 1 st January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, requirement 1.2.1			
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No pending litigation in accordance with Sub-Factor 1.2.3.			
<input type="checkbox"/> Pending litigation in accordance with Sub-Factor 1.2.3 as indicated below.			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[specify year]</i>	<i>[specify amount and currency]</i>	Contract Identification: <i>[insert Contract ID]</i> Name of Employer: <i>[insert Name of Employer]</i> Address of Employer: <i>[insert Address of Employer]</i> Matter in dispute: <i>[describe Matter of dispute]</i> Party who initiated the dispute: <i>[specify Initiator of dispute]</i> Status of dispute: <i>[specify Status of dispute]</i>	<i>[specify total contract amount and currency, USD equivalent and exchange rate]</i>
<i>[specify year]</i>	<i>[specify amount and currency]</i>	Contract Identification: <i>[insert Contract ID]</i> Name of Employer: <i>[insert Name of Employer]</i> Address of Employer: <i>[insert Address of Employer]</i> Matter in dispute: <i>[describe Matter of dispute]</i> Party who initiated the dispute: <i>[specify Initiator of dispute]</i> Status of dispute: <i>[specify Status of dispute]</i>	<i>[specify total contract amount and currency, USD equivalent and exchange rate]</i>
Litigation History in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No Litigation History in accordance with Sub-Factor 1.2.4.			
<input type="checkbox"/> Litigation History in accordance with Sub-Factor 1.2.4 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[specify year]</i>	<i>[specify percentage of net worth]</i>	Contract Identification: <i>[insert Contract ID]</i> Name of Employer: <i>[insert Name of Employer]</i> Address of Employer: <i>[insert Address of Employer]</i>	<i>[specify total contract amount and currency, USD equivalent and exchange rate]</i>

FORM CON – 3

SEXUAL EXPLOITATION AND ABUSE (SEA) AND/OR SEXUAL HARASSMENT PERFORMANCE DECLARATION

[The following table shall be filled in by the Proposer, each member of a Joint Venture and each subcontractor proposed by the Proposer]

Proposer’s Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member’s or Subcontractor’s Name: *[insert full name]*
 RFP No. and title: *[insert RFP number and title]*
 Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration in accordance with Section III, Qualification Criteria, and Requirements
We: <ul style="list-style-type: none"> <input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations <input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations <input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, and were removed from the disqualification list. An arbitral award on the disqualification case has been made in our favor.
<i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i>

FORM EXP 1.4.1- GENERAL EXPERIENCE

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Starting Month / Year	Ending Month / Year	Years *	Contract Identification	Role of Proposer
<i>[insert month / year]</i>	<i>[insert month / year]</i>	<i>[insert numbe r of years]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i>
<i>[insert month / year]</i>	<i>[insert month / year]</i>	<i>[insert numbe r of years]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i>
<i>[insert month / year]</i>	<i>[insert month / year]</i>	<i>[insert numbe r of years]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i>
<i>[insert month / year]</i>	<i>[insert month / year]</i>	<i>[insert numbe r of years]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i>

*List calendar year for years with contracts with at least nine (9) months activity per year starting with the earliest year

FORM EXP 1.4.2- SPECIFIC EXPERIENCE

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Similar Contract Number: ___ of ___ required.	Information		
Contract Identification	<i>[insert Contract ID]</i>		
Award date	<i>[insert Date of Award]</i>		
Completion date	<i>[insert Date of Completion]</i>		
Role in Contract	<input type="checkbox"/> Prime Supplier	<input type="checkbox"/> Management Contractor	<input type="checkbox"/> Subcontractor
Total contract amount			
If member in a JV or subcontractor, specify participation of total contract amount			
Purchaser's Name:	_____		
Address:	_____		
Telephone/fax number:	_____		
E-mail:	_____		

FORM EXP 1.4.2 (CONT.)- SPECIFIC EXPERIENCE (CONT.)

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

Page _____ of _____ pages

Similar Contract No. <i>[insert specific number]</i> of <i>[insert total number of contracts]</i> required	Information
Description of the similarity in accordance with Sub-Factor 1.4.2 of Section III:	
Amount	<i>[insert contract amount and currency and USD equivalent and exchange rate]</i>
Geographical Scope	<i>[describe geographic scope of the users of the information system]</i>
Functional Scope	<i>[describe the functionalities provided by the information system]</i>
Methods/Technology	<i>[describe methodologies and technologies used to implement the information system]</i>
Key Activities	<i>[describe the key activities of the Proposer under the contract]</i>

FORM CCC- SUMMARY SHEET: CURRENT CONTRACT COMMITMENTS / WORK IN PROGRESS

[Proposers and each partner to a Joint Venture should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.]

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Name of contract	Purchaser, contact address/tel./fax	Value of outstanding Information System (current US\$ equivalent)	Estimated completion date	Average monthly invoicing over last six months (US\$ equivalent/month)
1. <i>[insert Name of Contract]</i>	<i>[insert Name of Purchaser, contact address, telephone / fax number]</i>	<i>[insert Total Outstanding Contract Value of the information system in USD equivalent and exchange rate]</i>	<i>[insert Estimated completion date]</i>	<i>[insert Average monthly invoices in USD equivalent and exchange rate]</i>
2. <i>[insert Name of Contract]</i>	<i>[insert Name of Purchaser, contact address, telephone / fax number]</i>	<i>[insert Total Outstanding Contract Value of the information system in USD equivalent and exchange rate]</i>	<i>[insert Estimated completion date]</i>	<i>[insert Average monthly invoices in USD equivalent and exchange rate]</i>
3. <i>[insert Name of Contract]</i>	<i>[insert Name of Purchaser, contact address, telephone / fax number]</i>	<i>[insert Total Outstanding Contract Value of the information system in USD equivalent and exchange rate]</i>	<i>[insert Estimated completion date]</i>	<i>[insert Average monthly invoices in USD equivalent and exchange rate]</i>
...				

FORM FIN 1.3.1- FINANCIAL SITUATION: HISTORICAL FINANCIAL PERFORMANCE

To be completed by the Proposer and, if JV, by each member

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

Page _____ of _____ pages

Financial information in US\$ equivalent	Historic information for previous <i>[insert number]</i> years (US\$ equivalent in 000s)						
	Year 1	Year 2	Year 3	Year ...	Year n	Avg.	Avg. Ratio
Information from Balance Sheet							
Total Assets (TA)							
Total Liabilities (TL)							
Net Worth (NW)							
Current Assets (CA)							
Current Liabilities (CL)							
Information from Income Statement							
Total Revenue (TR)							
Profits Before Taxes (PBT)							

Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- (a) Must reflect the financial situation of the Proposer or member to a JV, and not sister or parent companies
- (b) Historic financial statements must be audited by a certified accountant
- (c) Historic financial statements must be complete, including all notes to the financial statements

- (d) Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted)

FORM FIN 1.3.2- AVERAGE ANNUAL TURNOVER

[To be completed by the Proposer and, if JV, by each member]

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

Page _____ of _____ pages

Annual turnover data (applicable activities only)		
Year	Amount and Currency	US\$ equivalent
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in USD equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in USD equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in USD equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in USD equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in USD equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in USD equivalent and exchange rate]</i>
*Average Annual Turnover	<i>[insert amount and currency]</i>	<i>[insert amount in USD equivalent and exchange rate]</i>

*Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 1.3.2.

FORM FIN 1.3.3- FINANCIAL RESOURCES

To be completed by the Proposer and, if JV, by each member

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total cash flow demands of the subject contract or contracts as indicated in Section III, Evaluation and Qualification Criteria

Source of financing	Amount (US\$ equivalent)
1. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in USD equivalent and exchange rate]</i>
2. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in USD equivalent and exchange rate]</i>
3. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in USD equivalent and exchange rate]</i>
4. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in USD equivalent and exchange rate]</i>

PERSONNEL CAPABILITIES- KEY PERSONNEL

To be completed by the Proposer and, if JV, by each member

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Proposers should provide the names and details of the suitably qualified Personnel to perform the Contract. The data on their experience should be supplied using the Form PER-2 below for each candidate.

Key Personnel

1.	Title of position: <i>[insert Title of position / role in team]</i>	
	Name of candidate: <i>[insert Name of Candidate]</i>	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>
2.	Title of position: <i>[insert Title of position / role in team]</i>	
	Name of candidate: <i>[insert Name of Candidate]</i>	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>

3.	Title of position: Cyber security Expert/s <i>[Include as required]</i>	
	Name of candidate: <i>[insert Name of Candidate]</i>	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>

Candidate Summary

To be completed by the Proposer and, if JV, by each member

Proposer’s Legal Name: *[insert Proposer’s Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

Page _____ of _____ pages

Position; <i>[insert Title of Position]</i>		Prime <input type="checkbox"/> Alternate
Candidate information	Name of candidate <i>[insert Name Candidate]</i>	Date of birth <i>[insert Date of Birth]</i>
Professional qualifications <i>[describe Professional qualifications]</i>		
Present employment	Name of Employer <i>[insert Name of Present Employer]</i>	
Address of Employer <i>[insert Address of Present Employer]</i>		
Telephone <i>[insert Telephone of Contact]</i>		Contact (manager / personnel officer) <i>[insert Name]</i>
Fax <i>[insert fax of Contact]</i>		email <i>[insert email of Contact]</i>
Job title of candidate <i>[insert Job Title Candidate]</i>		Years with present Employer <i>[insert Number of years]</i>

Summarize professional experience over the last twenty years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

From	To	Company/Project/ Position/Relevant technical and management experience
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>

CODE OF CONDUCT FOR SUPPLIER’S PERSONNEL FORM

Note to the Purchaser:

The following minimum requirements shall not be modified. The Purchaser may add additional requirements to address identified issues, informed by relevant environmental and social assessment.

Delete this Box prior to issuance of the Request for Proposals document.

Note to the Proposer:

The minimum content of the Code of Conduct form as set out by the Purchaser shall not be substantially modified. However, the Proposer may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Proposer shall initial and submit the Code of Conduct form as part of its proposal.

CODE OF CONDUCT FOR SUPPLIER’S PERSONNEL

We are the Supplier, [enter name of Supplier]. We have signed a contract with [enter name of Purchaser] for [enter description of the Information System]. The Information System will be supplied to and installed at [enter the Project Site/s]. Our contract requires us to implement measures to address environmental and social risks.

This Code of Conduct identifies the behavior that we require from Supplier’s Personnel employed in the execution of the Contract at the Project Site/s.

Our workplace is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

REQUIRED CONDUCT

Supplier’s Personnel employed in the execution of the Contract at the Project Site/s shall:

1. carry out his/her duties competently and diligently;
2. comply with this Code of Conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Supplier’s Personnel and any other person;
3. maintain a safe working environment including by:

- a. ensuring that workplaces, machinery, equipment and processes under each person’s control are safe and without risk to health;
 - b. wearing required personal protective equipment;
 - c. using appropriate measures relating to chemical, physical and biological substances and agents; and
 - d. following applicable emergency operating procedures.
4. report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
 5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
 6. not engage in any form of sexual harassment including unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other Supplier’s or Purchaser’s Personnel;
 7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
 8. not engage in in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
 9. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
 10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, and Sexual Exploitation and Abuse, and Sexual Harassment (SH);
 11. report violations of this Code of Conduct; and
 12. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Purchaser, or who makes use of the grievance mechanism for Supplier’s Personnel or the project’s Grievance Redress Mechanism.

RAISING CONCERNS

If any person observes behavior that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [*enter name of the Supplier’s Social Expert with relevant experience in handling sexual exploitation, sexual abuse and sexual harassment cases, or if such person is not required under the Contract, another individual designated by the Supplier to handle these matters*] in writing at this address [] or by telephone at [] or in person at []; or

2. Call [] to reach the Supplier’s hotline (*if any*) and leave a message.

The person’s identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT

Any violation of this Code of Conduct by the Supplier’s Personnel may result in serious consequences, up to and including termination and possible referral to legal authorities.

FOR SUPPLIER’S PERSONNEL:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [*enter name of Supplier’s contact person(s) with relevant experience*] requesting an explanation.

Name of Supplier’s Personnel: [*insert name*]

Signature: _____

Date: (day month year): [*insert date*]

Countersignature of authorized representative of the Supplier:

Signature: _____

Date: (day month year): [*insert date*]

ATTACHMENT 1: Behaviors constituting SEA and behaviors constituting SH

ATTACHMENT 1 TO THE CODE OF CONDUCT FORM**BEHAVIORS CONSTITUTING SEXUAL EXPLOITATION AND ABUSE (SEA)
AND BEHAVIORS CONSTITUTING SEXUAL HARASSMENT (SH)**

The following non-exhaustive list is intended to illustrate types of prohibited behaviors.

(1) **Examples of sexual exploitation and abuse** include, but are not limited to:

- A Supplier's Personnel tells a member of the community that he/she can get them jobs related to the project site in exchange for sex.
- A Supplier's Personnel rapes, or otherwise sexually assaults a member of the community.
- A Supplier's Personnel denies a person access to the Project Site/s unless he/she performs a sexual favor.
- A Supplier's Personnel tells a person applying for employment under the Contract that he/she will only hire him/her if he/she has sex with him/her.

(2) **Examples of sexual harassment in a work context**

- A Supplier's Personnel comment on the appearance of another Supplier's Personnel (either positive or negative) and sexual desirability.
- When a Supplier's Personnel complains about comments made by another Supplier's Personnel on his/her appearance, the other Supplier's Personnel comment that he/she is "asking for it" because of how he/she dresses.
- Unwelcome touching of a Supplier's Personnel or Purchaser's Personnel by another Supplier's Personnel.
- A Supplier's Personnel tells another Supplier's Personnel that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

TECHNICAL CAPABILITIES

[Note: To be completed by the Proposer and, if JV, by each member]

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

Page _____ of _____ pages

The Proposer shall provide adequate information to demonstrate clearly that it has the technical capability to meet the requirements for the Information System. The Proposer should summarize important certifications, proprietary methodologies, and/or specialized technologies that the Proposer proposes to utilize in the execution of the Contract or Contracts.

MANUFACTURER’S AUTHORIZATION

[Note: This authorization should be written on the letterhead of the Manufacturer and be signed by a person with the proper authority to sign documents that are binding on the Manufacturer.]

Invitation for Proposals Title and No.: *[Purchaser insert: **RFP Title and Number**]*

To: *[Purchaser insert: **Purchaser’s Officer to receive the Manufacture’s Authorization**]*

WHEREAS *[insert: **Name of Manufacturer**]* who are official producers of *[insert: **items of supply by Manufacturer**]* and having production facilities at *[insert: **address of Manufacturer**]* do hereby authorize *[insert: **name of Proposer or Joint Venture**]* located at *[insert: **address of Proposer or Joint Venture**]* (hereinafter, the “Proposer”) to submit a proposal and subsequently negotiate and sign a Contract with you for resale of the following Products produced by us:

We hereby confirm that, in case the request for proposals process results in a Contract between you and the Proposer, the above-listed products will come with our full standard warranty.

Name *[insert: **Name of Officer**]* in the capacity of *[insert: **Title of Officer**]*

Signed _____

Duly authorized to sign the authorization for and on behalf of: *[insert: **Name of Manufacturer**]*

Dated this *[insert: **ordinal**]* day of *[insert: **month**]*, *[insert: **year**]*.

[add Corporate Seal (where appropriate)]

SUBCONTRACTOR'S AGREEMENT

Note: This agreement should be written on the letterhead of the Subcontractor and be signed by a person with the proper authority to sign documents that are binding on the Subcontractor.

Invitation for Proposals Title and No.: *[Purchaser insert: **RFP Title and Number**]*

To: *[Purchaser insert: **Purchaser's Officer to receive the Subcontractor's Agreement**]*

WHEREAS *[insert: **Name of Subcontractor**]*, having head offices at *[insert: **address of Subcontractor**]*, have been informed by *[insert: **name of Proposer or Joint Venture**]* located at *[insert: **address of Proposer or Joint Venture**]* (hereinafter, the "Proposer") that it will submit a proposal in which *[insert: **Name of Subcontractor**]* will provide *[insert: **items of supply or services provided by the Subcontractor**]*. We hereby commit to provide the above named items, in the instance that the Proposal is awarded the Contract.

Name *[insert: **Name of Officer**]* in the capacity of *[insert: **Title of Officer**]*

Signed _____

Duly authorized to sign the authorization for and on behalf of: *[insert: **Name of Subcontractor**]*

Dated this *[insert: **ordinal**]* day of *[insert: **month**]*, *[insert: **year**]*.

[add Corporate Seal (where appropriate)]

List of Proposed Subcontractors

	Item	Proposed Subcontractor	Place of Registration & Qualifications

INTELLECTUAL PROPERTY FORMS

Notes to Proposers on working with the Intellectual Property Forms

In accordance with ITP 11.1(j), Proposers must submit, as part of their proposals, lists of all the Software included in the proposal assigned to one of the following categories: (a) System, General-Purpose, or Application Software; (b) Standard or Custom Software; (c) Proprietary or Open Source. Proposers must also submit a list of all Custom Materials. These categorizations are needed to support the Intellectual Property in the GCC and SCC. The Proposer must also include the text of the software licenses for the software titles proposed.

Software List

Title	(select one per title)			(select one per title)		(select one per title)	
	System	General-Purpose	Application	Standard	Custom	Proprietary	Open Source
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							

Attachments: Proposed Software Licenses

List of Custom Materials

Custom Materials
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>

**CONFORMANCE OF INFORMATION SYSTEM
MATERIALS**

Format of the Technical Proposal

In accordance with ITP 16.2, the documentary evidence of conformity of the Information System to the request for proposals documents includes (but is not restricted to):

- (a). The Proposer’s Preliminary Project Plan, including, but not restricted, to the topics specified in the PDS ITP 16.2. The Preliminary Project Plan should also state the Proposer’s assessment of the major responsibilities of the Purchaser and any other involved third parties in System supply and installation, as well as the Proposer’s proposed means for coordinating activities by each of the involved parties to avoid delays or interference.
- (b). A written confirmation by the Proposer that, if awarded the Contract, it shall accept responsibility for successful integration and interoperability of all the proposed Information Technologies included in the System, as further specified in the Technical Requirements.
- (c). Item-by-Item Commentary on the Technical Requirements demonstrating the substantial responsiveness of the overall design of the System and the individual Information Technologies, Goods, and Services offered to those Technical Requirements.

In demonstrating the responsiveness of its proposal, the Proposer must use the Technical Responsiveness Checklist (Format). Failure to do so increases significantly the risk that the Proposer’s Technical Proposal will be declared technically non-responsive. Among other things, the checklist should contain explicit cross-references to the relevant pages in supporting materials included the Proposer’s Technical Proposal.

Note: The Technical Requirements are voiced as requirements of the *Supplier* and/or the *System*. The Proposer’s response must provide clear evidence for the evaluation team to assess the credibility of the response. A response of “yes” or “will do” is unlikely to convey the credibility of the response. The Proposer should indicate *that* – and to the greatest extent practical – *how* the Proposer would comply with the requirements if awarded the contract. Whenever the technical requirements relate to feature(s) of existing products (e.g., hardware or software), the features should be described and the relevant product literature referenced. When the technical requirements relate to professional services (e.g., analysis, configuration, integration, training, etc.) some effort should be expended to describe how they would be rendered – not just a commitment to perform the [cut-and-paste] requirement. Whenever a technical requirement is for the Supplier to provide certifications (e.g., ISO 9001), copies of these certifications must be included in the Technical Proposal.

Note: As required in PDS 11.2 (j), include method statement, management strategies and implementation plans and innovations, to manage cyber security risks.

Note: The Manufacture’s Authorizations (and any Subcontractor Agreements) are to be included in Attachment 2 (Proposer Qualifications), in accordance with and ITP 15.

Note: As a matter of practice, the contract cannot be awarded to a Proposer whose Technical Proposal deviates (materially) from the Technical Requirements – *on any Technical Requirement*. Such deviations include omissions (e.g., non-responses) and responses that do not meet or exceed the requirement. Extreme care must be exercised in the preparation and presentation of the responses to all the Technical Requirements.

- (d). Supporting materials to underpin the Item-by-item Commentary on the Technical Requirements (e.g., product literature, white-papers, narrative descriptions of technical approaches to be employed, etc.). In the interest of timely proposal evaluation and contract award, Proposers are encouraged not to overload the supporting materials with documents that do not directly address the Purchaser’s requirements.
- (e). Any separate and enforceable contract(s) for Recurrent Cost items which the PDS ITP 17.2 required Proposers to propose.

Note: To facilitate proposal evaluation and contract award, Proposers encouraged to provide electronic copies of their Technical Proposal – preferably in a format that the evaluation team can extract text from to facilitate the proposal clarification process and to facilitate the preparation of the Proposal Evaluation Report.

Technical Responsiveness Checklist (Format)

Tech. Require. No. _	Technical Requirement: <i>[insert: abbreviated description of Requirement]</i>
Proposer's technical reasons supporting compliance:	
Proposer's cross references to supporting information in Technical Proposal:	

[Note to the Purchaser: The Technical Responsiveness tables submitted by each Proposer can help structure the Purchaser's technical evaluation. In particular, the Purchaser can append rows to each of the Proposer's submitted responsiveness tables to record the Purchaser's assessment of the compliance, partial compliance, and non-compliance of the Proposer's response to the specific Technical Requirement – including the Purchaser's rationale for its conclusion (including, as appropriate, clear indications of the gaps in the Proposer's response/supporting documentation). These assessments can provide a standardized presentation of the detailed underlying logic of the Purchaser's final assessment of the responsiveness / non-responsiveness of the Proposer's technical proposal. Typically, the detailed response/assessment tables would appear as an attachment to the Proposal Evaluation Report].

FORM OF PROPOSAL SECURITY (BANK GUARANTEE)

[The bank shall fill in this Bank Guarantee Form in accordance with the instructions indicated.]

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: *[Purchaser to insert its **name and address**]*

RFP No.: *[Purchaser to insert reference **number for the RFP**]*

Alternative No.: *[Insert identification No if this is a Proposal for an alternative]*

Date: *[Insert **date of issue**]*

PROPOSAL GUARANTEE No.: *[Insert **guarantee reference number**]*

We have been informed that *[insert **name of the Proposer**, which in the case of a joint venture shall be the name of the joint venture (whether legally constituted or prospective) or the names of all members thereof]* (hereinafter called “the Applicant”) has submitted or will submit the Beneficiary its proposal (hereinafter called “the Proposal”) for the execution of *[insert **Name of Contract**]* under Request for Proposals No. *[insert **number**]* (“the RFP”).

Furthermore, we understand that, according to the Beneficiary’s, Proposals must be supported by a Proposal guarantee.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of *[insert **amount in figures** (**insert amount in words**)]* upon receipt by us of the Beneficiary’s complying demand supported by the Beneficiary’s statement, whether in the demand itself or a separate signed document accompanying the demand, stating that either the Applicant:

- (a) has withdrawn its Proposal prior to the Proposal validity expiry date set forth in the Applicant’s Letter of Proposal, or any extended date provided by the Applicant; or
- (b) having been notified of the acceptance of its Proposal by the Beneficiary prior to the expiry date of the Proposal validity or any extension thereof provided by the Applicant has failed to: (i) execute the Contract Agreement, if required, or (ii) furnish the performance security, in accordance with the Instructions to Proposers (“ITP”) of the Beneficiary’s request for proposals document.

This guarantee will expire: (a) if the Applicant is the successful Proposer, upon our receipt of copies of the contract agreement signed by the Applicant and the Performance Security issued to the Beneficiary in relation to such Contract Agreement; or (b) if the Applicant is not the successful Proposer, upon the earlier of (i) our receipt of a copy of the Beneficiary’s

notification to the Applicant of the results of the request for proposals process; or (ii) twenty-eight days after the expiry date of the Proposal validity.

Consequently, any demand for payment under this guarantee must be received by us at the office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758.

[signature(s)]

FORM OF PROPOSAL SECURITY (PROPOSAL BOND)

BOND NO. *[insert number]*

BY THIS BOND *[insert Name]* as Principal (hereinafter called “the Principal”), and *[insert Name]*, **authorized to transact business in** *[insert Jurisdiction]*, as Surety (hereinafter called “the Surety”), are held and firmly bound unto *[insert Purchaser Name]* as Obligee (hereinafter called “the Purchaser”) in the sum of *[insert amount in figures]*¹ (*[insert amount in words]*), for the payment of which sum, well and truly to be made, we, the said Principal and Surety, bind ourselves, our successors and assigns, jointly and severally, firmly by these presents.

WHEREAS the Principal has submitted or will submit a written Proposal to the Purchaser dated the *[insert ordinal number]* day of *[insert month]* *[insert year]*, for *[insert name of Contract]* (hereinafter called the “Proposal”).

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if the Principal:

- (a) withdraws its Proposal prior to the Proposal validity expiry date set forth in the Principal’s Letter of Proposal, or any extended date provided by the Principal; or
- (b) having been notified of the acceptance of its Proposal by the Purchaser prior to the expiry date of the Proposal validity or any extension thereto provided by the Applicant has failed to; (i) execute the Contract Agreement, or (ii) furnish the Performance Security in accordance with the Instructions to Proposers (“ITP”) of the Purchaser’s request for proposals document.

then the Surety undertakes to immediately pay to the Purchaser up to the above amount upon receipt of the Purchaser’s first written demand, without the Purchaser having to substantiate its demand, provided that in its demand the Purchaser shall state that the demand arises from the occurrence of any of the above events, specifying which event(s) has occurred.

The Surety hereby agrees that its obligation will remain in full force and effect up to and including the date 28 days after the date of expiry of the Proposal validity set forth in the Principal’s Letter of Proposal or extended thereto provided by the Principal.

IN TESTIMONY WHEREOF, the Principal and the Surety have caused these presents to be executed in their respective names this *[insert number]* day of *[insert month]* *[insert year]*.

¹ The amount of the Bond shall be denominated in the currency of the *Purchaser’s* Country or the equivalent amount in a freely convertible currency.

Principal: _____

Surety: _____

Corporate Seal (where appropriate)

(Signature)

(Printed name and title)

(Signature)

(Printed name and title)

Letter of Proposal - Financial Part

INSTRUCTIONS TO PROPOSERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Proposer must prepare this Letter of Proposal on stationery with its letterhead clearly showing the Proposer's complete name and business address.

Note: *All italicized text is to help Proposers in preparing this form.*

Date of this Proposal submission: *[insert date (as day, month and year) of Proposal submission]*

Request for Proposal No.: *[insert identification]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative]*

To: *[insert complete name of Purchaser]*

We, the undersigned, hereby submit the second part of our Proposal, the Proposal Price and Priced Activity Schedule. This accompanies the Letter of Proposal- Technical Part.

In submitting our Proposal, we make the following additional declarations:

- (a) **Proposal Validity:** Our Proposal shall be valid *in accordance with ITP 19.1*, and it shall remain binding upon us and may be accepted at any time on or before this date;
- (b) **Total Price:** The total price of our Proposal, excluding any discounts offered in item (c) below is: *[Insert one of the options below as appropriate]*

[Option 1, in case of one lot:] Total price is: [insert the total price of the Proposal in words and figures, indicating the various amounts and the respective currencies];

Or

[Option 2, in case of multiple lots:] (a) Total price of each lot [insert the total price of each lot in words and figures, indicating the various amounts and the respective currencies]; and (b) Total price of all lots (sum of all lots) [insert the total price of all

lots in words and figures, indicating the various amounts and the respective currencies];

(c) **Discounts:** The discounts offered and the methodology for their application are:

(i) The discounts offered are: *[Specify in detail each discount offered]*

(ii) The exact method of calculations to determine the net price after application of discounts is shown below: *[Specify in detail the method that shall be used to apply the discounts];*

(d) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the procurement process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity].*

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate “none.”)

Name of the Proposer: **[insert complete name of the Proposer]*

Name of the person duly authorized to sign the Proposal on behalf of the Proposer: ******
[insert complete name of person duly authorized to sign the Proposal]

Title of the person signing the Proposal: *[insert complete title of the person signing the Proposal]*

Signature of the person named above: *[insert signature of person whose name and capacity are shown above]*

Date signed *[insert date of signing]* **day of** *[insert month], [insert year]*

*: In the case of the Proposal submitted by a Joint Venture specify the name of the Joint Venture as Proposer.

** : Person signing the Proposal shall have the power of attorney given by the Proposer. The power of attorney shall be attached with the Proposal Schedules

PRICE SCHEDULE FORMS

Notes to Proposers on working with the Price Schedules

General

1. The Price Schedules are divided into separate Schedules as follows:
 - 3.1 Grand Summary Cost Table
 - 3.2 Supply and Installation Cost Summary Table
 - 3.3 Recurrent Cost Summary Table
 - 3.4 Supply and Installation Cost Sub-Table(s)
 - 3.5 Recurrent Cost Sub-Tables(s)
 - 3.6 Country of Origin Code Table

[insert: any other Schedules as appropriate]
2. The Schedules do not generally give a full description of the information technologies to be supplied, installed, and operationally accepted, or the Services to be performed under each item. However, it is assumed that Proposers shall have read the Technical Requirements and other sections of these request for proposals documents to ascertain the full scope of the requirements associated with each item prior to filling in the rates and prices. The quoted rates and prices shall be deemed to cover the full scope of these Technical Requirements, as well as overhead and profit.
3. If Proposers are unclear or uncertain as to the scope of any item, they shall seek clarification in accordance with the Instructions to Proposers in the request for proposals documents prior to submitting their proposal.

Pricing

4. Prices shall be filled in indelible ink, and any alterations necessary due to errors, etc., shall be initialed by the Proposer. As specified in the Proposal Data Sheet, prices shall be fixed and firm for the duration of the Contract.
5. Proposal prices shall be quoted in the manner indicated and in the currencies specified in ITP 18.1 and ITP 18.2. Prices must correspond to items of the scope and quality defined in the Technical Requirements or elsewhere in these request for proposals documents.
6. The Proposer must exercise great care in preparing its calculations, since there is no opportunity to correct errors once the deadline for submission of proposals has passed. A single error in specifying a unit price can therefore change a Proposer's overall total proposal price substantially, make the proposal noncompetitive, or subject the Proposer to possible loss. The Purchaser will correct any arithmetic error in accordance with the provisions of ITP 32.
7. Payments will be made to the Supplier in the currency or currencies indicated under each respective item. As specified in ITP 18.2, no more than three foreign currencies may be used.

3.1 Grand Summary Cost Table

		<i>[insert: Local Currency] Price</i>	<i>[insert: Foreign Currency A] Price</i>	<i>[insert: Foreign Currency B] Price</i>	<i>[insert: Foreign Currency C] Price</i>
1.	Supply and Installation Costs (from Supply and Installation Cost Summary Table)				
2.	Recurrent Costs (from Recurrent Cost Summary Table)				
4.	Grand Totals (to Proposal Submission Form)				

Name of Proposer:		
Authorized Signature of Proposer:		

3.2 Supply and Installation Cost Summary Table

Costs MUST reflect prices and rates quoted in accordance with ITP 17 and 18.

Line Item No.	Subsystem / Item	Supply and Installation Cost Sub-Table No.	Supply & Installation Prices				
			Locally supplied items	Items supplied from outside the Purchaser’s Country			
			<i>[insert: Local Currency]</i> Price	<i>[insert: Local Currency]</i> Price	<i>[insert: Foreign Currency A]</i> Price	<i>[insert: Foreign Currency B]</i> Price	<i>[insert: Foreign Currency C]</i> Price
0	Project Plan	--	--	--	--	--	--
1	Subsystem 1	1					
SUBTOTALS							
TOTAL (To Grand Summary Table)							

Note: -- indicates not applicable. --Indicates repetition of table entry above. Refer to the relevant Supply and Installation Cost Sub-Table for the specific components that constitute each Subsystem or line item in this summary table

Name of Proposer:	
Authorized Signature of Proposer:	

3.3 Recurrent Cost Summary Table

Costs MUST reflect prices and rates quoted in accordance with ITP 17 and ITP 18.

Line Item No.	Subsystem / Item	Recurrent Cost Sub-Table No.	<i>[insert: Local Currency] Price</i>	<i>[insert: Foreign Currency A] Price</i>	<i>[insert: Foreign Currency B] Price</i>	<i>[insert: Foreign Currency C] Price</i>
y	Recurrent Cost Items					
y.1	_____	y.1				
	Subtotals (to Grand Summary Table)					

Note: Refer to the relevant Recurrent Cost Sub-Tables for the specific components that constitute the Subsystem or line item in this summary table.

Name of Proposer:		
Authorized Signature of Proposer:		

3.4 Supply and Installation Cost Sub-Table *[insert: identifying number]*

Line item number: *[specify: relevant line item number from the Supply and Installation Cost Summary Table (e.g., 1.1)]*

Prices, rates, and subtotals MUST be quoted in accordance with ITP 17 and ITP 18.

				Unit Prices / Rates					Total Prices				
				Supplied Locally	Supplied from outside the Purchaser's Country			Supplied Locally	Supplied from outside the Purchaser's Country				
Component No.	Component Description	Country of Origin Code	Quantity	<i>[insert: local currency]</i>	<i>[insert: local currency]</i>	<i>[insert: foreign currency A]</i>	<i>[insert: foreign currency B]</i>	<i>[insert: foreign currency C]</i>	<i>[insert: local currency]</i>	<i>[insert: local currency]</i>	<i>[insert: foreign currency A]</i>	<i>[insert: foreign currency B]</i>	<i>[insert: foreign currency C]</i>
X.1	_____	--	--	--	--	--	--	--					
Subtotals (to <i>[insert: line item]</i> of Supply and Installation Cost Summary Table)													

Note: -- indicates not applicable.

Name of Proposer:	
Authorized Signature of Proposer:	

3.5 Recurrent Cost Sub-Table [*insert: identifying number*] -- **Warranty Period**

Lot number: [*if a multi-lot procurement, insert: lot number, otherwise state “single lot procurement”*]

Line item number: [*specify: relevant line item number from the Recurrent Cost Summary Table – (e.g., y.1)*]

Currency: [*specify: the currency of the Recurrent Costs in which the costs expressed in this Sub-Table are expressed*]

[*As necessary for operation of the System, specify: the detailed components and quantities in the Sub-Table below for the line item specified above, modifying the sample components and sample table entries as needed. Repeat the Sub-Table as needed to cover each and every line item in the Recurrent Cost Summary Table that requires elaboration.*]

Costs MUST reflect prices and rates quoted in accordance with ITP 17 and ITP 18.

		Maximum all-inclusive costs (for costs in [<i>insert: currency</i>])					
Component No.	Component	Y1	Y2	Y3			Sub-total for [<i>insert: currency</i>]
1.	Hardware Maintenance	Incl. in Warranty	Incl. in Warranty	Incl. in Warranty			
2.	Software Licenses & Updates	Incl. in Warranty					
2.1	System and General-Purpose Software	Incl. in Warranty					
2.2	Application, Standard and Custom Software	Incl. in Warranty					
3.	Technical Services						

Component No.	Component	Maximum all-inclusive costs (for costs in [insert: <i>currency</i>])						Sub-total for [insert: <i>currency</i>]
		Y1	Y2	Y3				
3.1	Sr. Systems Analyst							
3.2	Sr. Programmer							
3.3	Sr. Network Specialist, etc.							
4.	Telecommunications costs [to be detailed]							
5.	[Identify other recurrent costs as may apply]							
	Annual Subtotals:							--
Cumulative Subtotal (to [insert: <i>currency</i>] entry for [insert: <i>line item</i>] in the Recurrent Cost Summary Table)								

Name of Proposer:	
Authorized Signature of Proposer:	

SECTION V - ELIGIBLE COUNTRIES

Eligibility for the Provision of Information System

In reference to ITP 4.8 and ITP 5.1, for the information of the Proposers, at the present time firms and information systems from the following countries are excluded from this procurement process:

Under ITP 4.8(a) and ITP 5.1: **None**

Under ITP 4.8(b) and ITP 5.1: **None**

SECTION VI - FRAUD AND CORRUPTION

(Section VI shall not be modified)

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-

contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;

- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

PART 2 – PURCHASER’S REQUIREMENTS

**SECTION VII - REQUIREMENTS OF THE INFORMATION
SYSTEM**

**(INCLUDING TECHNICAL REQUIREMENTS, IMPLEMENTATION SCHEDULE,
SYSTEM INVENTORY TABLES, BACKGROUND AND INFORMATIONAL
MATERIALS)**

Technical Requirements

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A. ACRONYMS USED IN THE TECHNICAL REQUIREMENTS

0.1 Acronym Table

Term	Explanation
ABBG	Automated Biometric Boarding Gate
ABC	Automated and integrated Border Control and Customs
AEA	Association of European Airlines
AI	Artificial Intelligence
AMC	Annual Maintenance Contract
API	Application Programming Interface
API	Application Programming Interface
BCBP	Bar coded boarding pass
BGR	Boarding Gate Reader
CARDTP	Caribbean Digital Transformation Project
CCTV	closed-circuit television
CE	Conformité Européenne certification
CUWS	Common Use Web Services
DC	Data Centre
DMZ	demilitarized zone
DOJ	Date of Joining
DOR	Discharge On Request
DOS	Disk Operating System
DQC	Data Quality Control
DR	Disaster Recovery Centre
EGRIP	Electronic Government for Regional Integration Project
ESB	Enterprise Service Bus
FCC	Federal Communications Commission
FIFO	First In First Out
FRS	Functional Requirement Specification
FVU	fingerprint verification units
GB	Gigabyte
GDPR	General Data Protection Regulation
GoCD	Government of Commonwealth of Dominica
GPU	Graphics Processing Unit
HDMS	Helpdesk Management System

Term	Explanation
HRMS	Human Resource Management System
IATA	The International Air Transport Association
IC	Identity Card
ICAO	INTERNATIONAL CIVIL AVIATION ORGANIZATION
ICT	Information and Communication Technology
IEC	International Electrotechnical Commission
IEC CB	International Electrotechnical Commission Certification Body
IMP	Identity Management Platform
INCITS	International Council on Information Technology Standards
IoS	iPhone operating System
IP	Ingress Protection
IPS	Intrusion Prevention System
IRIS	The process of using visible and near-infrared light to take a high-contrast Photograph of a Person's Iris
ISO	International Standards Organization
ISO	International Organization of Standards
ISO	International Organization for Standardization/
KB	Kilobyte
KPIs	Key Performance Indices
LAN	Local Area Network
LED	Light-emitting Diode
LUX	Unit of Illumination
MDAs	Ministries, Departments and Agencies
MIS	Management Information System
MPID	Multi-Purpose Identity System
MPWDE	Ministry of Public Works and the Digital Economy
MRZ	Machine Readable Zone
MTBF	Mean Time between failures
MTBF	Mean Time between failures
MTTR	Mean Time To Repair
MTTR	Mean Time to Resolve
NBS	National Bureau of Standards
NGO	Non-governmental Organization
NI	National Insurance
NIST	National Institute of Standards and Technology
NIST	National Institute of Standards and Technology (NIST)
O&M	Operations & Maintenance
OAT	Operational Acceptance Test
OCR	Optical Character Recognition
ODBC	Open Database Connectivity

Term	Explanation
OECS	Organization of Eastern Caribbean States
OEM	Original Equipment Manufacturer
OLE	Object Linking and Embedding
OS	Operating System
OS	Operating System
OTP	One-Time Password
PC	Personal Computer
PISC	Project Implementation Support Consultant
PIU	Project Implementation Unit
PRM	Person with Reduced Mobility
PSC	Project Steering Committee
QC	Quality Control
QR Code	Quick Response Code
QRC	Quick Response code
RDBMS	Relational Database Management System
REST	Representational State Transfer
RFB	Request for Bid
RoHS	Restriction of Hazardous Substances
RPIU	Regional Project Implementation Unit
SaaS	Software as a Service
SDK	Software Development Kit
SI	System Integrator
SLA	Service Level Agreement
SMS	Short Message Service
SOP	Standard Operating Procedures
SoP	Standard Operating Procedure
SoW	Scope of Work
SP	Service Provider
SQL	Structured Query Language
SRN	Service Request Number
SRS	Software Requirement Specification
SSO	Single Sign On
TAT	Turn Around Time
TC	Technical Committee
TCP	Transmission Control Protocol
TFS	Travel Facilitation System
TSL	Transport Layer Security
UAT	User Acceptance Testing

Term	Explanation
UDP	User Datagram Protocol
UI	User Interface
UID	Unique Identification
UN	United Nations
UPS	Uninterruptible Power Supply
WAF	Web Application Firewall
WEEE	Waste from Electrical and Electronic Equipment
Wi-Fi	Wireless Fidelity

B. FUNCTIONAL, ARCHITECTURAL AND PERFORMANCE REQUIREMENTS

1.1 Legal and Regulatory Requirements to be met by the Information System

The Travel Facilitation System (TFS) MUST comply with the following laws and regulations:

A. Cross-cutting Acts and Bills

N	Document Description	Link
1.	Electronic Filing Act No 20 of 2013	http://www.dominica.gov.dm/laws/2013/Electronic Filing, 2013 ACT 20 of 2013.pdf
2.	Electronic Evidence Act No 13 of 2010	http://www.dominica.gov.dm/laws/2010/Electronic Evidence no. 13.pdf
3.	Electronic Funds Transfer Act No 17 of 2013	http://www.dominica.gov.dm/laws/2013/Electronic Funds Transfer Act, 2013 ACT 17 of 2013.pdf
4.	Electronic Transactions Act No 19 of 2013	http://www.dominica.gov.dm/laws/2013/Electronic Transactions Act, 2013 Act 19 of 2013.pdf
5.	Doc 9303 Machine Readable Travel Documents	https://www.icao.int/publications/Documents/9303_p3_cons_en.pdf
6.	Understanding the NIST cybersecurity framework	https://www.ftc.gov/business-guidance/small-businesses/cybersecurity/nist-framework
7.	ICAO MRTD and eMRTD Standards and Specification	https://www.icao.int/Meetings/mrtd-madrid-2014/Documents/13_GaryMacDonald_Standards-Specifications.pdf
8.	e-MRTD	https://www.icao.int/meetings/tag-mrtd/tagmrtd22/tag-mrtd-22_wp24.pdf

B. Customs Act

- Customs (Amendment) Act 2018, ACT 11 of 2018
- Customs (Amendment) Act, 2014, ACT 9 of 2014
- Customs Act 2010 (Part 1), Act No. 20 of 2010
- Customs Act 2010 (Part 2), Act No. 20 of 2010(2)

C. Immigration Act

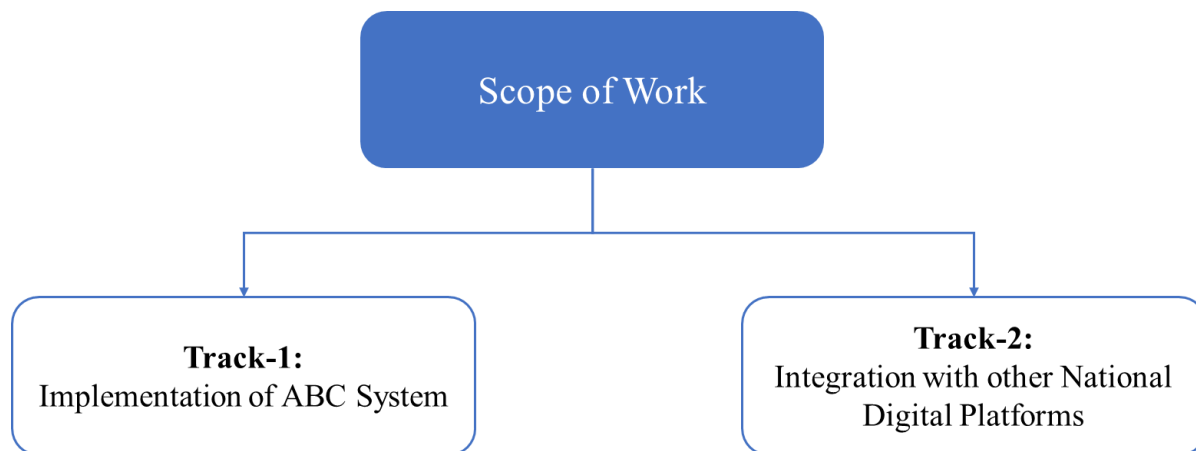
- Immigration and Passport Act Chapter 18:01 Act 5 of 1941 - <http://www.dominica.gov.dm/laws/chapters/chap18-01.pdf>
- Immigration and Passport Regulations Statutory Rules and Orders No 21 of 1996 – <http://www.dominica.gov.dm/laws/1996/sro21-1996.pdf>
- Immigration and Passport (Amendment) Act No 3 of 2000 - <http://www.dominica.gov.dm/laws/2000/act3-2000.pdf>
- Immigration and Passport Regulations Statutory Rules and Orders No 36 of 2001 – <http://www.dominica.gov.dm/laws/2001/sro36-2001.pdf>
- Immigration and Passport (Amendment) Regulations Statutory Rules and Orders No 2 of 2002 – <http://www.dominica.gov.dm/laws/2002/sro2-2002.pdf>
- Immigration and Passport (Amendment) Act No. 3 of 2002 – <http://www.dominica.gov.dm/laws/2002/act3-2002.pdf>
- Immigration and Passport Regulations Statutory Rules and Orders No 51 of 2002 – <http://www.dominica.gov.dm/laws/2002/sro51-2002.pdf>
- Immigration and Passport (Amendment) Act No. 19 of 2003 – <http://www.dominica.gov.dm/laws/2003/act19-2003.pdf>
- Immigration and Passport Regulations Statutory Rules and Orders No 22 of 2003 – <http://www.dominica.gov.dm/laws/2003/sro22-2003.pdf>
- Immigration and Passport (Amendment) Regulations Statutory Rules and Orders No 25 2003 – <http://www.dominica.gov.dm/laws/2003/sro25-2003.pdf>
- Immigration and Passport (Amendment) Act No. 4 of 2007 – <http://www.dominica.gov.dm/laws/2007/act4-2007.pdf>
- Immigration and Passport (Amendment) Act No. 11 of 2007 – <http://www.dominica.gov.dm/laws/2007/act11-2007.pdf>
- Immigration and Passport (Amendment) Act No. 24 of 2013 – [http://www.dominica.gov.dm/laws/2013/Immigration and Passport \(Amendment\) Act, 2013, Act 24 of 2013.pdf](http://www.dominica.gov.dm/laws/2013/Immigration%20and%20Passport%20(Amendment)%20Act,%202013,%20Act%2024%20of%202013.pdf)
- Immigration and Passport Amendment Statutory Rules and Orders No 10 of 2016 – [http://www.dominica.gov.dm/laws/2016/Immigration and Passport \(Amendment\) Regulations, 2016.pdf](http://www.dominica.gov.dm/laws/2016/Immigration%20and%20Passport%20(Amendment)%20Regulations,%202016.pdf)
- Immigration and Passport (Amendment) Statutory Rules and Orders No 42 of 2016 – [http://www.dominica.gov.dm/laws/2016/Immigration and Passport \(Amendment\) \(No. 2\) Regulations 2016.pdf](http://www.dominica.gov.dm/laws/2016/Immigration%20and%20Passport%20(Amendment)%20(No.%202)%20Regulations%202016.pdf)

1.2 Business Function Requirements to be met by the Information System

The Government of the Commonwealth of Dominica has envisaged an Automated and Integrated Border Control and Customs (ABC) System which would facilitate and make it convenient for people (citizens and foreigners) entering and leaving the country, either through the seaport(s) or airport(s). Implementation of the ABC for citizens and visitors, would ensure easier, faster, hassle-free and smoother process of entry / exit from the country. It would also allow country officials, especially at ports, immigration and customs to have integrated data and help drive risk-based approach towards scanning of passengers and goods. Overall, it would be less time consuming and enable the Government authorities to focus on more critical / risk related cases.

1.2.1. Scope of Work

The proposed Electronic Entry Point Platform integrates Track 1 and Track 2 into a consolidated solution, delivering enhanced efficiency and streamlined operations within a unified framework. The Scope of Work of this engagement is organized into two tracks as illustrated below:



The tracks are described as under.

1.2.1.1. Track-1: Implementation of ABC system

The ABC system will be implemented in Douglas Charles airport at Marigot and Roseau Ferry terminal. The Scope of Work (SoW) for the Supplier is described below includes, but is not limited to:

- a) Assess the current systems exists in the project locations and identify the needed actions to make a successful implementation of the new ABC system
- b) Design and installation of ABC system and peripherals fully integrated with project locations standards, Common Use System and the project locations IT Local Area Network (LAN). Standard common use system includes but not limited to: Port Management system (Uni Track), Customs management system (ASYCUDA), Immigration management system (Border crossing management system), and any other systems which can be integrated with the new ABC system.

- c) Implementation: The implementation process must include the provisioning and installation of all necessary equipment, software, and programming required to deploy the ABC system at the sites mentioned above for a fully functional Common Use System. This includes the integration of the ABC system equipment with proprietary systems used by various airlines. An indicative list of the required equipment is provided in Annexure 1, under the “Technology Specification – Supply & Install Items” section.
- d) Provide all labor, materials, tools, transportation, storage costs, training, equipment, insurance, temporary protection, permits, inspections, taxes, installation, software licenses, software, firmware, software integration, all required testing/documentation and all necessary and related items required to provide a complete and operational system shown and described in this RFB.
- e) Responsible for providing logistics, and coordinating delivery, final equipment arrangements and placement, including coordinating with GoCD Customs to clear delivery and receipt of ABC system units into the GoCD.
- f) Responsible for coordinating with project locations the delivery to the required terminal/port, using phased activities and construction methods that minimize disruption to Terminal operations and provide complete and operational systems.
- g) Adhere to GoCD Utility Shutdown Request (USR) and/or Area Shutdown Request (ASR) process for delivery and installation of the equipment.
- h) Coordinate with electrical contractor for provision of horizontal conduit and field boxes required to accommodate cabling and other system equipment.
- i) Coordinate specialty electronic, Information Technology (IT) data networks and any other IT infrastructure systems that depend on or are interfaced to Common Use Systems.
- j) Provide Bill of Material: Submit a detailed bill-of-materials listing that include Location/ Purpose / Make/ Model / Serial # /Asset tagging.
- k) Indicate locations where space is limited for installation and access.
- l) Submit floor plans, elevations, and details indicating major equipment and end device locations. Indicate all floor, wall, and ceiling penetrations.
- m) Submit training Manuals for approval 120 days prior to training sessions with airlines and Project locations staff. Training manuals shall include user’s troubleshooting guides providing resolution to common problems with software and hardware. Admin Training Manuals shall include physical and logical data flow and interface diagrams, rack elevations, system configurations, and users troubleshooting guides providing resolution to common problems with software and hardware.

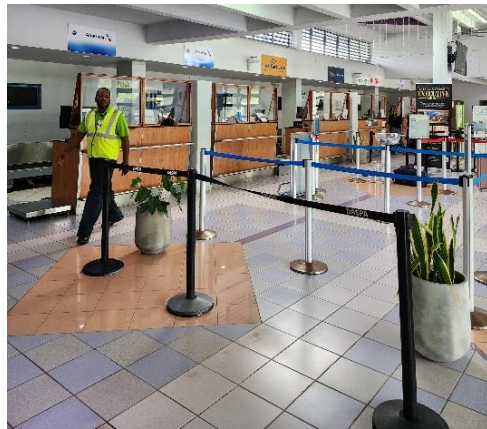
- n) Post changes and modifications to the Documents as they occur. Drawings will be updated electronically and submitted to GoCD in accordance with the schedule provided for the associated project by GoCD. Do not wait until the end of the Project.
- o) Integration of Single Sign On (SSO), Enterprise Service Bus (ESB), and integration with National web portal and mobile portal.

A. The Current System and Process

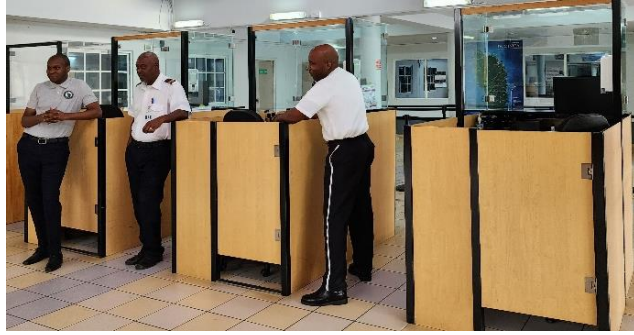
The As-Is description of the process in the Douglas-Charles Airport and Roseau Ferry terminal is described as under. The corresponding process map are placed at Annexure-A for reference.

I. Current state of the passenger departing from Douglas Charles Airport, Dominica

- Passenger (Pax) books ticket(s) from agent (IATA registered travel agent) or Online travel portal(s) or directly with the airlines.
- On the departing day, the passenger goes to the airlines boarding desk or check-in counters situated in the check-in hall for collecting the boarding pass and depositing the check-in baggage.
- At the boarding desk/check-in counter, the check-in agent verifies the travel documents such as the passport and ticket.
- Any CARICOM passenger can also travel using social security card or driving license, apart from their passport.
- The passenger flying through chartered or private flight must pay departure tax. Passengers flying other flights, the departure tax is already included in the ticket. The check-in agent stamps the boarding pass for departure tax paid.
- The check-in application of the airlines is integrated with IATA system, thus as the check-in agent puts the PNR, the application fetches the travel itinerary of the passenger.
- The check-in agent verifies the passport details, name, nationality etc. The check-in agent also verifies the weight of the check-in baggage. If the check-in baggage are within the allowable limits of the weight set by airline, the check-in agent prints the boarding pass and baggage tags, else it charges the passenger for excess weight and prints receipt along with the boarding passes and baggage tags.
- The check-in agent tags the baggage and puts it on the baggage carrousel where it passes through X-ray screening.



- As per recent GoCD policy, the passengers are not required to go through the Immigration desk verification for exit stamping/data entry.
- The passengers, along with their travel document and boarding pass, goes to the security area for X-ray baggage screening and physical checking of the passenger.
- Every passenger must put their hand baggage, metal accessories worn, electronic items as well as shoes in the line X-ray machine for mandatory screening.
- The passenger is then mandatorily made to passes through a metal detector gate. If an alarm is sounded, then the passenger is physically checked.
- After clearing the physical check, the passenger is checked for chemical presence.
- During the baggage screening, if any banned or restricted item found, the security officers are called and the passenger is detained
- Passengers clearing the screening process then proceed to the departure area to wait for boarding the flight.
- When the flight arrives, the boarding agent allows the passage of the passenger from the boarding gate by scanning the boarding pass. The same then reflects into the airline's application.
- The agent gives the list of the passengers boarded to the immigration officer for record.



II. Current state of the passenger arriving at Douglas Charles Airport, Dominica

- As the passengers arrive, they are directed to the immigration area.
- Every passenger presents himself / herself before the immigration officer with his travel document such as passport, social security card or driving license.
- The immigration officer scans the bio page of the passenger’s passport
- The scanner reads the bio page and populates the passenger details in the existing application. Various data fields, including photograph of the passenger, is captured from the passport. The immigration officer updates the populated data with info like type of passport, type of passenger, etc.
- If the passenger is traveling with social security or driving license (as the travel document), then the scanner scans the document and extracts only the photograph, while the rest of the data is manually populated by the immigration officer. A scan of the document is also retained in the system.
- The immigration officer asks questions to the passengers (e.g. last port of entry, purpose of visit, duration of visit, place of stay, etc.)

- For Dominica nationals: Based on the answers provided, the immigration officer puts entry stamp in the passport and the passenger is verified in the system
- For Foreigners: Based on the answers, the immigration officer stamps 4-6 days of visa on the passport and the passenger is verified in the system
- In certain cases (e.g. Passengers with new passport, Passengers with any observation reported etc.), the system flags the passport for secondary verification, which is done by an officer separately.
- Once the passenger is cleared by the immigration officer and visa is granted, every passenger collects the baggage and must pass by custom area along with the Customs Declaration form (which primarily is the undertaking from the passenger for not carrying restricted items or the currency exceeding EC\$10,000)
- There are two types of form - one for Nationals and other for Non-Nationals. Depending upon the nationality every passenger must fill the appropriate form
- The Customs area is divided into two channels i.e green channel and red channel. The green channel allows passenger, who has nothing to declare, to exit without a Customs check. If the passenger has any item to declare, he/she passes through the red channel and presents himself/herself before the Customs officer with the item declared
- At both the channels, the Customs officer examines the declaration form and asks certain questions. Based on the response, at their own discretion, the Customs Officer may physically check the passenger’s baggage.
- After clearance by Customs, the passenger exits the airport.
- In case a passenger has been found to have restricted items, it is either confiscated or allowed to carry after paying customs duty or quarantined in case of vegetation/plants/meat etc. or even detained for legal proceedings.



III. Current state of the passenger departing from Roseau Ferry Terminals, Dominica

- Passenger books ticket for the ferry from a registered travel agent
- On the departure day, he/she gets the boarding pass from travel agent
- The passenger, along with its travel document such as passport and boarding pass, reaches to the ferry port.
- Any CARICOM passenger can also travel using social security card or driving license, apart from their passport.

- At the ferry port, passengers pay the departure & facility charges at the departure Tax window as per following rate slabs;

- National Passengers travelling with Caricom doc	XCD72/US\$28/EUR30
- Non-National travelling with non-Caricom doc	XCD86/US\$33/EUR36
- National In-transit	XCD36/US\$14/EUR16
- Children under 12yrs	XCD27/US\$10/EUR12
- Once the departure & facility charges are paid, the passenger goes to the X-ray baggage screening area.
- As per recent GoCD policy, the passengers are not required to go through the Immigration desk for exit stamping/data entry
- The passengers, along with their travel document, boarding pass and departure tax receipt, goes to the X-ray baggage screening area, where each passenger's baggage is screened and checked
- During the baggage screening, if any banned or restricted item found, the security officers are called, and passenger is detained
- Passengers clearing the screening process proceeds to the departure area and waits there for boarding the ferry.
- As the ferry arrives, the ferry/boat agent allows the passage of the passenger from the boarding gate to board the ferry by collecting their boarding passes
- The agent gives the list of the passengers boarded to the immigration officer for record.



IV. Current state of the passenger arriving at Roseau Ferry Terminals, Dominica

- As the passengers arrive, they are directed to the immigration area.
- Every passenger presents himself / herself before the immigration officer with his travel document such as passport, social security card or driving license.
- The immigration officer scans the bio page of the passenger’s passport
- The scanner reads the bio page and populates the passenger details in the existing application. Various data fields, including photograph of the passenger, is captured from the passport. The immigration officer updates the populated data with info like type of passport, type of passenger, etc.

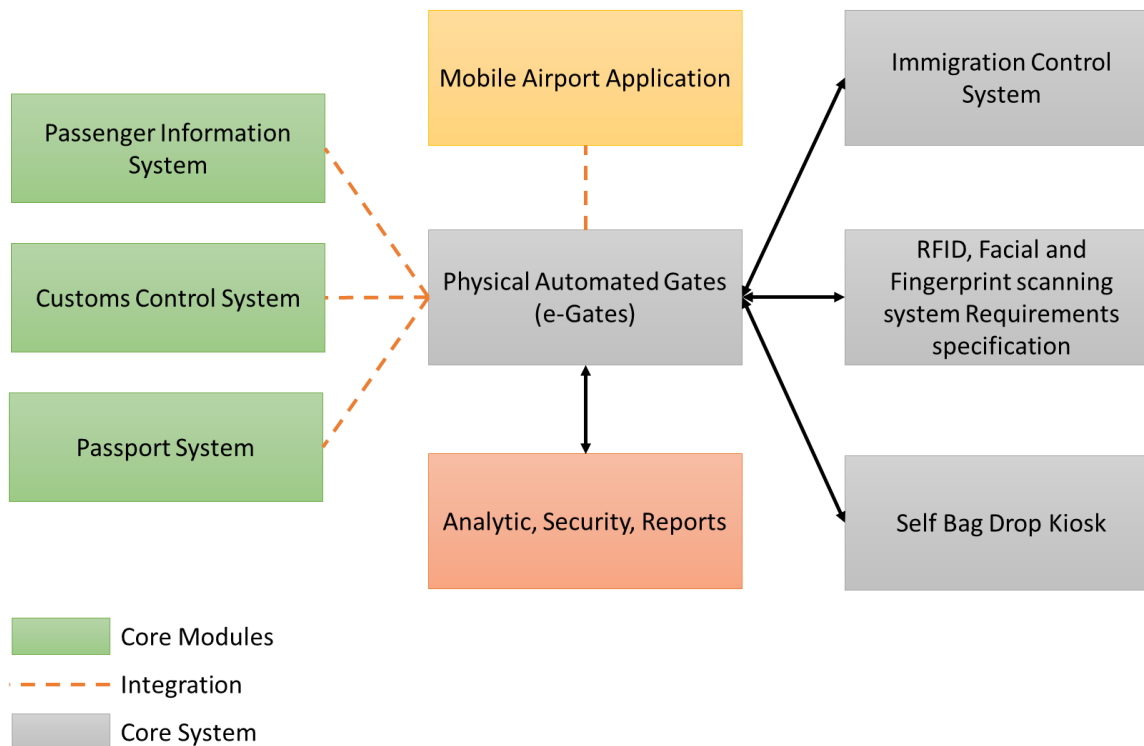
- If the passenger is traveling with social security or driving license (as the travel document), then the scanner scans the document and extracts only the photograph, while the rest of the data is manually populated by the immigration officer. A scan of the document is also retained in the system.
- The immigration officer asks questions to the passengers (e.g. last port of entry, purpose of visit, duration of visit, place of stay, etc.)
- For Dominica nationals: Based on the answers provided, the immigration officer puts entry stamp in the passport and the passenger is verified in the system
- For Foreigners: Based on the answers, the immigration officer stamps 4-6 days of visa on the passport and the passenger is verified in the system
- In certain cases (e.g. Passengers with new passports, Passengers with any observation reported etc.), the system flags the passport for secondary verification, which is done by an officer separately.
- Once the passenger is cleared by the immigration officer and visa is granted, every passenger collects the baggage and must pass by custom area along with the Customs Declaration form (which primarily is the undertaking from the passenger for not carrying restricted items or the currency exceeding EC\$10,000)
- There are two types of form - one for Nationals and other for Non-Nationals. Depending upon the nationality every passenger must fill the appropriate form
- The Customs officer examines the declaration form and asks certain questions. Based on the response, at their own discretion, the Customs Officer may physically check the passenger’s baggage.
- After clearance by Customs, the passenger exits the airport.
- In case a passenger has been found to have restricted items, it is either confiscated or allowed to carry after paying customs duty or quarantined in case of vegetation/plants/meat etc. or even detained for legal proceedings.



B. Envisaged solution, modules and functional requirements

The solution proposed referred to as ABC (Automated and Integrated Border Control and Customs) System would consist of the modules as illustrated below in the snapshot:

Snapshot of the ABC system



1. **Passenger information system** | System for capturing inputs and information regarding the passengers arriving or leaving the country at port of entry / exit. This is also a system that will be integrated with Advance Passenger System (APS), VISA, Boarding system of the Agents/Airlines/Ferry (including RFID), ASYCUDA, Customs, Health, Inland Revenue, Ministry of National Security, Interpol Data, and Legal Affairs and Ports. This will also include the physical eGate Management system that would be deployed at the entry and exit of the Douglas-Charles Airport and Roseau Ferry Terminal. The supplier shall integrate the Passenger Information System with ABC system.
2. **Passport system** | System for capturing information from the passport system of Dominica and sharing information back to ABC. This will also include the work permit status (Passport) of resident/visitors as well as any passport cases pending revenue recovery or judicial action. The ABC itself will not be creating any of the modules for passport management system but only creating integration interfaces. The integration interface should be able to consume APIs provided by the existing Passport System (or will be able to ingest data exported from Passport System). It should also provide APIs that can be consumed by passport system for Data Exchange in case required.
3. **Immigration control program** | The system should provide comprehensive support for handling immigration functions in the country by integrating seamlessly with the Passenger Information System (PIS). It must include a mechanism for reading ePassports, which utilize RFID technology and Near Field Communication (NFC) to

securely exchange passenger data at control points. The system should also display real-time entry and exit information on digital boards or screens for monitoring purposes by immigration officers. Additionally, the system must be capable of processing Machine-Readable Passports (MRPs), ensuring compatibility with international standards for secure and efficient border control operations. Integration with high-resolution cameras for facial recognition is required to enhance the verification and authentication of passengers at immigration checkpoints. Furthermore, the system should support automated e-Gate operations, allowing for quick and secure processing of passengers through biometric verification, thus reducing wait times and enhancing the overall passenger experience. All components of the immigration control system should be designed for high availability, security, and compliance with international immigration and border management standards.

4. **Customs control system** | System for handling the functions of the customs officials of the country including integration with the Passenger Information system. This will also include an online system (and assisted where required) for custom declaration to be filled prior to arrival or immediately on arrival. The Immigration control program will also include integration to the checked in baggage including ability to read boarding passes and baggage tags.
5. **Analytics, Risk Based Screening and Reports** | To be able to generate various readymade and custom generated report at specific periodicity. This will also include a system to generate alerts for screening, providing inputs and recording observations for spot checks for customs. This will also include a rules engine for additional screening. This will also include ability to record and identify passengers identified as no fly list / not adhering to safety protocols or being a security threat. Automated reports like overstaying visitors, etc.
6. **Physical Automated Gates or e-Gates** | To be able to operate automatic entry / record exit linked to passport, boarding pass, visa, biometric (figure print) and face (future iris). The system to be integrated with Passenger Information System, Immigration and Custom system

The key functions expected from the solution is as follows -

0. General Requirements of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
0.1	The system provider must provide new devices, spare part and accessories required for proper functioning of the devices		
0.2	The system must Provide all components, equipment, parts, accessories, and associated quantities required for complete installations and according to the manufacturer’s installation specifications. All components may not be specified herein.		
0.3	The system should allow the authorized users to customize the branding on the entry barriers		
0.4	The Supplier should allow all device and peripheral installed or delivered as part of the total solution should be readily available in the market at least for the next 5 years. In the case it is not, or a newer model is introduced the device should be able to fit into the existing chassis or housing.		
0.5	The Supplier should include in the solution the following: <ul style="list-style-type: none"> • Immigration/Emigration ABC System • Self-Bag Drop 		
0.6	The Supplier should provide full details of the conceptual design and physical implemented configuration of the proposed solution.		

0. General Requirements of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
0.7	The Supplier should provide full product information of the proposed solution by individual components.		
0.8	The Supplier should conduct a comprehensive needs assessment to ascertain the needs, wants, desires, operational process and usage patterns of the solution and project.		
0.9	All costs related to the pre-installation, installation, post installation, dismantle and make-good, for any or all damage done during the whole project, shall be borne by the Supplier.		
0.10	Any modifications and/or alterations to the existing infrastructure are subject to review and approval by PIU. All services are to be identified by the Supplier and any modifications required are to be notified to PIU before work is performed.		
0.11	All approvals and permits required for the installation are to be completed and taken from a competent authority by the bidder. This should be part of the project timeline and any delays or failure to follow up by the bidder which impacts the completion timeline would be the Supplier’s responsibility.		
0.12	The Supplier should clear and remove from site all rubbish, waste and superfluous materials including		

0. General Requirements of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	any caused by Sub-Contractor from time to time as it accumulates and on completion of the Works. The site should be left in a clean and orderly condition.		
0.13	Prior to the Works being offered for hand-over to PIU, the Contractor shall carry out a joint-inspection with PIU of the Works to ensure that they are finished in every respect.		
0.14	Upon all defects and omissions having been rectified and/or agreement reached, the Contractor shall inform PIU of the proposed date of hand-over inspection, this shall then be notified to PIU own internal departments concerned. Where approval and agreement of PIU other Committees are involved in handovers and practical completion, the Contractors should ensure that adequate time has been allowed for all concerned to be notified and arrangements concluded.		
0.15	All conceptual reconfiguration drawings must be verified / endorsed by PIU prior to works implementation.		
0.16	All installation work must comply with the Health and Safety (HS) requirements		
0.17	The Supplier should observe all safety precautions throughout the performance of this contract. All		

0. General Requirements of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	work shall be in strict accordance with all applicable Safety and Health and any other safety-related regulations. The Supplier must also identify and understand relevant regulations and the implementations of which must be communicated to the site personnel so that they can implement suitable measures.		
0.18	Proper notification signage should be made available during replacement work to alert the public on the danger that might be imposed by the works. The writing on the signage must be displayed in English. The message on the signage should also be presented with a suitable picture for ease of understanding.		
0.19	The bidder must take into consideration that due to airport/ferry operation requirements can only handover or surrender the identified areas (“implementation sites”) in stages. The bidder is to ensure minimal or no disruption to operations during installation and commissioning activity.		
0.20	The Contractor is to take all precautions that this is a LIVE AIRPORT/ferry and by any means not to disrupt the operations of the airports/ferry (where applicable). The Contractor is responsible to any claims by the airlines in the event of		

0. General Requirements of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	any loss due to delay of flights because of this disruption caused by the Contractor. The Contractor is also responsible to make sure the airport is safe to operate after handing over of the site; after completing each daily work activities.		
0.21	The Supplier is required to follow strictly all the airport/ferry regulations and requirements while working in the airport/ferry, failing which not adhere will be held responsible for any accidents or damaged caused. In addition, with this, the Supplier should be responsible for obtaining the necessary permits for operation of his/her machinery, permit to works (PTW) and passes for his/her workers and/or sub-bidder workers to enter any restricted area of the Airport/ferry Authority including charge. The Supplier is responsible for obtaining necessary permission each time before entering the work site at restricted areas. The Supplier is assumed to have allowed for such contingency in the pricing. The Supplier works shall not interfere/disrupt the normal and smooth operation of the airport and its ancillary services.		
0.22	The Supplier should provide lead-times for all equipment.		

0. General Requirements of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
0.23	The Supplier should provide requirements that must be in place prior to deployment.		
0.24	The Supplier should provide an extended three to five (3 to 5) years warranty on all installed equipment starting from the acceptance date.		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
1.1.1	The e-Gate system must be designed with configurations to support gate operations for multiple airlines		
1.1.2	The e-Gate system must support the use of all boarding applications including the physical boarding gate readers, physical bar code readers, and QR code.		
1.1.3	The e-Gate system must be designed with technology to facilitate biometric data capture of exiting international passengers for GoCD		
1.1.4	The e-Gate system must provide operational flexibility to support automated or manual airline operations.		
1.1.5	The e-Gate system must be capable of being used in different modes, including as an automated biometric boarding gate using only a biometric face-match to allow boarding, as an automated biometric boarding gate using a biometric face-match and a boarding pass scan, IRIS, and as an assisted boarding gate with just a boarding pass scan.		
1.1.6	The e-Gate system must provide gates that are		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	capable of being used in situations where the project location(s) utilizes one gate to board two separate flights at the same time. The Supplier is requested to identify how its system can accommodate this operation, including how common use or airline’s equipment must be configured to support it.		
1.1.7	The Supplier must provide all components enclosed within the e-gate cabinet or securely surface-mounted to the cabinet.		
1.1.8	The e-Gate system must include a secure exterior manual override button to open the wings in case of emergency.		
1.1.9	The e-Gate system must have secured access to the interior via locked doors with a single key common to all locks deny passenger access to all electronic and mechanical components including switches, controls, connectors, port, and plugs. Cables should not be externally visible or accessible.		
1.1.10	The Supplier must ensure that all scanning devices and color display mounted of the right-side module of the gate following the flow direction.		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
1.1.11	The e-Gate system must include moving gate doors of a suitable shape and design in order to minimize ‘barrier effect’ and reduce physical impact to a passenger if contact is experienced during any of the alarm scenarios.		
1.1.12	The e-Gate system must include clearly visible signage informing the passenger if the gate is open, closed or in use.		
1.1.13	The e-Gate system must be capable of preventing passengers from circumventing the moving gate doors using force.		
1.1.14	The e-Gate system must be capable of preventing passengers from bypassing the moving gate doors when closed.		
1.1.15	The e-Gate system should include moving gate doors that are transparent or translucent.		
1.1.16	The e-Gate system must include options for moving gates panels in overall height for increased security		
1.1.17	The e-Gate system must be-locked so that it is not possible to make unauthorized changes to software and hardware.		
1.1.18	The Supplier should provide details of the portions where the ABC system can be branded and		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	colored, and the same applied for the moving gate doors as the branding and colors will be decided by GoCD		
1.1.19	The Supplier should demonstrate in a specific appendix which elements are optional/customizable to the client within the overall design of the ABC system		
1.1.20	The ABC system must be built for use in a public indoor environment with expected usage 24 hours a day all year round.		
1.1.21	The ABC system must be constructed of materials selected to minimize wear and the need for maintenance.		
1.1.22	The ABC system must be constructed such as it is able to endure and maintain function even after continual minor collisions from luggage trolleys and cleaning machines.		
1.1.23	The ABC system must have external surface areas resistant to stains and fingerprints.		
1.1.24	The ABC system must be capable to scan a 2D barcodes encoded in the PDF417, Aztec and QR barcode symbologies in accordance with IATA Resolution 792.		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
1.1.25	The ABC system must be capable to scan a 2D barcodes both from paper and electronic screens.		
1.1.26	The ABC system must allow to configure the gate’s default setting to an open or closed state when not active.		
1.1.27	The e-Gate system must be capable to detect and warn about different fraudulent scenarios: a. Tailgating (two or several people going close to each other with only one valid authorization). b. Wrong way passage. c. Crawl under. d. Jump over.		
1.1.28	The e-Gate system must be able to early detect passengers (detection before reaching the moving gate panels) to allow early detection of possible fraud and to close panels to prevent such passage.		
1.1.29	The e-Gate system must be able to provide an option of ‘Stacking of passengers’ during bar-code scanning process (not to be used initially but should be provided as an option or proven upgrade). “Stacking of passengers” is the processing of passengers in		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	groups at a time such that the system recognizes groups (i.e. a family) passing through without having to open/close for each individual.		
1.1.30	<p>The Supplier should provide e-Gate which fitted with a color display that:</p> <ul style="list-style-type: none"> a. Is of sufficient size, brightness, and contrast for passengers to easily read text with a minimum font size of 1.125 cm. b. Is designed for industrial use and take into consideration environmental factors such as continual use, bright lighting conditions, and potential contact with liquids and dust. c. Is fully compatible with latest AEA 201x specifications and support images and video. 		
1.1.31	<p>The Supplier should provide a gate with an option for overhead signage. The overhead signage should comply with the following characteristics:</p> <ul style="list-style-type: none"> a. Of sufficient size, brightness, and contrast for passengers to easily read. 		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	<p>b. Designed for industrial use and takes into consideration environmental factors such as continual use, bright lighting conditions, and potential contact with liquids and dust.</p> <p>c. Can allow each airline to dynamically change display content once logged in to the port Common Use System workstation.</p>		
1.1.32	<p>The system must be able to fit with BGR with the following characteristics:</p> <p>a. Be integrated into the cabinet with all power and data cables fully contained within the cabinet.</p> <p>b. Comply with IATA BCBP standards.</p> <p>c. Be capable of reading 1D and 2D bar codes printed on boarding passes produced by all airlines.</p> <p>d. Be capable of reading 1D and 2D bar codes carried by passengers on 8-1/2 by 11-inch paper and/or 2D bar code images on smartphones.</p> <p>e. Have a visual indicator (e.g., a base perimeter light), that indicates a successful read.</p>		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	<p>f. Have an optional, configurable audible indicator (e.g., a tone), that indicates a successful read.</p> <p>g. Code for reading, with a minimum scan area of five centimeters squared (5 cm²).</p> <p>h. Be designed for industrial use and take into consideration environmental factors such as continual use, bright lighting conditions, and potential contact with liquids and dust.</p>		
1.1.33	<p>The system must be able to fit with an audible device with the following characteristics:</p> <p>a. Have configurable tones (audible alarms) to signal, at a minimum the following events:</p> <p>b. tailgating, exit blocked, crawling under, reverse direction, boarding pass validation complete, and boarding pass validation incomplete.</p> <p>c. Be configurable for, as a minimum:</p> <p>d. Volume, different tones or audio clips for different audible alarm events, and</p>		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	duration of the audible alarm.		
1.1.34	The system must include occupancy sensors that aide in detecting anomalous behaviors.		
1.1.35	The system must include occupancy sensors designed to minimize false positive alarms.		
1.1.36	The ABC system should include a printer for printing updated seat notifications. Ground staff should be able to refill paper.		
1.1.37	The ABC system should include a printer that has a sensor that detect and notify the system administrator when the printer is low on paper and ink/toner.		
1.1.38	The ABC system should include the ability to modulate flap open speed for purposes of metering passenger throughput. Control of this modulation should be available on a per-airline basis and rest within the airline departure control system and boarding application.		
1.1.39	The ABC system should provide the following accessibility features/functionality: <ul style="list-style-type: none"> • Clear, and unobstructed walkway • Capability of being available in a combination of widths to 		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	satisfy accessibility needs, including, but not limited to, access for wheelchairs, pushchairs, etc.		
1.1.40	<p>The e-Gate system must have an emergency opening function with the following functions:</p> <ol style="list-style-type: none"> a. In case of emergency, doors on an active ABC system should be capable of opening manually by force. This should generate an alarm and not cause permanent damage to the ABC system. b. Emergency opening function mounted on the rear end-leg of the gate as a recessed but accessible button. This function should both be able to open and close the ABC system. c. In case of a power failure, it will be possible to, with no or little force, open the doors in either direction of the ABC system (via UPS). 		
1.1.41	<p>The ABC system must have at least three different types of alarms which must be triggered on the following cases:</p> <ol style="list-style-type: none"> a. When the gate is entering an unusable state, there should 		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	<p>be a severe alarm requesting an agent to physically inspect the gate and reset it.</p> <p>b. A warning alarm that warns in case of an intrusion, tailgating or if someone tries to tamper with the ABC system. This alarm must trigger both the remote system and a visual and sounding alarm at the ABC system.</p> <p>c. There must be a warning alarm informing the passenger that something is wrong. For example, in the situation where a passenger has been standing too long in the gate. This alarm should trigger both the remote system and have a softer sounding alarm. The ABC system must return to operational mode automatically after the issue is resolved.</p>		
1.1.42	The ABC alarm system should be presented to the passengers and ground staff on the color display (Monitor) of the ABC system.		
1.1.43	The ABC system should save ALL alarms in a log file		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
1.1.44	The ABC system must provide a secure interface to connect a remote management tool for following two purposes: a. Technical management showing the status of the gate, statistics, logs, alarms, errors, and configuration. b. Operational management showing the status, alarms, and configuration with a possibility to set the gate to open or close.		
1.1.45	The Supplier should provide a technical specification for such an interface related to the remote management tool.		
1.1.46	The system must be able to reconcile and verify passenger information and facial profile, and be able to access all type of passports (chip base or non-chip base)		
1.1.47	The system must be able to enroll passenger(s) with chip or non-chip passport.		
1.1.48	The system must allow the passengers to use their facial as the ID for access the ABC system without showing the travel documents.		
1.1.49	The system must have the ability to read the crew member card,		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	validate it and save the results in a searchable repository.		
1.1.50	<p>The system must allow system administrators to have visibility on travelers processed by the Single-Token platform at the touchpoints installed as part of the project:</p> <ul style="list-style-type: none"> • Check traveler enrolment status in the Single-Token platform (using traveler’s biographical) • Check traveler status across touchpoints within the last 24 hours (using traveler’s biographical). This information must be made available via web based and can be viewed by the Airline agents at the boarding gate for that passenger’s flight. 		
1.1.51	The eGate system must be equipped with enhanced tamper and intrusion detection measures to ensure robust security. This includes the use of tamper-evident security tape or seals on critical access points to provide visual indicators of unauthorized access, shock and vibration sensors to detect abnormal movements or forceful entry attempts, and micro-switches on		

1.1 e-Gate Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	all service doors, panels, and cabinets to trigger alarms if opened without authorization. Magnetic contact sensors should also be installed to detect unauthorized openings of gate doors or control panels. These additional measures should integrate seamlessly with the existing alarm and monitoring systems to provide real-time alerts and ensure quick response to potential security breaches.		

1.2 Business Intelligence & Reporting Requirements specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
1.2.1	The system must be capable of automated collection and distribution of performance metrics per flight, such as passenger scanning, transaction counts, and times, system fault reporting, supporting the airport’s future		

1.2 Business Intelligence & Reporting Requirements specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	implementations of data analytics, business intelligence, and operational awareness.		
1.2.2	The system must be able to generate statistics to include a counter that records passages and corresponding date/time stamps for reporting via each port Common Use System platform.		
1.2.3	The system must be capable to automate /schedule export or ad-hoc export to a server provided by the Supplier and/or to a third-party reporting engine for further GoCD use.		
1.2.4	The system should offer easy and economic data and system integration capabilities to integrate data into other systems as dashboards, operational control systems etc., ideally via Web Services or on the database level		
1.2.5	The Single Token should be able to produce reports which contain, but not limited to, passenger information and any other information which would enable the authorized staff to do a complete		

1.2 Business Intelligence & Reporting Requirements specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	passenger profiling. All information data transferred or shared or stored should follow the GoCD IT/data Policies & Regulations (if it exists on the time of the implementation of this system)		
1.2.6	The system should generate reports by Airline, touchpoints, flight, by nationality, by gender etc. All data and integration of data must not contain any traveler’s personal data.		
1.2.7	The system should provide real-time dashboards showing Single Token performance and all peripherals performance.		
1.2.8	The system should provide dashboard style reports which display data by hourly, daily, by flight etc.		
1.2.9	The system should be able to create/generate customer-specific reports in XLS, .DOC, TXT, XML and.PDF format.		
1.2.10	The system should have dashboard base reporting		

1.2 Business Intelligence & Reporting Requirements specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	<p>which analyst should include, but not limited to the below:</p> <ul style="list-style-type: none"> • Average number of travelers enrolled in the Single-Token platform per day • Average number of positive matches on a given touchpoint, or a collection of touchpoints (time range) • Average number of negative matches on a given touchpoint, or a collection of touchpoints (time range) • Average number of travelers verified on a given touchpoint, or a collection of touchpoints (time range) • Traveler’s nationality, age and gender breakdown on a given touchpoint, or a collection of touchpoints (time range) • Average time to process travelers on a given touchpoint, or a collection of touchpoints (time range) 		

1.3 Biometrics Requirements specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
1.3.1	The system must be able to capture automatically a quality biometric images of each passenger, regardless of ambient lighting conditions, through the use of one or more high-resolution digital cameras mounted on the ABC system		
1.3.2	The system must include a software application that transmits captured biometric information after having successfully matched the data with the scanned boarding token data, for direct and secure transmission to the GoCD customs & immigration systems.		
1.3.3	The ABC system must be fitted with a high-resolution camera capable of capturing images suitable for biometric evaluation and passenger identification.		
1.3.4	The ABC system must provide a camera that can auto adjust to meet the height of the passenger or otherwise offers wide-angle image capture to sufficiently capture all required biometric information.		
1.3.5	Until the e-Passport and the unified identification are implemented, The ABC system must be able to capture those technologies as the GoCD is planning to issue/use them soon.		

1.3 Biometrics Requirements specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
1.3.6	The ABC system must support multimodal biometrics. At the minimum the e-gate must have facial, and fingerprint (contact) biometric scanners installed.		
1.3.7	The ABC system should be able to incorporate future biometric verification capabilities, such contactless fingerprint or advanced IRIS recognition		

The key Nonfunctional requirements expected from the solution is as follows -

2.1 Integration Requirements Specification of the e-Gates			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.1.1	The ABC system must be in a fully integrated manner with the Passenger Information system, Customs Control System, Passport System, Immigration Control System		
2.1.2	The system must be able to integrate with variety of biometric technologies including facial, iris and/or fingerprint recognition.		
2.1.3	The Supplier should be responsible for managing and coordinating the integration		

2.1 Integration Requirements Specification of the e-Gates			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	services which is required as part of total solution.		

2.2 Flexibility, availability, and reliability Requirements Specification of ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.2.1	The system should include firmware, software, and hardware elements capable of being certified to operate successfully on any platform supplier’s system set, for maximum flexibility in support of GoCD future potential common use implementations.		
2.2.2	The Single Token solution must have high availability and reliability that could support airport/ferry ports Commercial Operation with the 24 hours per day, 7 days per week (365 days per year) and 99.5% availability uptime per year. The Single Token solution must be able to withstand the load and demand of continuous operations for an extended period.		

2.3 System Environment Requirements Specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.3.1	The Supplier should make all types of software upgrades, updates or patches of software or new version of standards be made available in an installable format to PIU within 3 months from release. This shall be provided free of charge during the contract period. It shall be possible for GoCD IT staff having followed approved training by the supplier to install and test these without any further support other than remote support.		
2.3.2	The applications should operate in Microsoft operating system (OS) based Workstations		
2.3.3	The system must be able to apply the latest operating system patches have been applied to all components		
2.3.4	The system must allow the locally trained system administrator to run only services required to meet desired functionality and be able to disable unused services.		

2.3 System Environment Requirements Specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.3.5	The Supplier must include an administration server allowing for global control of each lane.		
2.3.6	The Supplier must provide a computer server that include the following functions, but not limited to: <ul style="list-style-type: none"> i. Remote diagnostic testing, remote management, and remote collection of statistical data. ii. A system manager function to monitor all software and hardware modules and report errors to the remote management system. iii. Remote configuration of each lane. iv. An automated remote file update capability to update files using the remote management system. v. A capability for self-diagnostics to correct error conditions. 		

2.3 System Environment Requirements Specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.3.7	The ABC system should utilize common physical telecommunications infrastructure. The Supplier should provide whatever active data network components are required to interface and integrate with project locations Common Use System and IT data networks.		
2.3.8	The Supplier must be responsible for providing all data cabling information and closely coordinating with data cabling subcontractors to ensure that all conduit and Category 6A cabling is provided and tested wherever needed.		
2.3.9	The Supplier must be responsible for providing all power load information, single line diagrams and closely coordinating with electrical subcontractor to ensure that all conduit, cabling, power circuits (particularly for special needs such as core network switches or servers that require extra power) needed for the		

2.3 System Environment Requirements Specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	components of the ABC system in this RFB.		
2.3.10	The system must be able to allow passengers to use any Mobile device (IOS and/or Android)		
2.3.11	The Supplier should provide the Single Token solution security to preserve confidentiality, integrity and availability of data and communication between server and its client		
2.3.12	The proposed Single Token solution should conform to GDPR standard, Privacy and Personal Data Protection (PDP) policies. The solution should be built on privacy by design concept.		
2.3.13	The Single Token solution should have the ability for the applications/software and hardware/device to grow and scale over time to increase in number of transactions processed and changes in workflow and procedures. The Single Token ID solution shall be rapidly and cost-		

2.3 System Environment Requirements Specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	effectively scaled to meet new requirements.		
2.3.14	The Single Token solution shall be an open standard technology whereby any enhancement or upgrading can support backward compatibility. All components shall be independent software modules which capable to meet future expansion and requirements.		
2.3.15	The Supplier should provide a system that can be rapidly, and cost effectively scaled to meet new requirements over the years. The system components shall be an independent software module which enables expandability and modularity in meeting future requirements.		
2.3.16	The system should be designed without the need to have specialized staff, high maintenance overhead. In addition, the system should not result in rigid data and reporting structures. System and module/function		

2.3 System Environment Requirements Specification of the ABC system			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	configuration and management thereof should be executable at the administrator and coordinating user level respectively.		
2.3.17	The proposed system should be intuitive and user friendly.		
2.3.18	The system should be designed with an excellent user interface that complies with the Graphical User Interface (GUI) so that it is easy to operate by end-users who have basic computer background.		
2.3.19	The Single Token solution and its associated software/database and hardware and protocols used shall not be proprietary in design. It should be of commercial of the shelf (COTS) and its components are replaceable by third party products.		

2.4 Security Requirements Specification of the ABC system:

2.4.1 Security Control			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.4.1.1	The Supplier must be responsible for configuring security controls to provide individual accountability, audit ability, and separation of duties.		
2.4.1.2	The system must comply and be consistent with industry best practices, including an authentication requirement for access to sensitive data and privileged functions.		
2.4.1.3	The system must be able to apply the latest security-related patches that have been applied to all components.		
2.4.1.4	The system must allow the system administrator to Identify and enable required TCP/UDP ports and disable other TCP/UDP ports when applicable.		
2.4.1.5	The system must log all security related events including unauthorized attempts to access privileged services.		
2.4.1.6	The system must ensure that no information about usernames is available to		

2.4.1 Security Control			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	the public. No default user names and passwords or shared usernames are allowed.		
2.4.1.7	The Supplier should ensure that all hardware authentication settings (such as passwords) are changed from their default values.		
2.4.1.8	The system must allow access to only authorized personnel / passengers based on valid and active credentials.		
2.4.1.9	If the system used any RESTful API must be designed with an authentication framework in mind The Supplier may propose any standard authentication method that is suitable		
2.4.1.10	On the selection of standard for the RESTful API, the Supplier may recommend the best method that suits the requirement. The Supplier is obliged to secure the transmission of the information over the API.		
2.4.1.11	The system should be supported by sufficient Hazard Analysis to		

2.4.1 Security Control			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	demonstrate that the introduction of the Single Token process does not increase any operational risk, or any increase in risk to the operation remains tolerably safe.		
2.4.1.12	The system should be protected from security threats to a sufficient level, in line with cross-industry standards for internet facing, operational and safety critical systems.		
2.4.1.13	The system should prevent unauthorized access to the system and the systems it interacts with.		
2.4.1.14	The Passenger Data / Information should be encrypted before it's stored and/or transmitted. The data layer should be encrypted. The server where Passenger Data / Information data is stored should be encrypted. The storage disks should be encrypted.		
2.4.1.15	All data should be transmitted using secure transmission method with industry standard.		

2.4.1 Security Control			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.4.1.16	Data management should be compliant with international directives on Data Privacy Protection.		

2.4.2 Security Design & Review			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.4.2.1	The Supplier must submit a network diagram for approval by PIU by end of the assessment phase.		
2.4.2.2	The Supplier must submit a system application/network (security oriented) flow diagram for approval by PIU by end of the assessment phase.		
2.4.2.3	The Supplier must show that the network and/or application flow design conforms to security best practices during the Implementation Phase		
2.4.2.4	The Supplier must ensure that the system		

2.4.2 Security Design & Review			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	incorporates a secure design. For example, only the UI server is allowed to sit on the DMZ and to be protected by the WAF. Application and database server should be in the internal server segment.		
2.4.2.5	The system should be designed with industry standard cyber security protection.		

2.4.3 Security Documentation			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.4.3.1	The Supplier should provide a document include the information system security posture		
2.4.3.2	The Supplier must provide a document include the technical details regarding security strategy - patches applied, operating system hardening steps, services enabled/disabled, TCP/UDP ports		

2.4.3 Security Documentation			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	opened/closed, authentication requirements, etc.		
2.4.3.3	The Supplier must comply with documenting any deviations from the security best practices and must be approved by PIU		
2.4.3.4	The system and solution should have a documented technical design and operating procedures.		

2.4.4 Security Issues Remediation/Mitigation			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.4.4.1	The Supplier should conduct a security risk assessment based on information security standard ISO 27001/27005 and NIST 800-53 (NIST 800-82 for SCADA systems) prior to deployment to ensure appropriate security controls have been		

2.4.4 Security Issues Remediation/Mitigation			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	designed and implemented.		
2.4.4.2	The Supplier must immediately remediate vulnerabilities and high-priority security issues identified during a security review or assessment during the contract time frame		
2.4.4.3	The Supplier should be responsible to remediate high and medium risk level issues within a reasonable timeframe. If the remediation affects the functionality of the system, PIU or delegated authority may grant an exception depending on the risk level or use other external security methods to mitigate the risk.		
2.4.4.4	The Supplier could conduct additional security assessment after remediation for verification purposes at the discretion of PIU		

2.4.5 Security Requirements for Cloud-Based System(s):			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.4.5.1	The Supplier must Identify the cloud vendor. Describe where and what cloud geographic availability zone will be used		
2.4.5.2	The system must allow the data leaving and entering the project locations network to pass through an IPS		
2.4.5.3	The Supplier must be able to describe the failover and failback utilizing synchronization and replication from/to primary and secondary availability zone to support continuous access to the SaaS.		
2.4.5.4	The Supplier must be able to describe how the data is being replicated to ensure data integrity and consistency.		
2.4.5.5	The system must be in compliance with ISO 27001/27002 on all hosting facilities; and provide compliance audit report semi-annually.		
2.4.5.6	The Supplier should be able to provide regulations & compliance control system.		

2.4.5 Security Requirements for Cloud-Based System(s):			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.4.5.7	The system must include identity management system (such as active directory Integration, Multi-Factor Authentication, single sign-on).		
2.4.5.8	The system must include data access control functionality/module		
2.4.5.9	The system must be able to detect and block unauthorized/malicious traffic on the network (such as botnet/malware, SQL injection, cross-site scripting, denial-of-service, etc.)		
2.4.5.10	The system must include data protection/encryption (using SSL version 3.0 or higher/TLS version 1.2)/ segregation system		
2.4.5.11	The system must include an anti-virus and patch management solution		
2.4.5.12	The system must include key management solution		
2.4.5.13	The system must include a security incident response solution		

2.5 System Continuity Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.5.1	The Supplier must provide business continuity and disaster recovery solution (such as alternate site, backup/recovery procedure, recovery point objective, recovery time objective).		

2.6 System Messages & Notifications Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.6.1	The system must be able to provide the system administrator /selected power users with immediate notification on all security breaches, system failure, and network outages.		

2.7 System Modularity Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.7.1	The system must have a modular design that allows plug-in/plug-out integration with different peripheral devices from other manufacturers, e.g. scanners, code readers, biometric devices, etc.		

2.8 System Response Time Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.8.1	The response time for the ABC system solution should allow the doors to be able to open and close fully within one (1) second.		

2.9 Data Retention Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.9.1	The ABC system solution should include an automatic cleansing function that clears log files and statistics counters before log records become full. Log files shall be capable of retaining no less than 30 days of statistics before reaching 80% capacity. The Supplier is requested to identify how root cause analysis is performed if this data isn't stored in the proposed environment.		

2.10 System Documentation Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.10.1	The ABC system solution should submit a Project Record Drawings in electronic format. Electronic drawing format should be AutoCAD and PDF. Upon completion of the works, the Supplier		

2.10 System Documentation Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	must submit the plan view of the floor Layout showing all newly installed devices, duly endorsed by PIU together with representative from the Supplier		
2.10.2	The Supplier should provide comprehensive manuals and documentations on all works, plans, procedures and guidelines in hard copy and soft copy to PIU.		
2.10.3	The Application Software will not be deemed to be operational and complete until it has been thoroughly documented.		
2.10.4	The softcopy and hardcopy of the manuals and documentations shall be prepared with high quality media and/or printing to the full satisfaction of PIU		
2.10.5	The Supplier should supply minimum four (4) sets of the manuals and documentations (including softcopy) which required by PIU for use and in-house future enhancement such as:		

2.10 System Documentation Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	<ol style="list-style-type: none"> 1. System requirement specification including system interface, database diagram and other related documents. 2. System design specification including workflow process for each module. 		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.11.1	The ABC system solution should be able to mount both by fixing it to the airport floor or as otherwise instructed.		
2.11.2	The ABC system solution should be able to accept power and data cables from: Under the floor, and from the ceiling or roof		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.11.3	The ABC system solution must be able to operate on 220V-240V 50 Hz using Project locations standard cabling. The system provide should provide expected kWh usage of one Gate for one year based on 24hr/365days and 500 passengers per day.		
2.11.4	The system provider must do all physical installation of the ABC system units, including but not limited to, mounting/bolting the ABC system unit to the floor, connection of ABC system unit to electrical power, and provisioning conduit and cable to the appropriate locations.		
2.11.5	The system provider must identify any final assembly of the ABC system units that will be required on-site. The system provider must be responsible for final assembly of the ABC system unit.		
2.11.6	The system provider should coordinate installation with PIU, project locations project coordinator, Airline		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	Tenants, and IT key personal for the Common Use Systems to plan for startup and testing as required to minimize any disruption to airport operations.		
2.11.7	<p>The system should have two flappers and mantrap with following devices:</p> <ul style="list-style-type: none"> • Facial Camera with recognition (entry door) • Boarding pass reader (entry door) • Display for traveler guidance (entry door) • Passport scanner (inside mantrap) • Display for traveler guidance (inside mantrap) • Passport scanner (inside mantrap) • Display for traveler guidance (inside mantrap) • Contact 4 finger, fingerprint scanner 500dpi (inside mantrap), with anti-spoofing capabilities with USB 3.0 and USB 2.0 ports 		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	<ul style="list-style-type: none"> • Emergency button (inside mantrap) • CCTV camera to monitor the mantrap 		
2.11.8	The system should be made of stainless-steel casing of 1.5mm thickness minimum, and able to withstand impact of wheelchair and baggage/trolleys accidental impact.		
2.11.9	The system should have 1 m high moving and fixed obstacles (at a minimum) tempered glass		
2.11.10	The system should not be more than 3 (Three) meters long		
2.11.11	The system should be user friendly interface with integrated digital display to allow users/passengers on how to proceed. Additional user guidance in the form of Red and Green LED should be visible to the users/passengers. The gate should provide an intuitive and self-explanatory user interface to inform the passenger step by step how to use		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	the device and avoid any confusion.		
2.11.12	The system should be equipped by sensor to ensure that only one passenger passes through one gate at any one time, sensors should alert for any tailgating or un-authorize access/intrusion. The detection system is a fully embedded detection.		
2.11.13	The system must be autosensing for objects or passengers forcing or blocking its movement, and trigger notifications in these occurrences. The exit flappers must not open manually except with the intervention authorized personnel.		
2.11.14	The system must ensure personal safety and adhere to standards and guidelines relating to pinch and shear points of the sensor barrier (European Machinery Directive 98/37/EG or similar)		
2.11.15	The system must have safety sensors which would prevent any injury to passengers.		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.11.16	The system provider must ensure that the proposed system and the used materials have been designed in such a way that it will not be hazardous for a passenger or employee using it.		
2.11.17	The system provider should ensure that the used materials are vandal proof, scratch resistant, resistant to ultraviolet radiation and resistant to acid, chemical and cleaning products should also be antistatic.		
2.11.18	The system flappers should be transparent and space saving design which allows maximum utilization of space during installation.		
2.11.19	The system should be able to operate 24x7 and has a MTBF of minimum 5,000,000 cycles or better.		
2.11.20	The system should be able to have a MTTR of maximum 30 minutes.		
2.11.21	The system should be IP40 rated.		
2.11.22	The system should operate under 220V power standard and has a UPS back-up of 10 minutes to		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	cater for power failures and run-on building generator system. The e-Gate should be able to withstand power fluctuation.		
2.11.23	The system should operate on Low Energy Drive to minimize power consumption. In case of power failure, the gate shall be able to terminate the on-going traveler transaction.		
2.11.24	The system should be able to be monitored from a central location for its health and system functionality. The admin station / central monitoring station would also be able to do remote diagnosis on a particular e-Gate.		
2.11.25	The system should be installed in banks and easily expandable for additional lanes as and when required when needed with minor connection to the existing lanes.		
2.11.26	The system should allow at least one lane to		

2.11 e-Gate System Hardware, Software Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	accommodate PRM travelers.		
2.11.27	The system should have a Mechanical locking system of exit door in case of power failure.		
2.11.28	The system platform should be service oriented. It should be able to consume the internal services using industry standard integration best practices like web services, messaging etc.		
2.11.29	The proposed Single-Token solution should be capable of exposing APIs to send and receive data for other activities.		
2.11.30	The system should assure interoperability with other systems by retaining the raw form of any captured biometric in addition to any template derived from the application of a chosen algorithm to the raw form.		

2.12 ABC System Testing Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.12.1	The system provider must develop a comprehensive ABC system Test Plan, including all possible scenarios and submit it to the PIU for review and approval.		
2.12.2	The system provider must conduct the testing process with airline, Port Representative, and PIU representatives present.		
2.12.3	The system provider must rework all failed tests until acceptable test results are achieved.		
2.12.4	The system provider must test each ABC system to verify the ABC system meets operational requirements for each airline operating to allow any airline to operate at any Gate.		
2.12.5	The system provider must test and demonstrate each ABC system’s integration with the existing commonly used systems by port, customs, and immigration systems		
2.12.6	The system provider must Submit Test Reports initialed by Airline and		

2.12 ABC System Testing Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	project location’s coordinators witnesses.		
2.12.7	The system provider must obtain a written sign-off (hard copy or electronically) from all representatives after completing the testing process. This sign-off must indicate that all representatives approve the all-system functionalities and features		

2.13 System Training Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.13.1	The system provider should conduct training in System administration for ten airport/ferry (10) selected staff members.		
2.13.2	The system provider should submit a training syllabus and SoP manual for approval by PIU. Once approved, each trainee		

2.13 System Training Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	shall be provided with a handout copy of the syllabus and SoP manual. The SoP should include all types of periodic operations of the system		
2.13.3	The system provider should conduct airline user training on ABC systems. This training shall be a series of six (6) one-hour, hands on, user training sessions offered to all participating airlines, per terminal. Training Classes shall be scheduled as one-(1) hour courses with an AM class and a PM class to facilitate airline and project locations shift schedules. Provide on-site staff 6am-8:00 pm for 7 days after activation to support initial airline transition to ABC systems. The on-site trainings service should be available for 4 (Four) continuous weeks		
2.13.4	The system provider should develop and submit two (2) reproducible and two (2) electronic files of troubleshooting guides/training manuals for port staff and Airline		

2.13 System Training Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	Personnel. These troubleshooting guides/training manuals shall address common PC, ABC system and peripherals troubleshooting, typical use and contact information to escalate operational issues.		

2.14 Identity Management Platform (IMP) Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.14.1	The Identity Management Platform must be compliant with ICAO 9303, IATA and NIST.		
2.14.2	The Single Token solution to be deployed must be on IATA CUWS platform once completed.		
2.14.3	The proposed single token software platform must be able to interface with various e-Gate / touchpoints providers. It should not be		

2.14 Identity Management Platform (IMP) Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	proprietary to e-Gate or touchpoints.		
2.14.4	The proposed solution must allow business administrators to have visibility on passengers that have used any touchpoints installed as part of the project.		
2.14.5	The traveler’s token shall be kept during 24h cycle into the Identity Management system (maximum time) and purged after flight departure as per the applicable legislative prescripts.		
2.14.6	The Single Identity Management Platform should be able to search in a full travelers’ gallery in less than 2 seconds.		
2.14.7	The IMP should have a clear and documented interface to be interoperable with 3rd party equipment in the future.		
2.14.8	The Identity Management Platform should be scalable in gallery size and response time.		
2.14.9	The system should be virtualized or containerized as much as possible.		

2.15 Self-Bag Drop Kiosk (SBDK) Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.15.1	The system provider must be able to integrate the SBDK on all ports bag-drop counters (physical, electrical and network integration), retrofit preferred.		
2.15.2	The SBDK should have the following configuration: <ul style="list-style-type: none"> • A 15" touchscreen (Minimum) • A status LED • Face capture system with PAD and recognition • Handheld Barcode scanner with lock cable • Integrated Barcode reader • Receipt printer • Bag Tag Printer • Speaker • Intrusion detection sensor • Emergency stop button 		
2.15.3	The SBDK should come with a license for Common Use Web Services connectors.		
2.15.4	The usage of the SBDK should be easy, with ergonomic user guidance. Pictograms are preferred to text.		
2.15.5	The SBDK ergonomics should consider inclusion rules and best practices.		

2.15 Self-Bag Drop Kiosk (SBDK) Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.15.6	The SBDK should activate the face camera without traveler action. In case the traveler token is not found in the Single-Token platform, the SBDK shall use the boarding pass reader as a fallback.		
2.15.7	The SBDK should be able to be used by travelers in wheelchairs.		

2.16 RFID, Facial and Fingerprint Scanning System Requirements Specification of the ABC System			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.16.1	The system provider must propose a solution and facial biometric camera which comply with IATA, ICAO and NIST standard and policies.		
2.16.2	The system provider must provide best practice and standard for product proposed.		

2.16 RFID, Facial and Fingerprint Scanning System Requirements Specification of the ABC System

No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.16.3	The system provider should provide a camera which able to perform passive Presentation Attack Detection level 1 and 2 certified ISO/IEC JTC1 30107-3. The detection should not require any specific action / behavior from the passenger such as open mouth, blinking eyes, etc.		
2.16.4	The system solution should be able to operate without additional lighting in an environment with ambient light > 400 LUX		
2.16.5	The face acquisitions systems without moving parts are required on touchpoints (bag drop and gates)		
2.16.6	Face acquisition systems should not exceed 70db noise level to be used in airports environments. Silent systems are preferred (ISO 9296)		
2.16.7	The system provider should propose a solution which is able to do the followings in accordance with international border control standard. The system provide should submit all but not limited to the following specification: <ul style="list-style-type: none"> • The matching accuracy • The face detection time 		

2.16 RFID, Facial and Fingerprint Scanning System Requirements Specification of the ABC System			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	<ul style="list-style-type: none"> • False Rejection Rate • False acceptance rate • Failure to Enroll Rate • Minimum distance for passenger detection from camera 		
2.16.8	The solution provider must present the performance indicators (False Positive Identification Rate FPIR/False Negative identification Rate –FNIR) of the proposed biometric matching engines for Face matching.		
2.16.9	The system must be capable of doing both 1:n and 1:1 recognition / matching mode.		
2.16.10	The system should be able to capture passenger facial for traveler’s height between 1.2 m and 2.1m, with a capture distance from 0.4 to 1.3m.		
2.16.11	The quality of the facial picture acquired for enrolment should reach the target of FPIR/FNIR. The solution provider should explicit the minimum face quality criteria measured in the enrolment solution (from ISO/IEC 19794-5).		
2.16.12	The Facial images should be acquired in less than 7 seconds at the enrolment kiosk and 2 seconds		

2.16 RFID, Facial and Fingerprint Scanning System Requirements Specification of the ABC System			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
	max in verification on other touchpoints.		
2.16.13	The facial acquisition device should have the following certifications: IEC CB, CE, FCC, RoHS, WEEE		
2.16.14	The facial acquisition device should be available in the form of a stand-alone device or an OEM kit for integration into self-service touchpoints.		
2.16.15	The facial acquisition stand-alone device should have a touchscreen < 10" for passenger display.		
2.16.16	The fingerprint verification units (FVU) must support contact 4 finger, fingerprint scanner 500dpi (inside mantrap), with anti-spoofing capabilities.		
2.16.17	The FVU should have at least one port USB 3.0 and one port USB 2.0. <ul style="list-style-type: none"> Where a digit is missing, the next available digit should be used, or equivalent standard on FBI IAFIS IQS 		

2.16 RFID, Facial and Fingerprint Scanning System Requirements Specification of the ABC System			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.16.18	The FVU should be able to verify two thumbs simultaneously to expedite verification and make it more accurate. Where a digit is missing, the next available digit should be used.		

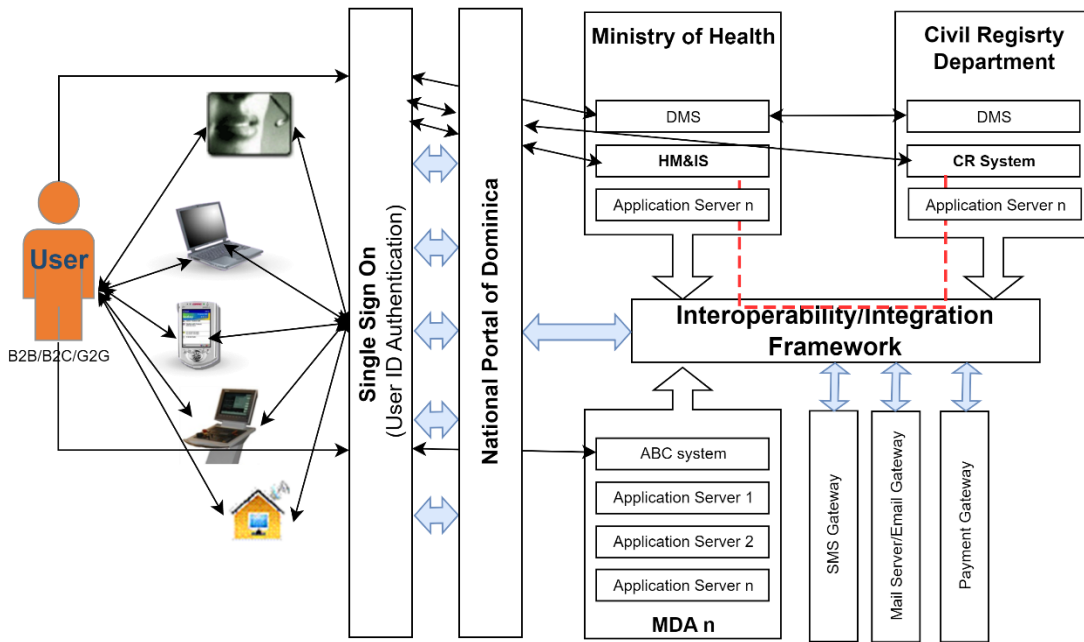
2.17 e-Gates Monitoring Workstation Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.17.1	The system must be provided to monitor ABC system (e-gates system)		
2.17.2	The system must be accessed after successful authentication of the officer.		
2.17.3	A monitoring officer should be able to supervise up to 3 ABC system gates.		

2.17 e-Gates Monitoring Workstation Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.17.4	An equipment can only be monitored by 1 officer at a time.		
2.17.5	The monitoring solution should enable to see in real time the data acquired on the ABC system and the results of verifications made.		
2.17.6	The monitoring solution should enable a different set of actions for ABC SYSTEM		
2.17.7	The monitoring solution should be enabled to command the opening of the exit doors.		
2.17.8	The monitoring solution should be enabled to overrule the results of the check for a traveler.		
2.17.9	The monitoring solution should be enabled to display a summary of controls in progress on the monitored ABC gates, and to zoom on one for more detailed information.		
2.17.10	The monitoring solution should be ergonomic and enable to quickly identify alerts raised on the ABC SYSTEM.		

2.17 e-Gates Monitoring Workstation Requirements Specification			
No.	Requirements	The Supplier MUST provide satisfactory explanation to describe how the proposed solution fulfills the requirement or the Approach & Methodology adopted to meet the requirements fully or partially	The Supplier may provide comment regarding the understanding on the requirement
2.17.11	The monitoring solution should be available in English language.		

1.2.1.2.Track-2: Integration with other National Digital Platforms

Besides implementing ABC system, the supplier must ensure that the proposed solution can be seamlessly integrated with National Portal by consuming the Web Services provided by the National Portal Platform. It should also be capable of leveraging the SSO module, Digital Signatures, Unique Identification and other GoCD initiatives as per the requirements of the ABC system and implemented at the National Web Portal. The high-level architecture as envisaged illustrates interlinking of the cross-cutting areas as described below;



(a) National Web and Mobile Portal

National portal of a Country is the interface to the world and now it is one of the means to showcase the Country’s economic and social progression. GoCD is keen to have a national web and mobile portal that meets international standards for its content and dynamism. One of the indicators towards this is UN e-Government Development Index (eGDI) which based on predefined criteria determines the ranking of the Country towards the adoption of e-Government initiatives in the Country. The BPR study must identify the criteria and structure its study in way that the solution designed must fulfill the eGDI criteria.

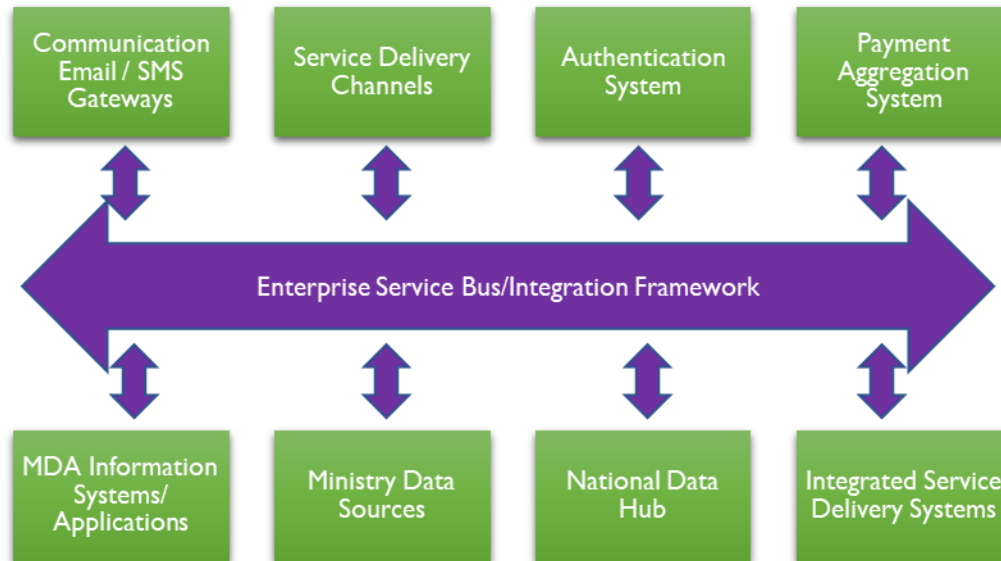
GoCD has established various portals for information as well as delivery of various services. These portals are largely static with no backend integration. There are efforts especially for the e-payments of few services like COVID test, Work Permit, etc. but this up to the payment and printing of receipt with no backend integration. The e-Payment portal can be accessed using <https://epayment.dominica.gov.dm> .

Currently, the portal www.dominica.gov.dm serves as the National portal. It provides links to the various Ministries, Department, services and other information. It is expected that this portal may be further developed as National Portal and serve as a single window for accessing the information, services, payments, etc.

In addition to the web portal, it is also desired to have a mobile portal to add accessibility to government services.

(b) Enterprise Service Bus/Integration Framework

Towards its expectation of an integrated, interoperable and accessible digital solution for delivering above services, GoCD intends to implement an Enterprise Service Bus (ESB) or API Gateway/middleware messaging component as a **crucial integration framework required** to connect the services offered by various MDAs to provide a seamless integrated environment to the consumers (citizens and residents). This will also lay the foundation for flexible technology stack needed to support current/future integrations with features such as Service Development, Service registry, Service Orchestration, Service policy enforcement for SLAs and Service Monitoring. High level architecture as envisaged is illustrated below;



The Supplier shall draw out Enterprise Integration Architecture and Technical Design Document for integrating with ESB or API gateway/middleware as information system integration framework exhibiting, at a high level, with the following features:

- Allow for seamless integration of existing technology/application systems and establish technology platform for future enterprise integrations.
- Supporting reliable messaging – Validation, Transformation and Secure delivery of the messages with synchronous/asynchronous invocations.
- Transaction support: Compensation and rollback of committed service requests (transaction support in composite services). Support for rule-based, atomic and process-driven transactions.
- Security of services: Authentication mechanism (LDAP, OpenID, SSO etc.), role-based authorization (OAUTH with JWT token), payload encryption (HSM/Public key).
- The E-Service Bus must support standard transport protocols such as HTTP, HTTPS, and WebSocket, as well as messaging protocols like AMQP, MQTT, JMS, and REST, to enable robust communication across different services and applications.
- Must be able to integrate with Payments Gateway, CRM, ERP and any other legacy system as required.
- Must provide message formats and protocol like JSON, XML, SOAP.
- Must have the capabilities to connect with other Enterprise Messaging System, like, MSMQ, Oracle AQ or IBM WebSphere MQ.
- Must be able to connect with any data store like RDBMS, CSV, Excel, ODS, Cassandra, Google Spreadsheets, etc.

- Build services based composite applications and provide support for developing all related service components of Design principles – Service contracts; Loose Coupling; Abstraction; Reusability; Autonomy; Statelessness; Discoverability; Composability o Separation of Concerns of Service Orchestration o Policy Definition, Management and Enforcement.
- High performance, scalability – HA and DR.
- Hybrid Setup (On-Premises and Cloud) with capability to scale out on cloud.
- Supports integration with multiple product connectors like Microsoft Dynamics.
- Supports service level monitoring, auditing for data access and configuration changes, fault detection, root-cause analysis, reporting and historical analysis.
- Must enable various MDAs components to consume functionality implemented in services while being oblivious of the technical details of the components involved. As far as any component is concerned, it is exchanging messages only with the ESB, as if the ESB itself was the provider/consumer of the services.
- The ESB must help to bridge the gaps between the provider and consumer in terms of connectivity and messaging format requirements. The ESB should perform the core functions at the message level to implement service transparency, and thus achieves a service-oriented architecture. The core functions include but not limited to:
 - Providing virtual endpoints
 - Routing requests
 - Transforming messages
 - Translating protocols
 - Orchestrating services
- The ESB or the Integration framework should offer integration, or composite services while using distinct routing, translation, and transformation services to support the disparate GoCD data sources and consumers could violate the loose coupling concept of SOA. That is, one message routed from a provider to a consumer may require a different transformation than another message routed between the provider and consumer, while routing the same message to a different consumer may require different protocol. Instead of creating unique services with specific features to support each instance.
- The ESB or the Integration framework should enable the components to perform a range of small, useful, flexible operations between a consumer and a service provider. Typically, component services implement Enterprise Integration Patterns (EIP) independent of the service descriptions (WSDL and others). Using the EIPs, developers combine business applications (consumers

and service providers) into a composite service. EIP components should include but not limited to:

- Pipe patterns—a single event triggers a sequence of processing steps, each performing a specific function. The EIP component sequences the calls.
- Content based router patterns—the EIP component examines the message content and routes the message onto a different channel, based on data contained in the message.
- Message dispatcher patterns—the EIP component sends the message to a list of service providers (multipoint).
- Scatter gather patterns—the EIP component routes a request message to several service providers, and then aggregates the responses into a single response message.
- The ESB or the Integration framework architecture and components must comply with the following standards:
 - The ESB or the Integration framework architecture will serve as the infrastructure to the integration hub.
 - All enterprise services will be exposed through the Integration hub. The ESB provides the infrastructure to the Integration hub and facilitates web services, ETL and MFT (Managed File Transfer) services. Different business and data services across the enterprise will be exposed through the integration hub using the ESB.
 - Direct calls to services exposed through an application server are explicitly discouraged and require enterprise architecture approval with specific reasons why the integration hub and the ESB cannot be used.
 - All enterprise services may expose both a REST and SOAP interface.
 - Proxy services exposed through the ESB may expose both a REST and SOAP interface.
 - The GoCD ITIL framework will govern all enterprise services.
 - All enterprise services exposed outside GoCD via the ESB will be managed within the Enterprise Service Catalog and configuration management database and delivered in alignment with ITIL Service Operations processes standards.
 - The service level agreement will dictate the ESB service commitment.
 - Service level agreements will be established for each business and data service according to the design of the service. Information technology (IT) service management tools use these

services level agreements to monitor performance according to the IT Infrastructure Library (ITIL) policies.

- API and Service Management: For system-to-system communication it will be necessary to provide combined easy and managed API access with full API governance and analysis:
 - System should have the ability to publish APIs/Services to a selected set of gateways in a multi-gateway environment
 - System should support enforcement of government and system policies for actions like
 - API/Service subscriptions, application creation, etc., via customizable workflows
 - Manage API/Service visibility and restrict access to specific agencies or systems
 - Manage API/Service lifecycle
 - Ensure API/Service security by restricting API access tokens to domain/IPs, validating APIs payload contents against a schema, applying security policies to APIs authentication and authorization and provide threat protection, bot detection and token- fraud detection
 - System should generate JSON web tokens for consumption by back-end servers
 - System should provide developer portal to search APIs by provider, to provision the API keys, subscribe API, notification for new version of subscribed APIs and view of the API consumer analytics.
 - System should have proper capabilities to manage and scale API traffic and enforces rate limiting and dynamic throttling based on usage quotas and bandwidth quotas.
 - System should be horizontally scalable with easy deployment into cluster using proven routing infrastructure
 - System should have high performance pass-through message routing with minimal latency
 - System should provide a pluggable analytics framework for API usage, like, requests, responses, faults, throttling, subscriptions etc.
 - System should track consumer analytics per API, per API version, per tiers and per consumers
 - System should have configuration payment schemes to monetize API usage

- System should monitor SLA compliance for the API
- System should have the capability to do the required integration with SSO System

(c) Single Sign-On (SSO)

GoCD towards the increased user adoption of e-Services desires to implement a Single Sign-On (SSO) platform that allows users to access all e-Services without having to log in separately for each service which mean it will allow the same username and password to be used across many generic modules and Apps. The SSO shall be a single, trusted, secure, scalable and multi factor user authentication for all the e-Services offered by the Government and Businesses through multiple channels.

The Supplier must integrate the ABC system with SSO platform with the following key business requirements as envisaged;

- User Registration: To provide one time registration for all categories of Users i.e., Citizens/Residents, Business entities, Government entities and Visitors
- Authentication Mechanisms: To provide multiple authentication mechanisms i.e., Username/password, Smart Cards, Biometric, Digital Certificates.
- Login Anomalies: To track user activities and detect suspicious logins in real time and to support API driven mechanism to detect anomalies where details are provided through SSO
- Assurance levels: To define assurance level required to provide information /service to the user
- Integration: To integrate with services delivered using multiple channels such as National / Ministry Portal, Mobile Portal, Kiosks and National Call Center (NCC)
- Security protocols: To comply with the internationally recognized security protocols such as: SAML2.0 (Security Assertion Markup Language), OAuth2.0 (Open Authorization) and OpenID Connect 2.0
- Must provide white label login and registration process
- Must provide Rule-based authorization support for SSO
- Must support for multi-option/multi-step authentication
 - X.509 Authentication
 - 2-factor authentication (2-FA)
 - Time-based one-time password (TOTP) based authentication
- Must provide Users and Group Management

- Must provide flexible profile management for users supporting multiple profiles per user and have the ability to link multiple user accounts to a single user
- Must support heterogeneous user stores, e.g., ApacheDS or any RDBMS
- System should support configurable password policies
- Should have account locking for invalid failed login attempts
- Should have account recovery with email and secret questions
- Should have password history validation
- Should have password pattern configuration
- Should have account locking in single and multi-tenant environments
- Should have account suspension reminders and locking of idle accounts
- should provide multi-option/multi-step approval template-based workflows for user and role management operations
- should manage role-based access control
- Should have user friendly policy administration point
- Should have easy to integrate option with Service Bus
- Should support for SAML2 bearer grant type, JWT assertion grant type and NTLM- IWA grant type
- Should have OAuth2 token revocation support
- Should have OAuth token introspection
- Should have proper monitoring, reporting and auditing support by providing login events and session monitoring, user session termination, forced password reset and real- time security alerting for suspicious login activities and abnormal sessions based on rules
- System should provide flexible deployment mechanism by supporting clustering for high availability deployment and centralized configuration management across different development environment.

(d) SMS and e-Mail Integration

An SMS and e-mail gateway allows a computer (also known as a Server) to send or receive text messages in the form of SMS transmissions and e-mails between local and/or international telecommunications networks. In most cases, SMS are eventually routed to a mobile phone through a wireless carrier. SMS gateways are commonly used as a method for person-to-person to device-to-person (also known as application-to-person) communications.

Similarly, the e-mail gateway allows a computer (also known as a Server) to send or receive messages through mail.

The Supplier shall preform the integration of SMS and e-mail gateway to facilitate the transmission of messages, OTPs, etc over mobile and portable devices.

(e) **e-Payment Integration**

GoCD has implemented an e-Payment system for facilitating the online payments for availing various government (G2C) services. Currently, the payment can be done using credit cards only. It is expected that the Supplier should develop provision to accept payments, if any, using the modes described below including but limited to.

<ul style="list-style-type: none"> • Internet Banking – In this case, the payment is done by digitally transferring the funds over the internet from one bank account to another. Some popular modes of net banking are, NEFT, RTGS, IMPS.
<ul style="list-style-type: none"> • Card Payments – Card payments are done via cards e.g. credit cards, debit cards, smart cards, stored valued cards, etc. In this mode, an electronic payment accepting device initiates the online payment transfer via card
<ul style="list-style-type: none"> • Credit/ Debit card – An e payment method where the card is required for making payments through an electronic device.
<ul style="list-style-type: none"> • Smart Card – Also known as a chip card, a smart card, a card with a microprocessor chip is needed to transfer payments.
<ul style="list-style-type: none"> • Stored Value Card – These types of cards have some amount of money stored beforehand and are needed to make funds transfer. These are prepaid cards like gift cards, etc.
<ul style="list-style-type: none"> • Direct Debit – Direct debit transfers funds from a customer’s account with the help of a third party
<ul style="list-style-type: none"> • E-cash – It is a form where the money is stored in the customer’s device which is used for making transfers.
<ul style="list-style-type: none"> • E-check – This is a digital version of a paper check used to transfer funds within accounts.
<ul style="list-style-type: none"> • Alternate Payment Methods – As technology is evolving, e-payment methods kept evolving with it (are still evolving.) These innovative alternate e-payment methods became widely popular very quickly thanks to their convenience.
<ul style="list-style-type: none"> • E-wallet – Very popular among customers, an E-wallet is a form of prepaid account, where customer’s account information like credit/ debit card information is stored allowing quick, seamless, and smooth flow of the transaction.
<ul style="list-style-type: none"> • Mobile Wallet – An evolved form of e-wallet, mobile wallet is extensively used by lots of customers. It is a virtual wallet, in the form of an app that sits on a mobile device. Mobile wallet stores card information on a mobile device. The user-friendly nature of mobile wallets makes them easier to use. It offers a seamless payment experience making customers less dependent on cash.

<ul style="list-style-type: none"> • QR Payments – QR code-enabled payments have become immensely popular. QR code stands for ‘Quick Response’ code, a code that contains a pixel pattern of barcodes or squares arranged in a square grid. Each part of the code contains information. This information can be merchant’s details, transaction details, etc. To make payments, one has to scan the QR code with a mobile device.
<ul style="list-style-type: none"> • Contactless Payments – Contactless payments are becoming popular for quite some time. These payments are done using RFID and NFC technology. The customer needs to tap or hover the payment device or a card near the payment terminal, earning it a name, ‘tap and go’.
<ul style="list-style-type: none"> • UPI Payments – Unified Payment Interface (UPI) is an instant real-time payment system to facilitate interbank transactions. Payments via UPI can be made via an app on a mobile device.
<ul style="list-style-type: none"> • Biometric Payments – Biometric payments are done via using/scanning fingerprint scanning, eye scanning, facial recognition, etc. These payments are replacing the need to enter the PIN for making transactions making these payments more accessible and easier to use.
<ul style="list-style-type: none"> • Payments are done via Wearable Devices – Wearable devices are rapidly becoming popular among customers. These devices are connected to the customer’s bank account and are used to make online payments. An example of a wearable used for making an online payment is a smartwatch.
<ul style="list-style-type: none"> • Provision for generating successful transaction slip
<ul style="list-style-type: none"> • Provision for generating failed transaction slip

(f) **Digital Signature**

GoCD in its electronic communication/transactions desires to include the provision of Digital Signatures for irrefutable authenticity to stand legal scrutiny and to ensure reliability and trust worthiness.

It’s highly recommended that the digital signature relay on the AES (Advanced Electronic signature) certificate type. These certificates are available to users and organizations that wish to transact and communicate with clear legal status. A high level of independent identity authentication is provided through the collection of personal identity information, including fingerprints, and the verification of the information provided by the GoCD. Advanced Electronic Signatures are strongly recommended for strong authentication, signing, and encryption of electronic communications, transactions, and processes

The Supplier shall make the provisions for integrating Digital Signatures with the ABC systems, appropriately.

(g) **Unique Identity (UID) System**

GoCD in its digital initiatives has designed a unique identity system to provide an electronic identity to its citizens and validate/verify through UID system. It is expected that the supplier should design the system to integrate and consume APIs of UID system for validation/verification of identity of the citizens.

1.3 Architectural Requirements to be met by the Information System

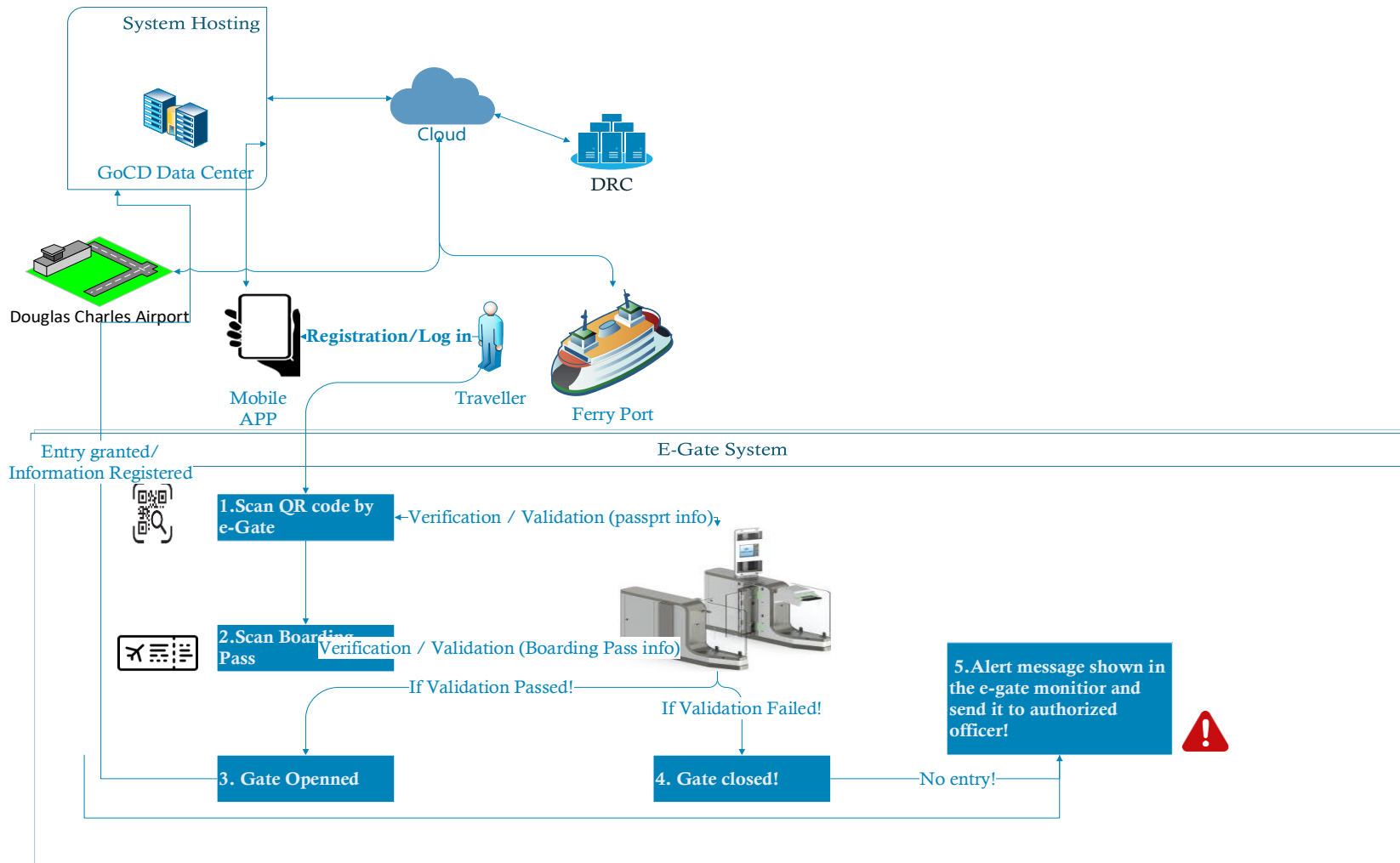
The Information System for the ABC system MUST be supplied and configured to implement the following architecture.

As shown in the figure below, the following list includes the main components that form the system and provides the main processes that interacts between the system components.

- The software application will be hosted in the GoCD data center.
- The system must have provision for data backup that can leverage the Storage in the Data Center as well as the GoCD Disaster recovery data center.
- The cloud environment will be managed by the GoCD IT staff. Access will be provided to this environment to the selected system provider.
- The following steps are capturing the different cases that may encounter each traveler:
 - 1) Scan QR code by the e-gate. A validation will be done between the QR scanner and the hosted data that is related to the traveler profile.
 - 2) Scan Boarding pass (electronic/ paper) format). A verification will be done to ensure that the boarding pass which been used by the travelling agency is matching the boarding pass information.
 - 3) If the validation and verification for both steps 1&2 passed successfully, the e-gate will be opened, and the traveler will go to customs.
 - 4) If the validation and verification for both steps 1&2 didn't pass, the e-gate will not open (remain closed by default), alert message and audio/light signal may be shown/heard to inform the authorized staff to deal will the traveler who has this case.
 - 5) If the authorized person authorized the traveler to go to customs or take the traveler out of the lane and take deal with traveler in case-by-case basis
- The e-gate system should allow the traveler to go through a dedicated lane.
- The e-gate system includes scanner, biometric devices and connect electronically with e-gate software application and connect physically with the gate. A camera device will be existing in the system to monitor and record the e-gate operation.
- The Details of Data Capture are mentioned in the Table Below:

Process	National Traveler (Biometrics enabled)	National Traveler (Biometrics disabled)	International Traveler
Identity declaration using travelling documents	<ul style="list-style-type: none"> ▪ UID (Unique Identifier) with biometrics ▪ e-Passport 	<ul style="list-style-type: none"> ▪ Passport 	<ul style="list-style-type: none"> ▪ Passport
Identity declaration process	<ul style="list-style-type: none"> ▪ Validate traveler information with the national 	<ul style="list-style-type: none"> ▪ Register traveler information. ▪ Obtain a QR code. ▪ QR code indicate that the traveler information had been registered only 	

Process	National Traveler (Biometrics enabled)	National Traveler (Biometrics disabled)	International Traveler
	<p>UID/e-passport records.</p> <ul style="list-style-type: none"> ▪ Register traveler validation status. ▪ Obtain a QR code (if validation passed) ▪ QR code indicate that the traveler had been registered verified. ▪ If the Validation didn’t pass, the traveler should not use the e-gate system and go the immigration officer for obtaining entrance clearance 	<p>in the ABC SYSTEM system and immigration system, Further verification & validation will be conducted by the system to ensure that the traveler had fulfilled all the requirements to enter CoD</p>	



*Mobile App is not in the Current scope of Work

1.4 Systems Administration and Management Functions Required to be met by the Information System

The Information System MUST provide for the following management, administration, and security features at the overall System level in an integrated fashion.

- Installation, Configuration and Change Management
- Operational Monitoring, Diagnostics, and Troubleshooting
- User Administration and Access Control; User and Usage Monitoring and Audit Trails
- System and Information Security and Security Policies
- Back-up and Disaster-Recovery

The Supplier MUST provide an SOP/Guideline document for above activities which upon approval by the Purchaser, shall be used to perform the task

1.5 Performance Requirements of the Information System

The ABC system must meet the minimum indicative performance standards and response times for each of the following items

Item	Performance Standard / Response Times
Screen Navigation: field-to-field	< 5 milliseconds
Screen Navigation: screen-to-screen	< 3 seconds
Screen Refresh	< 2 seconds
Screen list box, combo box	< 2 seconds
Screen grid – 25 rows, 10 columns	< 3 seconds
Report preview – (all reports) – initial page view (if asynchronous)	< 60 seconds in most instances. It is understood that complicated / large volume reports may require a longer period
Simple search – single table, 5 fields, 3 conditions – without screen rendering	< 3 seconds for 100,000 rows
Complex search – multiple joined table (5), 10 fields, 3 conditions – without screen rendering	< 5 seconds for 100,000 rows
Server-side validations / computations	< 2 milliseconds
Client-side validations / computations	< 1 millisecond
Loading pages	< 3 seconds
Saving a record	< 5 seconds
Batch processing per 100 records	< 120 seconds

Item	Performance Standard / Response Times
Login, authentication, and verification	< 5 seconds
Daily backups – maximum duration	4 hours (on-line preferred)
Total Restore – maximum duration	8 hours

- The system must be able to handle a minimum of 100 concurrent users or device inputs (ABC Gate/RFID) without any degradation in response time or throughput. The acceptable response time is mentioned in the performance section.
- The system must be designed to handle peak loads of up to 500 concurrent users or device inputs (ABC Gate/RFID) without any service disruptions or outages.
- The system must be designed to utilize system resources (CPU, memory, network) efficiently and minimize resource wastage.
- Performance tests must be conducted using appropriate tools and scenarios to verify that the system meets the specified performance requirements.

1.6 Other Non-Functional Requirements

Architecture & Design

- The system should be architected & designed in alignment with the proposed architecture of the National Level Cross Functional System
- The system must be designed using open standards and protocols to ensure interoperability with third-party systems and services.
- The system should preferably be built using open-source technologies and frameworks wherever possible to minimize licensing costs and vendor lock-in.
- The system must be designed using a loosely coupled architecture to promote flexibility and modularity, and to minimize dependencies between components.
- The system must be designed using a multi-layered architecture, with clear separation of concerns between presentation, business logic, and data access layers.
- The system must be designed to be cloud-agnostic, with the ability to deploy and run on multiple cloud platforms and environments or on-premise infrastructure
- The system must be designed to support horizontal scaling, with the ability to add or remove instances dynamically to meet changing traffic demands.

- The system should preferably be designed to use modern containerization technologies, such as Docker and Kubernetes, to facilitate deployment, scaling, and management.
- The system should be designed to support event-driven architecture, with the ability to handle events asynchronously and to decouple event producers and consumers.
- The system must be designed to support fault-tolerant and resilient architecture, with the ability to recover from failures and errors quickly and gracefully.

Scalability

- The system must be able to scale horizontally or vertically to accommodate increasing traffic and user demand.
- The system must be designed to support auto-scaling based on traffic patterns and usage metrics.
- The system must be able to handle a minimum of 500 concurrent users or device inputs (ABC Gate/RFID) by the end of the first year of deployment without any significant performance degradation or service disruptions.
- The system must be able to handle a minimum of 1000 concurrent users or device inputs (ABC Gate/RFID) by the end of the second year of deployment without any significant performance degradation or service disruptions.

Availability

- The system must be designed to achieve a minimum uptime of 99.9% during normal operation.
- The system must have a disaster recovery plan in place to ensure business continuity in the event of a system outage or disaster.
- The system must be designed to provide automatic failover and load balancing capabilities to ensure high availability and fault tolerance.
- The system must have an alerting and monitoring mechanism in place to notify system administrators in the event of a service outage or disruption.

Security

- The system must comply with industry-standard security best practices and guidelines.
- The system must provide secure user authentication and authorization mechanisms to prevent unauthorized access to the system and data.
- The system must be designed to protect against common security threats, such as SQL injection, cross-site scripting (XSS), and cross-site request forgery (CSRF).

- The system must provide robust data encryption and decryption mechanisms to protect sensitive data in transit and at rest.

Usability

- The system must have an intuitive and user-friendly interface that is easy to use and navigate.
- The system must comply with accessibility standards and guidelines to ensure that users with disabilities can use the system.
- The system must provide appropriate user feedback and error messages to guide users through the system.

Reliability

- The system must be designed to handle errors, failures, and exceptions gracefully and recover from them quickly.
- The system must be tested extensively to identify and eliminate any defects, bugs, or errors before deployment.
- The system must provide appropriate logging and monitoring capabilities to enable easy troubleshooting and debugging in the event of issues or errors.

Maintainability

- The system must be designed with modularity and flexibility in mind to make it easy to modify, extend, and enhance.
- The system must have appropriate documentation and commenting to make it easy to understand and maintain.
- The system must be designed to minimize technical debt and maintainability costs over its lifecycle.

C. SERVICE SPECIFICATIONS – SUPPLY & INSTALL ITEMS

2.1 System Analysis, Design and Customization/Development

The Supplier MUST perform the following Analysis and Design activities using a formal system analysis/development methodology with the following key activities and design deliverables.

- Detailed Analysis: The Supplier must perform following documentations
 - *Functional Requirement Specification Document*
 - *System Design Document;*
 - *System Requirements Specification;*
 - *Interface Requirements Specification);*
 - *Software/System Test Descriptions;*
 - *Software/System Test Plan*
- Physical Design:
 - *Software Design Description*
 - *Interface Design Document*
 - *Database Design Document*
- Integrated System:
 - *User’s Manual;*
 - *Operations Manual;*
 - *Source Code as well as its documentation to be submitted at the end of O&M period;*

All the deliverable as per the implementation schedule shall be submitted to the PIU for necessary approval.

2.2 Software Customization / Development

The Supplier MUST perform Software Customization / Development using a formal software development methodology preferably open standard technology or tools.

2.3 System Integration (to other existing systems)

The Supplier MUST perform the Integration Services as shown in the architectural requirement section

2.4 Training and Training Materials

The Supplier MUST provide the following Training Services and Materials.

- The Supplier should submit a comprehensive Training Plan to be approved by PIU for the system operators and system administrators.

- The Supplier should describe the training required or recommended to support the Single Token solution’s operation. The comprehensive training sessions shall include, but not limited to the followings for all support levels – 1st level recovery team and expert team (i.e., 2nd and 3rd level):
 1. System Administrator Training
 2. Technical Training
 3. Train-the-trainer
- The Supplier should ensure that all training materials available to all participants. The material should be self-guiding and self-explanatory, the training materials should be submitted in hard-copy and electronic formats.
- The Supplier should conduct an expert hands-on Single Token solution training (includes all devices, peripherals and software) which also include whole necessary task to support at least 2nd level maintenance and not limited to identify, diagnose, troubleshoot, configure and repair etc.
- The Supplier should provide a detail training schedule. Courses provided shall be inclusive of training plan, syllabus, training materials and supporting documentation. PIU should be allowed to use the training materials in future to train new staff and not have to pay for the course material. The Intellectual Property Right (IPR) for the course material can remain with the Supplier.
- The training schedule should be included as part of the system going live / operational.
- The Supplier should submit to PIU a training report after every training session as specified in the Training Plan

2.5 Data Conversion and Migration

The Supplier MUST provide services and tools to perform the Data Conversion and Migration Services of the Customs and immigration system.

2.6 Documentation Requirements

Apart from the system document described above, the Supplier MUST prepare and provide the following Documentation.

- End-User Documents including training materials, SOPs/Guidelines for operations, etc.
- Technical Documents describing system specification documents, etc.

2.7 Requirements of the Supplier’s Technical Team

Position Name	Nos	Role	Qualifications	Experience
Team Leader & Border Control Specialist	1	<ul style="list-style-type: none"> Ensure the on-site team is performing to the satisfaction of PIU and to the requirements of the contract. Report on team activities and provide a Safety plan as needed. 	<ul style="list-style-type: none"> At a minimum, Bachelors in Engineering or IT or equivalent Must have PMP or Prince 2 or equivalent certificates 	<ul style="list-style-type: none"> Overall minimum 15 years of experience Must have 3+ Years of Experience on managing and leading e-gates projects including at least 2 projects in the last 5 years Must have 7+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system Must have 7+ years of experience in providing IT technical support in for land border/airports/seaports domains
Senior Technical Support Engineer	1	<ul style="list-style-type: none"> Provide 3rd Level support to troubleshoot complex issues including diagnosing and 	<ul style="list-style-type: none"> At a minimum, Bachelors in 	<ul style="list-style-type: none"> Overall minimum 5 years of Experience

Position Name	Nos	Role	Qualifications	Experience
		resolving network & connectivity issues, integration issues, Self-Service software application issues, hardware and equipment failures etc. <ul style="list-style-type: none"> • Manage TSEs & PSSTs 	Engineering or IT or equivalent <ul style="list-style-type: none"> • ITIL, CCNA certificates 	<ul style="list-style-type: none"> • Must have 5+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Must have 5+ years of experience in providing IT technical support in for land border/airports/seaports domains
Passenger Service Support Technician (PSST)	2	<ul style="list-style-type: none"> • Responsible for providing on-site operational support of all components of the ABC system, including incident management. • The technician shall escalate unresolved first/second level support issues to the appropriate resources. • Perform preventive maintenance (PM) work on ABC systems, as needed, to ensure adherence to required 	<ul style="list-style-type: none"> • IT, programming, computer systems, computer technician diploma or higher • Preferable to have ITIL, CCNA certificates 	<ul style="list-style-type: none"> • Overall minimum 5years of Experience • Preferable to have 1+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Preferable to have 1+ years of experience in

Position Name	Nos	Role	Qualifications	Experience
		repair time. Maintenance shall be carried out as deemed appropriate by PIU and in compliance with the manufacturer’s preventive maintenance recommendations.		providing IT technical support in for land border/airports/seaports domains <ul style="list-style-type: none"> • Must have 5+ providing IT technical support
Solution Architect	1	<p>Professional Qualification</p> <ul style="list-style-type: none"> • Should be a graduate in Business Administration, Public Administration, Computer Science/IT or relevant areas <p>Relevant Experience</p> <ul style="list-style-type: none"> • Should have experience in implementing Automated Border Control System projects of similar nature in the public/government/private sector projects • Should have carried out solution architecting for Interoperable/Cloud Architecture • Should have experience in designing cloud architecture 	<ul style="list-style-type: none"> • IT, programming, computer systems, computer technician diploma or higher • Preferable to have TOGAF or equivalent 	<ul style="list-style-type: none"> • Overall minimum 5years of Experience • Preferable to have 1+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system • Preferable to have 1+ years of experience in providing IT technical support in for land border/airports/seaports domains • Must have 5+ providing IT technical support

Position Name	Nos	Role	Qualifications	Experience
		<p>Relevant technical and management experience</p> <ul style="list-style-type: none"> Should have demonstrative experience in the performing technical and managerial roles or responsibilities in projects or tasks of similar nature 		
<p>IT Security & Business Continuity/Disaster Recovery Architect</p>	<p>1</p>	<p>Professional Qualification</p> <ul style="list-style-type: none"> Should be a graduate in Business Administration, Public Administration, Computer Science/IT or relevant areas <p>Relevant Experience</p> <ul style="list-style-type: none"> Should have experience in IT Security & Business Continuity/Disaster Recovery Architect <p>Relevant technical and management experience</p>	<ul style="list-style-type: none"> Should be CISA/CISM 	<ul style="list-style-type: none"> Overall minimum 5years of Experience Must have 3+ years of experience in IT Security & Business Continuity/Disaster Recovery Architect <p>Must have 3+ Years of experience in IT security & Business Continuity/ Disaster Recovery architect support in for land border/airports/seaports domains</p>

Position Name	Nos	Role	Qualifications	Experience
		<ul style="list-style-type: none"> Should have demonstrative experience in the performing technical and managerial roles or responsibilities in projects or tasks of similar nature 		

Non – Key personnel

Position Name	Nos	Role	Qualifications	Experience
Technical Support Engineer (TSE)	2	<ul style="list-style-type: none"> Provide 1st and 2nd Line technical support. Dealing mostly service requests and dispatching in-scope hardware related callouts. This function is performed from the service desk and includes 24x7 monitoring of the system infrastructure with senior technical support engineers dispatched onto the floors when there is a need to physically do hands-on work. 	<ul style="list-style-type: none"> At a minimum, Bachelors in Engineering or IT or equivalent ITIL, CCNA certificates 	<ul style="list-style-type: none"> Overall minimum 5years of Experience Must have 1+ years of experience in implementing e-gates systems, including biometrics installation and integration with customs & immigration management information system Preferable to have 2+ years of experience in providing IT technical support in for land

Position Name	Nos	Role	Qualifications	Experience
				border/airports/seaports domains <ul style="list-style-type: none">• Must have 5+ providing IT technical support

D. TECHNOLOGY SPECIFICATIONS – SUPPLY & INSTALL ITEMS

3.0 General Technical Requirements

- Language Support: The ABC platform must provide support for the business language of the end-user i.e. English, French, Spanish.
- Electrical Power: All active (powered) equipment must operate on voltage range of 220v +/- 20v and frequency range of 50Hz +/- 2Hz. All active equipment must include power plugs standard in Purchaser’s Country.
- Environmental: Unless otherwise specified, all equipment must operate in environments of 10-30 degrees centigrade, 20-80 percent relative humidity, and 0-40 grams per cubic meter of dust.
- Safety:
 - Unless otherwise specified, all equipment must operate at noise levels no greater than 55 decibels.
 - All electronic equipment that emits electromagnetic energy must be certified as meeting *US FCC class B or EN55022 and EN50082-1*, or equivalent, emission standards.

3.1 Computing Hardware Specifications

The Supplier shall propose a properly sized computing hardware specifications once the completion of the system study. Accordingly, GoCD will be able to provide hardware and necessary equipment as per the specifications to be finalized by the successful bidders within reasonable timeframe. Till the time development environment is provided by GoCD during the development stage, the Supplier shall make their own arrangements.

The Supplier shall install the ABC system and commission the same as appropriate for each of development/testing/staging/production

3.2 Network and Communications Specifications

GoCD shall provide the necessary network and communication facilities in the DC/DR as well as for users in the project sites.

3.3 Ancillary Hardware Specifications

GoCD shall provide the necessary ancillary hardware facilities for the DC/DR as well as for users in the project sites.

3.4 Standard Software Specifications

GoCD shall provide the necessary standard software and licenses to host and run ABC system for the DC/DR as well as project sites.

3.5 Consumables

It shall be the responsibility of GoCD

3.6 Other Non-IT Goods

It shall be the responsibility of GoCD

3.7 Annexure 1: Indicative list of equipment’s (Per Site)

Component	Description	Specifications	Quantity
Electronic Gate	Automated gate for entry/exit control	Material: Stainless steel Dimensions: 1200mm x 2200mm Access Speed: 15-20 passengers/minute	4 units
Facial Recognition Camera	Capture facial features for identity verification	Resolution: 5 MP Operating Range: 0.5m to 2m Illumination: IR LEDs	4 units
Fingerprint Scanner	Capture fingerprint for biometric verification	Type: Optical or capacitive Scanning Area: 15 x 20 mm Resolution: 500 DPI	4 units
Iris Scanner	Capture iris pattern for biometric verification	Capture Range: 20-30 cm Resolution: 1280 x 960 pixels	4 units
Passport Reader	Read and verify passports	Full-page e-passport reader Capabilities: MRZ, RFID, barcode scanning	4 units
Document Scanner	Scan additional travel documents	Resolution: 600 DPI Type: Flatbed, A4 size	4 units
Workstation	High-performance workstation for control room	Intel i7 or higher, 16 GB RAM, SSD, Multi-monitor support	2 units
Monitor	Display for workstations	27” Full HD monitor	4 units
Printer	Document and receipt printer	Type: Laser, monochrome, 40 ppm	2 units
Switch	Managed network switch	Layer 3, PoE support, 10 Gbps uplink	2 units

Component	Description	Specifications	Quantity
Router	Core router for network management	Dual WAN support, VPN, Firewall, 1 Gbps throughput	2 units
Alarm System	Security alarm for unauthorized access	Integrated with ABC system	1 unit
UPS	Uninterruptible power supply	30-minute backup, 10 kVA	1 unit
NAS (Network Attached Storage)	Data storage system	Capacity: 50 TB, expandable	1 unit
Environmental Sensor	Temperature and humidity monitoring	Range: -10°C to 50°C, 20% to 80% humidity	4 units
Self-service Kiosk	Traveler interaction point	Touchscreen display, multilingual support, printer	4 units
Maintenance Tools	System diagnostics and maintenance	Remote Access: Secure VPN Diagnostic: Real-time monitoring	1 set

E. TESTING AND QUALITY ASSURANCE REQUIREMENTS

4.1 Inspections

GoCD shall perform the inspection of the hardware to ensure it is genuine and in brand-new condition, at project site, for necessary acceptance. Besides this, following test shall be performed.

4.2 Pre-commissioning Tests

The Supplier shall perform following test including but not limited to:

1. Submit for approval, a User Acceptance Testing Plan with test cases to ensure that the assessment can be done to assure users that the system functions as required in the production environment.
2. Administer the test plans and obtain feedback.
3. Make changes to the application based on feedback given.
4. Prepare user manuals and associated training.
5. Provide support as needed to stakeholders as they conduct live simulations.
6. Make changes where necessary to refine the application based on stakeholders’ feedback and with the approval of GoCD

The test cycle should include the following:

1. **Unit Testing:** Carry out the unit testing to make sure each component and module of the system functions as designed.
2. **Integration Testing:** After all modules of the system are developed, integration testing is carried out to make sure that all modules function and perform as expected when working in combination.
3. **Load testing:** Since the application will be used by a large number of users in future, load testing will be performed to see how the system performs under heavy loads. This may require fine tuning the web server, application, application server, and/or the database server or network configuration.
4. **Recovery Testing:** One of the important aspects of an application is how well it can recover in case of a system failure, server shutdown, or service failure. Tests shall be carried out to see how well the system recovers from crashes and Architecture Functional failures.
5. **Security Testing:** Perform detailed security testing of the system. This involves a complete penetration test to make sure the application and the server is not vulnerable to any type of attacks such as SQL injection, XSS attacks, cross-site scripting, command Injection, file path traversal etc. This shall be done by using threat detection and vulnerability scanning software. Security audit needs to be repeated every time there is a code change done.

4.3 Operational Acceptance Tests

The following Operational Acceptance Testing (OAT) approach should be carried out by the Purchaser prior to system acceptance and signoff:

- Pursuant to GCC Clause 27 and related SCC clauses, the Purchaser (with the assistance of the Supplier) will perform the following tests on the System and its Subsystems following Installation to determine whether the System and the Subsystems meet all the requirements mandated for Operational Acceptance.
 - **Usability Testing:** Test the navigation between screens, user-friendliness, and workflows of each of the screens. Against this, if deficiencies are identified the interface may be redesigned during this testing phase based on feedback from the Client.
 - **Functional Testing:** A complete end to end functional testing cycle shall be run. During the functional test, actual processes, and all key functions of the ABC system suites/modules/sub-modules shall be tested against the signed-off minimum business functional requirements, (end to end) to see if the system meets the requirements, stores data and generates reports properly.
 - **OAT Testing:** An end to end functional and quality of service (including security, performance, and robustness) will be completed by the Purchaser prior to any system sign off.
 - **OAT Test Script** – The Supplier shall create the OAT test cases and user scenarios to cover all core functionalities of the ABC system suites/modules/sub-modules. In each test case, the supplier should write the manual test steps to be followed by the Purchaser’s test team. The Purchaser will use this set, in addition to their own developed UAT test cases to complete the tests.

Once the OAT is completed and signed-off by the GoCD, the ABC system should be made available into the production environment and commissioned in the project sites in Dominica

F. SERVICE SPECIFICATIONS – RECURRENT COST ITEMS

5.1 Warranty Defect Repair

After the successful OAT and commissioning of the ABC system, the Supplier shall perform the Operations & Maintenance (O&M) for one (1) year in which the Supplier shall

- Update the ABC system application based on user feedback/anomalies/defects or change requests as per the defined SOP
- Perform OAT and release the version after successful OAT
- Maintain the versions of ABC system application as per version control SOP

5.2 Technical Support

The Supplier MUST provide the following services under the Contract or, as appropriate under separate contracts (as specified in the bidding documents).

- User support by setting up a central helpdesk and support in the project site during the O&M period
- Technical Assistance smooth operations of the ABC system application during the O&M period

5.3 Requirements of the Supplier’s Technical Team

- The Supplier MUST provide a technical team apart from the Team Leader and Change Management & Capacity Building expert to cover the Purchaser’s anticipated O&M Activities Requirements. The Supplier should provide training to Level – 1 Team of Government of Dominica.

Business Analyst cum technical support	2	Should be a Graduate with 5 years’ experience in Business analysis
Helpdesk Operator	2	Should be a Graduate with good communication skills and well versed with computer operations and should be local of Commonwealth of Dominica
Database Administrator	1	Should be a Graduate with 5 years’ experience in Database Administration

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A. IMPLEMENTATION SCHEDULE TABLE

The engagement is envisaged for a total 24 months from the date of signing of contract to the O&M. Following is indicative implementation schedule for the Supplier to perform;

Indicative Activities	Time Period	Liquidated Damage
Contract Signing	T_0	Not Applicable
Mobilization and inception report including project plan, stakeholder management plan, capacity building plan, etc	$T_{01} = T_0 + 15 \text{ days}$	Not Applicable
Study of the departments and submission of the Detailed Analysis, Physical Design and Integrated system documents as defined under section C, Sub Section 2.1: System Analysis, Design and Customization/ Development	$T_1 = T_{01} + 1 \text{ month}$	Not Applicable
Setting up the Data Center with development, testing acceptance and production (DTAP) environment and Disaster Recovery Centre	$T_2 = T_1 + 1 \text{ month}$	Not Applicable
ABC system design, development/customization, testing	$T_3 = T_2 + 3 \text{ months}$	Not Applicable
Data migration including the digitalization (scanning and creating database) of the paper records	$T_4 = T_3 + 3 \text{ months}$	Not Applicable
Capacity Building as per Sub Section 2.4.: Training and Training Materials	$T_5 = T_4 + 1 \text{ month}$	Not Applicable
Pre-commissioning test	$T_6 = T_5 + 15 \text{ days}$	Not Applicable
OAT of the ABC system	$T_7 = T_6 + 1 \text{ month}$	Applicable
Commissioning/installation of the ABC system in the project sites	$T_8 = T_7 + 1 \text{ month}$	Applicable

Indicative Activities	Time Period	Liquidated Damage
Operations and Maintenance Support	T ₉ = T ₈ + 12 months or Till June 2026 whichever is earlier	Not Applicable

B. SITE TABLE(S)

[Specify: the detailed information regarding the site(s) at which the System is to be operated]

Site Code	Site	City / Town / Region	Primary Street Address	Drawing Reference No. (if any)
1	Douglas-Charles Airport	Marigot	GMWX+CJH, Melville Hall Road	
2	Roseau Ferry Terminal	Roseau	Dame Eugenia Charles Boulevard	

C. TABLE OF HOLIDAYS AND OTHER NON-WORKING DAYS

Month	2025	2026	2027
1	New Year’s Day – 01/01/2025	New Year’s Day – 01/01/2026	New Year’s Day – 01/01/2027
2	-	Carnival Monday – 16/02/2026 Carnival Tuesday – 17/02/2026	Carnival Monday – 08/02/2027 Carnival Tuesday – 09/02/2027
3	Carnival Monday – 03/03/2025 Carnival Tuesday – 04/03/2025	-	Good Friday – 26/03/2027 Easter Monday – 29/03/2027
4	Good Friday – 18/04/2025 Easter Monday – 21/04/2025	Good Friday – 03/04/2026 Easter Monday – 06/04/2026	-
5	Labour Day – 05/05/2025	Labour Day – 04/05/2026 Whit Monday – 25/05/2026	Labour Day – 03/05/2027 Whit Monday – 17/05/2027
6	Whit Monday – 09/06/2025	-	-
7	-	-	-
8	Emancipation Day – 04/08/2025	Emancipation Day – 03/08/2026	Emancipation Day – 02/08/2027
9	-	-	-
10	-	-	-
11	Independence Day – 03/11/2025 National Day of Community Service – 04/11/2025	Independence Day – 03/11/2026 National Day of Community Service – 04/11/2026	Independence Day – 03/11/2027 National Day of Community Service – 04/11/2027
12	Christmas Day – 25/12/2025 Boxing Day – 26/12/2025	Christmas Day – 25/12/2026 Boxing Day – 26/12/2026	Christmas Day – 25/12/2027 Boxing Day – 26/12/2027 Boxing Day Observed – 27/12/2027

System Inventory Tables

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SYSTEM INVENTORY TABLE (SUPPLY AND INSTALLATION COST ITEMS) [INSERT: IDENTIFYING NUMBER]

The Supplier should also include any other component, which is not mentioned in the below table and deemed necessary for achieving the objectives. Purchaser will not be responsible if any item which is required for the solution proposed by the bidder is not included in the table below to meet the functionality mentioned in this bid document.

Indicative Activities	Relevant Technical Specifications No.	Additional Site Information (e.g., building, floor, department, etc.)
Study of the departments and submission of the Detailed Analysis, Physical Design and Integrated system documents as defined under section C, Sub Section 2.1: System Analysis, Design and Customization/ Development	Sub Section 2.1 System Analysis, Design and Customization/Development	- -
Setting up the Data Center with development, testing acceptance and production (DTAP) environment and Disaster Recovery Centre	Section D. Technology Specifications – Supply & Install Items	- -
ABC system design, development/customization, testing	Section B. Functional, Architectural and Performance Requirements	- -
Data migration including the digitalization (scanning and creating database) of the paper records	As per approved SoP	- -
Capacity Building as per Sub Section 2.4.: Training and Training Materials	Sub Section 2.4. Training and Training Materials	- -
Pre-commissioning test	Section E. Testing and Quality Assurance Requirements	Additional Site Information (e.g., building, floor, department, etc.)

Indicative Activities	Relevant Technical Specifications No.	Additional Site Information (e.g., building, floor, department, etc.)
OAT of the ABC system	Section E. Testing and Quality Assurance Requirements And as per approved SoP	- -
Commissioning/installation of the ABC system in the project sites	As per approved SoP	- -

Note: - - indicates not applicable. “ indicates repetition of table entry above.

The ABC system MUST be customized to meet the minimum functional requirements specified in the section and as approved by the PIU vide Functional Requirement Specification (FRS) document. The GoCD will shall hold the Intellectual Proprietary Rights (IPR) of the customization and the source code, database, etc. without any licensing obligations.

SYSTEM INVENTORY TABLE (RECURRENT COST ITEMS) [INSERT: IDENTIFYING NUMBER] –

Line item number: [specify: *relevant line item number from the Implementation Schedule (e.g., y.1)*]

Component No.	Component	Relevant Technical Specifications No.	Total Person-days
1	Operations & Maintenance	Sub Section. 2.7 Requirements of the Supplier’s Technical Team	
A	Team Leader & Border Control Specialist		396
B	Senior Technical Support Engineer		396
C	Technical Support Engineer (TSE)		396
D	Passenger Service Support Technician (PSST)		198
E	Change Management & Capacity Building Expert		198

Note: -- indicates not applicable. “ indicates repetition of table entry above.

Background and Informational Materials

1. INTRODUCTION

1.1. Project Background

The background to this assignment provides necessary context and perspective for the bidders to understand the purpose and importance of this assignment for the Government of Commonwealth of Dominica (GoCD). This is described as under.

Sitting halfway along the Eastern Caribbean archipelago, Dominica is located just a few miles from Martinique to the south and Guadeloupe to the north. Stretching 751 km² (290 square miles), Dominica boasts 148 km (91 miles) of coastal line. Dominica’s official name is the ‘Commonwealth of Dominica,’ which is mostly referenced in official communications and to further distinguish the island from the Dominican Republic, its northerly Caribbean sister. Dominica is the most northerly of the Windward Islands grouping.

The Government of the Commonwealth of Dominica (GoCD), after Hurricane Maria in September 2017, has instituted resilience as a central theme to the country’s rebuilding efforts and socioeconomic development plans, with the aim to make Dominica the first climate resilient country in the world. The hurricane resulted in losses and damages of over 200 percent of GDP, with the telecom sector alone suffering over US\$40 million in losses and damages. Furthermore, the GoCD lost data and records and suffered losses beyond its infrastructure, highlighting the need to make the government more resilient so that it can better prepare for and respond to incidents and restore operations and services quickly.

The Government recognizes the role digital technologies and solutions can play in strengthening the island and its inhabitants’ climate resilience, as well as the importance of integration with the global digital economy to expand markets and drive sustainability of businesses, government, and individuals. In view of this it has formulated the digital strategy viz. “Dynamic Dominica” which gives emphasis to establish an integrated, interoperable and resilient digital infrastructure and service delivery platform for the government, citizens and business to deliver various services.

The GoCD’s recovery and resilience building efforts include development of a Government Wide Area Network, and nodal digital infrastructure to lay the foundations for digitization of government. The GoCD has engaged in a partnership with Digicel Dominica Ltd. to connect all government service locations (Government offices, schools, hospitals, and health centers) to high-speed connectivity delivered using fiber optic networks. The network, being developed under the partnership, will provide multiple layers of redundant connectivity—underground and overhead fiber, microwave, and satellite at key locations—in addition to cloud services to host Government data and services. The government connectivity project includes

development of a primary data center to host the government cloud and applications, as well as a secondary location to serve as a backup site.

The improved connectivity can be leveraged to develop digital government services and increase the level of digitization of government operations, which is currently lagging. Currently Dominica lacks the enablers of digital government, including an enterprise architecture, interoperability framework, identification, and authentication, but has a recently built limited government payment portal. Uniquely and securely identifying residents through a digital ID is fundamental to enable access to digital services, both public and private, but the current ID ecosystem in Dominica is fragmented and not interconnected. In order to fully utilize and benefit from investments in cross-cutting enablers and specific digital government services, there is also a need for legal and regulatory reforms across key areas of the digital economy. These enabling environment improvements are a key first step towards removing roadblocks to improved adoption of digital services among individuals and businesses, as well as contributing to the development of digital applications and services by emerging entrepreneurs in Dominica.

The Caribbean Digital Transformation Project (CARDTP or the Project) is funded through the World Bank and aims to enhance the use of technology in the public sector as well as the private sector to conduct business transactions, build a robust and resilient IT infrastructure and to develop modern platforms to facilitate and enhance these business transactions. The development objectives are to contribute to increased access to digital connectivity, digital public services and the creation of technology enabled businesses and jobs in Dominica.

National-level activities are financed from an IDA credit to Dominica in the amount of SDR20,500,000 (equivalent to US\$28.0 million). The CARDTP comprises four components that address key bottlenecks and harness opportunities to develop the Eastern Caribbean Digital Economy as a driver of growth, job creation and improved service delivery.

The Program is also financed through a regional IDA grant and implemented by a regional Project Implementation Unit (RPIU) housed at the Organisation of Eastern Caribbean States (OECS). RPIU will work with other regional institution stakeholders as relevant depending on the technical area being supported. Regionally implemented activities will focus on strengthening the enabling environment to promote investment, competition, and innovation in telecoms and digital financial services, regional cybersecurity collaboration, and a modernized and harmonized data protection and privacy regime across the region. It will also be complemented by a regional level advanced digital skills program open to high potential digital specialists from Dominica.

It aims to ensure that every individual and business in Dominica is empowered with the access to broadband, digital financial services, and skills needed to actively participate in an increasingly digital marketplace and society. It leverages public sector modernization and digitization to improve service delivery and to drive creation of a digital culture across Dominica.

To support the improved management of digital risks, the project shall bolster cybersecurity policy, capacity, and planning tools in the region. It will facilitate technology adoption to improve productivity of flagship industries and create demand for digitally enabled jobs. It aims to foster regional integration and cooperation to capture the economies of scale and scope required to increase impact and value for money of the project interventions and to create a more competitive, seamless regional digital market to attract investment and provide room for growth of digital firms.

1.2. Project Components

A brief description of the project components is as follows:

1.2.1. Component 1: Digital Enabling Environment

This component shall support the development of a positive enabling environment for Dominica’s digital economy that drives competition, investment and innovation while promoting trust and security of online transactions. It will focus on legal, regulatory and institutional reforms consistent with global best practice to support modernization of the telecommunications and digital financial services sectors while mitigating growing risks of a digital economy including cybersecurity and data protection and privacy.

- 1.1 -Telecommunications: Legal and Regulatory Environment, Institutions and Capacity Support
- 1.2 - Digital Financial Services: Legal and Regulatory Environment, Institutions, and Capacity
- 1.3 -Cybersecurity, Data Protection and Privacy: Legal and Regulatory Environment, Institutions and Capacity Support

1.2.2. Component 2: Digital Government Infrastructure, Platforms and Services

This component shall support public sector modernization, resilience and delivery of digital public services to individuals and businesses. It will aim to ensure that Dominica has put in place the core infrastructure, platforms, institutions and human capacity needed to efficiently and effectively manage internal government operations, and to build on these core enablers to make public services widely accessible online from anywhere within the country, region or across the globe. It will also prepare Dominica’s government for deeper interconnectivity and interoperability of data and information systems across borders to smooth administration of regional trade, immigration and other services. Finally, it will aim to ensure continuity of government operations and services, enable real-time data driven decision making and ability to rapidly target and deliver payments and social services to citizens and businesses in the event of natural disasters.

- 2.1 – Development of Cross-Cutting Enablers of Digital Government Operations and Services
- 2.2 - Government Productivity Platforms and Citizen-Centric Digital Services

1.2.3. Component 3: Digital Skills and Technology Adoption

This component aims to better equip individuals and businesses in Dominica for the jobs and economy of the future and to spur innovation and productivity growth. It aims to create a pool of advanced digital talent to better position Dominica to attract investment by digital firms. It takes a comprehensive supply and demand side approach, supporting greater technology adoption and utilization of digitally enabled business models to drive demand for newly skilled employees and well as making connections with global employment opportunities through online working platforms.

3.1 - Workforce-Ready Digital Skills

3.2 - Technology Adoption

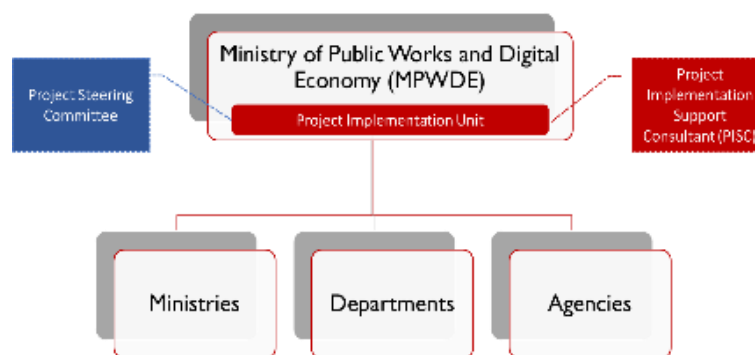
1.2.4. Component 4: Project Implementation Support

This component includes support to the Project Implementation Unit (PIU) for the implementation and management of national level project activities, including for staffing of the PIU, capacity building and training initiatives as well as recruitment of a technical advisory and implementation support firm. Key technical functions to be supported can include but will not be limited to project manager, technical specialists, procurement specialists, financial management specialist, environmental and social safeguards specialists, monitoring and evaluation and communications.

2. INSTITUTIONAL ARRANGEMENTS

The Project is being implemented by the Project Implementation Unit (PIU) that is housed in and falls under the Ministry of Public Works and the Digital Economy (MPWDE or the Client) oversight. The PIU is responsible for the overall implementation of the Project with emphasis on reporting and monitoring and evaluation, financial management, contracts management, safeguards oversight, and procurement.

The PIU reports to the Project Steering Committee (PSC) for the lifetime of the Project. PSC is responsible for coordinating and managing all the technical aspects of the Project, facilitating inter-ministerial coordination, and implementing digital initiatives across the various Ministries, Departments and Agencies (MDAs) of the GoCD. The PIU shall support development of key policies and regulations and inter-agency coordination to enable consensus building together with other key agencies like the ICT Unit and the Digital Transformation Unit of the GoCD. Core technical responsibilities include adoption of digital technologies, effective coordination of departmental information system development and implementation. The PSC will determine if technical committees are required for policy



formulation and convene such committees as necessary (i.e., for Cybersecurity, Data Protection and Privacy, Service Delivery, e-Payments, Digital Identity, Interoperability, etc.).

The PIU is also responsible for promoting change management practices, stakeholder engagement and development and delivery of effective programs for digital Government skills enhancement, knowledge exchange and awareness-raising. For the purpose of better coordination and effective and efficient implementation of all Project activities, focal points at MDAs have been established.

Ad-hoc bid evaluation / selection committees are established in consultation with MoPWDE and MDAs and generally consists of 3-5 qualified members each who are normally the Procurement Officer and experts delegated by respective MDAs depending on the required expertise and the procurement scope and complexity.

Under this project, PIU has engaged an Implementation Support Firm as Project Implementation Support Consultant (PISC) largely to address the Component-4 of the project. The PISC supports the PIU in carrying out on-the-ground and remote day-to-day activities to ensure the Project Objectives are achieved in the most efficient, cost-effective and well-coordinated manner. The institutional arrangement is illustrated as above;

Taking cognizance to the above background and towards its spree of Digital Dominica, the GoCD has a mandate to facilitate the travel ecosystem in Dominica by improving every aspect of the delivery of travel services in Douglas-Charles airport and Roseau Ferry Terminal. In view of this, GoCD has decided to implement an integrated, interoperable and resilient ABC system in Dominica. The MPWDE through its PIU has taken up the responsibility of implementing the same, under Caribbean Digital Transformation Project, by engaging a competent and competitive Supplier for ABC system. The scope for the Supplier is described in the sections above.

PART 3 – CONDITIONS OF CONTRACT AND CONTRACT FORMS

SECTION VIII - GENERAL CONDITIONS OF CONTRACT

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General Conditions of Contract

A. CONTRACT AND INTERPRETATION

1. Definitions

1.1 In this Contract, the following terms shall be interpreted as indicated below.

(a) contract elements

(i) **“Contract”** means the Contract Agreement entered into between the Purchaser and the Supplier, together with the Contract Documents referred to therein. The Contract Agreement and the Contract Documents shall constitute the Contract, and the term “the Contract” shall in all such documents be construed accordingly.

(ii) **“Contract Documents”** means the documents specified in Article 1.1 (Contract Documents) of the Contract Agreement (including any amendments to these Documents).

(iii) **“Contract Agreement”** means the agreement entered into between the Purchaser and the Supplier using the form of Contract Agreement contained in the Sample Contractual Forms Section of the request for proposals documents and any modifications to this form agreed to by the Purchaser and the Supplier. The date of the Contract Agreement shall be recorded in the signed form.

(iv) **“GCC”** means the General Conditions of Contract.

(v) **“SCC”** means the Special Conditions of Contract.

(vi) **“Technical Requirements”** means the Technical Requirements in Section VII of the request for proposals documents.

(vii) **“Implementation Schedule”** means the Implementation Schedule in Section VII of the request for proposals documents.

(viii) **“Contract Price”** means the price or prices defined in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement.

- (ix) **“Procurement Regulations”** refers to the edition **specified in the SCC** of the World Bank **“Procurement_Regulations for IPF Borrowers”**.
 - (x) **“request for proposals document”** refers to the document issued by the Purchaser on the subject procurement process.
 - (xi) **“Sexual Exploitation and Abuse” “(SEA)”** means the following:

Sexual Exploitation is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another.

Sexual Abuse is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.
 - (xii) **“Sexual Harassment” “(SH)”** is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Supplier’s Personnel with other Supplier’s or Purchaser’s personnel.
- (b) entities
- (i) **“Purchaser”** means the entity purchasing the Information System, as **specified in the SCC**.
 - (ii) **“Purchaser’s Personnel”** means all staff, labor and other employees of the Project Manager and of the Purchaser engaged in fulfilling the Purchaser’s obligations under the Contract; and any other personnel identified as Purchaser’s Personnel, by a notice from the Purchaser to the Supplier;
 - (iii) **“Project Manager”** means the person **named as such in the SCC** or otherwise appointed by the Purchaser in the manner provided in GCC Clause 18.1 (Project Manager) to perform the duties delegated by the Purchaser.
 - (iv) **“Supplier”** means the firm or Joint Venture whose proposal to **perform the Contract** has

been accepted by the Purchaser and is named as such in the Contract Agreement.

- (v) **“Supplier’s Representative”** means any person **nominated** by the Supplier and named as such in the Contract Agreement or otherwise approved by the Purchaser in the manner provided in GCC Clause 18.2 (Supplier’s Representative) to perform the duties delegated by the Supplier.
 - (vi) **“Supplier’s Personnel”** means all personnel whom the Supplier utilizes in the execution of the Contract, including the staff, labor and other employees of the Supplier and each Subcontractor; and any other personnel assisting the Supplier in the execution of the Contract;
 - (vii) **“Subcontractor”** means any firm to whom any of the obligations of the Supplier, including preparation of any design or supply of any Information Technologies or other Goods or Services, is subcontracted directly or indirectly by the Supplier.
 - (viii) **“Adjudicator”** means the person named in Appendix 2 of the Contract Agreement, **appointed** by agreement between the Purchaser and the Supplier to make a decision on or to settle any dispute between the Purchaser and the Supplier referred to him or her by the parties, pursuant to GCC Clause 43.1 (Adjudication).
 - (ix) **“The World Bank”** (also called “The Bank”) means the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA).
- (c) scope
- (i) **“Information System,”** also called “the System,” means all the Information Technologies, Materials, and other Goods to be supplied, installed, integrated, and made operational (exclusive of the Supplier’s Equipment), together with the Services to be carried out by the Supplier under the Contract.
 - (ii) **“Subsystem”** means any subset of the System identified as such in the Contract that may be supplied, installed, tested, and commissioned

individually before Commissioning of the entire System.

- (iii) “Information Technologies” means all information processing and communications-related hardware, Software, supplies, and consumable items that the Supplier is required to supply and install under the Contract.
- (iv) “Goods” means all equipment, machinery, furnishings, Materials, and other tangible items that the Supplier is required to supply or supply and install under the Contract, including, without limitation, the Information Technologies and Materials, but excluding the Supplier’s Equipment.
- (v) “Services” means all technical, logistical, management, and any other Services to be provided by the Supplier under the Contract to supply, install, customize, integrate, and make operational the System. Such Services may include, but are not restricted to, activity management and quality assurance, design, development, customization, documentation, transportation, insurance, inspection, expediting, site preparation, installation, integration, training, data migration, Pre-commissioning, Commissioning, maintenance, and technical support.
- (vi) “The Project Plan” means the document to be developed by the Supplier and approved by the Purchaser, pursuant to GCC Clause 19, based on the requirements of the Contract and the Preliminary Project Plan included in the Supplier’s proposal. The “Agreed Project Plan” is the version of the Project Plan approved by the Purchaser, in accordance with GCC Clause 19.2. Should the Project Plan conflict with the Contract in any way, the relevant provisions of the Contract, including any amendments, shall prevail.
- (vii) “Software” means that part of the System which are instructions that cause information processing Subsystems to perform in a specific manner or execute specific operations.

- (viii) “System Software” means Software that provides the operating and management instructions for the underlying hardware and other components, and is identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Systems Software. Such System Software includes, but is not restricted to, micro-code embedded in hardware (i.e., “firmware”), operating systems, communications, system and network management, and utility software.
- (ix) “General-Purpose Software” means Software that supports general-purpose office and software development activities and is identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be General-Purpose Software. Such General-Purpose Software may include, but is not restricted to, word processing, spreadsheet, generic database management, and application development software.
- (x) “Application Software” means Software formulated to perform specific business or technical functions and interface with the business or technical users of the System and is identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Application Software.
- (xi) “Standard Software” means Software identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Standard Software.
- (xii) “Custom Software” means Software identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Custom Software.
- (xiii) “Source Code” means the database structures, dictionaries, definitions, program source files, and any other symbolic representations necessary for the compilation, execution, and subsequent maintenance of the Software

(typically, but not exclusively, required for Custom Software).

- (xiv) “Materials” means all documentation in printed or printable form and all instructional and informational aides in any form (including audio, video, and text) and on any medium, provided to the Purchaser under the Contract.
 - (xv) “Standard Materials” means all Materials not specified as Custom Materials.
 - (xvi) “Custom Materials” means Materials developed by the Supplier at the Purchaser’s expense under the Contract and identified as such in Appendix 5 of the Contract Agreement and such other Materials as the parties may agree in writing to be Custom Materials. Custom Materials includes Materials created from Standard Materials.
 - (xvii) “Intellectual Property Rights” means any and all copyright, moral rights, trademark, patent, and other intellectual and proprietary rights, title and interests worldwide, whether vested, contingent, or future, including without limitation all economic rights and all exclusive rights to reproduce, fix, adapt, modify, translate, create derivative works from, extract or re-utilize data from, manufacture, introduce into circulation, publish, distribute, sell, license, sublicense, transfer, rent, lease, transmit or provide access electronically, broadcast, display, enter into computer memory, or otherwise use any portion or copy, in whole or in part, in any form, directly or indirectly, or to authorize or assign others to do so.
 - (xviii) “Supplier’s Equipment” means all equipment, tools, apparatus, or things of every kind required in or for installation, completion and maintenance of the System that are to be provided by the Supplier, but excluding the Information Technologies, or other items forming part of the System.
- (d) activities
- (i) “Delivery” means the transfer of the Goods from the Supplier to the Purchaser in accordance with

- the current edition Incoterms specified in the Contract.
- (ii) “Installation” means that the System or a Subsystem as specified in the Contract is ready for Commissioning as provided in GCC Clause 26 (Installation).
 - (iii) “Pre-commissioning” means the testing, checking, and any other required activity that may be specified in the Technical Requirements that are to be carried out by the Supplier in preparation for Commissioning of the System as provided in GCC Clause 26 (Installation).
 - (iv) “Commissioning” means operation of the System or any Subsystem by the Supplier following Installation, which operation is to be carried out by the Supplier as provided in GCC Clause 27.1 (Commissioning), for the purpose of carrying out Operational Acceptance Test(s).
 - (v) “Operational Acceptance Tests” means the tests specified in the Technical Requirements and Agreed Project Plan to be carried out to ascertain whether the System, or a specified Subsystem, is able to attain the functional and performance requirements specified in the Technical Requirements and Agreed Project Plan, in accordance with the provisions of GCC Clause 27.2 (Operational Acceptance Test).
 - (vi) “Operational Acceptance” means the acceptance by the Purchaser of the System (or any Subsystem(s) where the Contract provides for acceptance of the System in parts), in accordance with GCC Clause 27.3 (Operational Acceptance).
- (e) place and time
- (i) “Purchaser’s Country” is the **country named in the SCC**.
 - (ii) “Supplier’s Country” is the country in which the Supplier is legally organized, as named in the Contract Agreement.
 - (iii) **Unless otherwise specified in the SCC** “Project Site(s)” means the place(s) in the Site Table in the

Technical Requirements Section for the supply and installation of the System.

- (iv) “Eligible Country” means the countries and territories eligible for participation in procurements financed by the World Bank as defined in the Procurement Regulations.
- (v) “Day” means calendar day of the Gregorian Calendar.
- (vi) “Week” means seven (7) consecutive Days, beginning the day of the week as is customary in the Purchaser’s Country.
- (vii) “Month” means calendar month of the Gregorian Calendar.
- (viii) “Year” means twelve (12) consecutive Months.
- (ix) “Effective Date” means the date of fulfillment of all conditions specified in Article 3 (Effective Date for Determining Time for Achieving Operational Acceptance) of the Contract Agreement, for the purpose of determining the Delivery, Installation, and Operational Acceptance dates for the System or Subsystem(s).
- (x) “Contract Period” is the time period during which this Contract governs the relations and obligations of the Purchaser and Supplier in relation to the System, as **unless otherwise specified in the SCC**, the Contract shall continue in force until the Information System and all the Services have been provided, unless the Contract is terminated earlier in accordance with the terms set out in the Contract.
- (xi) “Defect Liability Period” (also referred to as the “Warranty Period”) means the period of validity of the warranties given by the Supplier commencing at date of the Operational Acceptance Certificate of the System or Subsystem(s), during which the Supplier is responsible for defects with respect to the System (or the relevant Subsystem[s]) as provided in GCC Clause 29 (Defect Liability).
- (xii) “The Coverage Period” means the Days of the Week and the hours of those Days during which

maintenance, operational, and/or technical support services (if any) must be available.

(xiii) The Post-Warranty Services Period” means the number of years **defined in the SCC** (if any), following the expiration of the Warranty Period during which the Supplier may be obligated to provide Software licenses, maintenance, and/or technical support services for the System, either under this Contract or under separate contract(s).

2. Contract Documents

2.1 Subject to Article 1.2 (Order of Precedence) of the Contract Agreement, all documents forming part of the Contract (and all parts of these documents) are intended to be correlative, complementary, and mutually explanatory. The Contract shall be read as a whole.

3. Interpretation

3.1 Governing Language

3.1.1 **Unless otherwise specified in the SCC**, all Contract Documents and related correspondence exchanged between Purchaser and Supplier shall be written in the language of the request for proposals document (English), and the Contract shall be construed and interpreted in accordance with that language.

3.1.2 If any of the Contract Documents or related correspondence are prepared in a language other than the governing language under GCC Clause 3.1.1 above, the translation of such documents into the governing language shall prevail in matters of interpretation. The originating party, with respect to such documents shall bear the costs and risks of such translation.

3.2 Singular and Plural

The singular shall include the plural and the plural the singular, except where the context otherwise requires.

3.3 Headings

The headings and marginal notes in the GCC are included for ease of reference and shall neither constitute a part of the Contract nor affect its interpretation.

3.4 Persons

Words importing persons or parties shall include firms, corporations, and government entities.

3.5 Incoterms

Unless inconsistent with any provision of the Contract, the meaning of any trade term and the rights and obligations of parties thereunder shall be as prescribed by the Incoterms

Incoterms means international rules for interpreting trade terms published by the International Chamber of Commerce (latest edition), 38 Cours Albert 1^{er}, 75008 Paris, France.

3.6 Entire Agreement

The Contract constitutes the entire agreement between the Purchaser and Supplier with respect to the subject matter of Contract and supersedes all communications, negotiations, and agreements (whether written or oral) of parties with respect to the subject matter of the Contract made prior to the date of Contract.

3.7 Amendment

No amendment or other variation of the Contract shall be effective unless it is in writing, is dated, expressly refers to the Contract, and is signed by a duly authorized representative of each party to the Contract.

3.8 Independent Supplier

The Supplier shall be an independent contractor performing the Contract. The Contract does not create any agency, partnership, joint venture, or other joint relationship between the parties to the Contract.

Subject to the provisions of the Contract, the Supplier shall be solely responsible for the manner in which the Contract is performed. All employees, representatives, or Subcontractors engaged by the Supplier in connection with the performance of the Contract shall be under the complete control of the Supplier and shall not be deemed to be employees of the Purchaser, and nothing contained in the Contract or in any subcontract awarded by the Supplier shall be construed to create any contractual relationship between any such employees, representatives, or Subcontractors and the Purchaser.

3.9 Joint Venture

If the Supplier is a Joint Venture of two or more firms, all such firms shall be jointly and severally bound to the Purchaser for the fulfillment of the provisions of the Contract and shall designate one of such firms to act as a leader with authority to bind the Joint Venture. The composition or

constitution of the Joint Venture shall not be altered without the prior consent of the Purchaser.

3.10 Nonwaiver

3.10.1 Subject to GCC Clause 3.10.2 below, no relaxation, forbearance, delay, or indulgence by either party in enforcing any of the terms and conditions of the Contract or the granting of time by either party to the other shall prejudice, affect, or restrict the rights of that party under the Contract, nor shall any waiver by either party of any breach of Contract operate as waiver of any subsequent or continuing breach of Contract.

3.10.2 Any waiver of a party's rights, powers, or remedies under the Contract must be in writing, must be dated and signed by an authorized representative of the party granting such waiver, and must specify the right and the extent to which it is being waived.

3.11 Severability

If any provision or condition of the Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity, or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of the Contract.

3.12 Country of Origin

“Origin” means the place where the Information Technologies, Materials, and other Goods for the System were produced or from which the Services are supplied. Goods are produced when, through manufacturing, processing, Software development, or substantial and major assembly or integration of components, a commercially recognized product results that is substantially different in basic characteristics or in purpose or utility from its components. The Origin of Goods and Services is distinct from the nationality of the Supplier and may be different.

4. Notices

4.1 Unless otherwise stated in the Contract, all notices to be given under the Contract shall be in writing and shall be sent, pursuant to GCC Clause 4.3 below, by personal delivery, airmail post, special courier, facsimile, electronic mail, or other electronic means, with the following provisions.

4.1.1 Any notice sent by facsimile, electronic mail, or EDI shall be confirmed within two (2) days after dispatch

by notice sent by airmail post or special courier, except as otherwise specified in the Contract.

- 4.1.2 Any notice sent by airmail post or special courier shall be deemed (in the absence of evidence of earlier receipt) to have been delivered ten (10) days after dispatch. In proving the fact of dispatch, it shall be sufficient to show that the envelope containing such notice was properly addressed, stamped, and conveyed to the postal authorities or courier service for transmission by airmail or special courier.
- 4.1.3 Any notice delivered personally or sent by facsimile, electronic mail, or EDI shall be deemed to have been delivered on the date of its dispatch.
- 4.1.4 Either party may change its postal, facsimile, electronic mail, or EDI addresses for receipt of such notices by ten (10) days' notice to the other party in writing.
- 4.2 Notices shall be deemed to include any approvals, consents, instructions, orders, certificates, information and other communication to be given under the Contract.
- 4.3 Pursuant to GCC Clause 18, notices from/to the Purchaser are normally given by, or addressed to, the Project Manager, while notices from/to the Supplier are normally given by, or addressed to, the Supplier's Representative, or in its absence its deputy if any. If there is no appointed Project Manager or Supplier's Representative (or deputy), or if their related authority is limited by the SCC for GCC Clauses 18.1 or 18.2.2, or for any other reason, the Purchaser or Supplier may give and receive notices at their fallback addresses. The address of the Project Manager and the fallback address of the Purchaser are as **specified in the SCC** or as subsequently established/amended. The address of the Supplier's Representative and the fallback address of the Supplier are as specified in Appendix 1 of the Contract Agreement or as subsequently established/amended.

- 5. Governing Law**
- 5.1 The Contract shall be governed by and interpreted in accordance with the laws of the country **specified in the SCC**.
- 5.2 Throughout the execution of the Contract, the Supplier shall comply with the import of goods and services prohibitions in the Purchaser's Country when
- (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country; or
 - (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
- 6. Fraud and Corruption**
- 6.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in the Appendix 1 to the GCC.
- 6.2 The Purchaser requires the Suppliers to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the procurement process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.

B. SUBJECT MATTER OF CONTRACT

- 7. Scope of the System**
- 7.1 Unless otherwise expressly **limited in the SCC** or Technical Requirements, the Supplier's obligations cover the provision of all Information Technologies, Materials and other Goods as well as the performance of all Services required for the design, development, and implementation (including procurement, quality assurance, assembly, associated site preparation, Delivery, Pre-commissioning, Installation, Testing, and Commissioning) of the System, in accordance with the plans, procedures, specifications, drawings, codes, and any other documents specified in the Contract and the Agreed Project Plan.
- 7.2 The Supplier shall, unless specifically excluded in the Contract, perform all such work and / or supply all such items

and Materials not specifically mentioned in the Contract but that can be reasonably inferred from the Contract as being required for attaining Operational Acceptance of the System as if such work and / or items and Materials were expressly mentioned in the Contract.

7.3 The Supplier's obligations (if any) to provide Goods and Services as implied by the Recurrent Cost tables of the Supplier's proposal, such as consumables, spare parts, and technical services (e.g., maintenance, technical assistance, and operational support), are as **specified in the SCC**, including the relevant terms, characteristics, and timings.

**8. Time for
Commencement
and Operational
Acceptance**

8.1 The Supplier shall commence work on the System within the period **specified in the SCC**, and without prejudice to GCC Clause 28.2, the Supplier shall thereafter proceed with the System in accordance with the time schedule specified in the Implementation Schedule and any refinements made in the Agreed Project Plan.

8.2 The Supplier shall achieve Operational Acceptance of the System (or Subsystem(s) where a separate time for Operational Acceptance of such Subsystem(s) is specified in the Contract) in accordance with the time schedule specified in the Implementation Schedule and any refinements made in the Agreed Project Plan, or within such extended time to which the Supplier shall be entitled under GCC Clause 40 (Extension of Time for Achieving Operational Acceptance).

9. Supplier's Responsibilities

9.1 The Supplier shall conduct all activities with due care and diligence, in accordance with the Contract and with the skill and care expected of a competent provider of information technologies, information systems, support, maintenance, training, and other related services, or in accordance with best industry practices. In particular, the Supplier shall provide and employ only technical personnel who are skilled and experienced in their respective callings and supervisory staff who are competent to adequately supervise the work at hand. The Supplier shall ensure that its Subcontractors carry out the work on the Information System in accordance with the Contract, including complying with relevant environmental and social requirements and the obligations set out in GCC Clause 9.9.

The Supplier shall at all times take all reasonable precautions to maintain the health and safety of the Supplier's Personnel employed for the execution of the Contract at the Project Site/s in the Purchaser's country where the Contract is executed.

If **required in the SCC**, the Supplier shall submit to the Purchaser for its approval a health and safety manual which has been specifically prepared for the Contract.

The health and safety manual shall be in addition to any other similar document required under applicable health and safety regulations and laws.

The health and safety manual shall set out any applicable health and safety requirement under the Contract,

(a) which may include:

- (i) the procedures to establish and maintain a safe working environment;
- (ii) the procedures for prevention, preparedness and response activities to be implemented in the case of an emergency event (i.e. an unanticipated incident, arising from natural or man-made hazards);
- (iii) the measures to be taken to avoid or minimize the potential for community exposure to water-borne, water-based, water-related, and vector-borne diseases,
- (iv) the measures to be implemented to avoid or minimize the spread of communicable diseases; and

- (b) any other requirements stated in the Purchaser's Requirements.
- 9.2 The Supplier confirms that it has entered into this Contract on the basis of a proper examination of the data relating to the System provided by the Purchaser and on the basis of information that the Supplier could have obtained from a visual inspection of the site (if access to the site was available) and of other data readily available to the Supplier relating to the System as at the date twenty-eight (28) days prior to proposal submission. The Supplier acknowledges that any failure to acquaint itself with all such data and information shall not relieve its responsibility for properly estimating the difficulty or cost of successfully performing the Contract.
- 9.3 The Supplier shall be responsible for timely provision of all resources, information, and decision making under its control that are necessary to reach a mutually Agreed Project Plan (pursuant to GCC Clause 19.2) within the time schedule specified in the Implementation Schedule. Failure to provide such resources, information, and decision-making may constitute grounds for termination pursuant to GCC Clause 41.2.
- 9.4 The Supplier shall acquire in its name all permits, approvals, and/or licenses from all local, state, or national government authorities or public service undertakings in the Purchaser's Country that are necessary for the performance of the Contract, including, without limitation, visas for the Supplier's Personnel and entry permits for all imported Supplier's Equipment. The Supplier shall acquire all other permits, approvals, and/or licenses that are not the responsibility of the Purchaser under GCC Clause 10.4 and that are necessary for the performance of the Contract.
- 9.5 The Supplier shall comply with all laws in force in the Purchaser's Country. The laws will include all national, provincial, municipal, or other laws that affect the performance of the Contract and are binding upon the Supplier. The Supplier shall indemnify and hold harmless the Purchaser from and against any and all liabilities, damages, claims, fines, penalties, and expenses of whatever nature arising or resulting from the violation of such laws by the Supplier or its personnel, including the Subcontractors and their personnel, but without prejudice to GCC Clause 10.1. The Supplier shall not indemnify the Purchaser to the extent that such liability, damage, claims, fines, penalties, and

expenses were caused or contributed to by a fault of the Purchaser.

- 9.6 Any Information Technologies or other Goods and Services that will be incorporated in or be required for the System and other supplies shall have their Origin, as defined in GCC Clause 3.12, in a country that shall be an Eligible Country, as defined in GCC Clause 1.1 (e) (iv).
- 9.7 Pursuant to paragraph 2.2 e. of the Appendix 1 to the General Conditions of Contract, the Supplier shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Supplier's and its Subcontractors' and subconsultants' attention is drawn to GCC Clause 6.1 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).
- 9.8 The Supplier shall conform to the sustainable procurement contractual provisions, if and as **specified in the SCC**.
- 9.9 **Code of Conduct**

The Supplier shall have a Code of Conduct for the Supplier's Personnel employed for the execution of the Contract at the Project Site/s.

The Supplier shall take all necessary measures to ensure that each such personnel is made aware of the Code of Conduct including specific behaviors that are prohibited, and understands the consequences of engaging in such prohibited behaviors.

These measures include providing instructions and documentation that can be understood by such personnel, and seeking to obtain that person's signature acknowledging receipt of such instructions and/or documentation, as appropriate.

The Supplier shall also ensure that the Code of Conduct is visibly displayed in the Project Site/s as well as, as applicable, in areas outside the Project Site/s accessible to the local

community and any project affected people. The posted Code of Conduct shall be provided in languages comprehensible to the Supplier's Personnel, Purchaser's Personnel and the local community.

The Supplier's Management Strategy and Implementation Plans, if applicable, shall include appropriate processes for the Supplier to verify compliance with these obligations.

9.10 The Supplier shall, in all dealings with its labor and the labor of its Subcontractors currently employed on or connected with the Contract, pay due regard to all recognized festivals, official holidays, religious or other customs, and all local laws and regulations pertaining to the employment of labor.

9.11 The Supplier, including its Subcontractors, shall comply with all applicable safety obligations. The Supplier shall at all times take all reasonable precautions to maintain the health and safety of the Supplier's Personnel employed for the execution of Contract at the Project Site/s.

9.12 Training of Supplier's Personnel

The Supplier shall provide appropriate training to relevant Supplier's Personnel on any applicable environmental and social aspect of the Contract, including appropriate sensitization on prohibition of SEA, health and safety.

As stated in the Purchaser's Requirements or as instructed by the Project Manager, the Supplier shall also allow appropriate opportunities for the relevant personnel to be trained on any applicable environmental and social aspects of the Contract by the Purchaser's Personnel and/or other personnel assigned by the Purchaser.

The Supplier shall provide training on SEA and SH, including its prevention, to any of its personnel who has a role to supervise other Supplier's Personnel.

9.13 Stakeholder engagements

The Supplier shall provide relevant contract-related information, as the Purchaser and/or Project Manager may reasonably request to conduct contract stakeholder engagement. "Stakeholder" refers to individuals or groups who:

- (a) are affected or likely to be affected by the Contract;
and
- (b) may have an interest in the Contract.

The Supplier may also directly participate in contract stakeholder engagements, as the Purchaser and/or Project Manager may reasonably request.

9.14 Forced Labor

The Supplier, including its Subcontractors, shall not employ or engage forced labour. Forced labour consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labour, such as indentured labour, bonded labour or similar labour-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harbouring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

9.15 Child Labor

The Supplier, including its Subcontractors, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Supplier, including its Subcontractors, shall not employ or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Supplier, including its Subcontractors, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Supplier with the Project Manager's consent. The Supplier shall be subject to regular monitoring by the Project Manager that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

- (a) with exposure to physical, psychological or sexual abuse;
- (b) underground, underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- (d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

9.16 Non-Discrimination and Equal Opportunity

The Supplier shall not make decisions relating to the employment or treatment of personnel for the execution of the Contract on the basis of personal characteristics unrelated to inherent job requirements. The Supplier shall base the employment of personnel for the execution of the Contract on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Supplier shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with GCC Clause 9.15).

9.17 Personnel Grievance Mechanism

The Supplier shall have a grievance mechanism for personnel employed in the execution of the Contract to raise workplace concerns. The grievance mechanism shall be proportionate to the nature, scale, risks and impacts of the Contract. The grievance mechanism may utilize existing grievance mechanisms, provided that they are properly

designed and implemented, address concerns promptly, and are readily accessible to such personnel.

9.18 Security of the Project Site

If stated in the SCC, the Supplier shall be responsible for the security at the Project Site/s including providing and maintaining at its own expense all lighting, fencing, and watching when and where necessary for the proper execution and the protection of the locations, or for the safety of the owners and occupiers of adjacent property and for the safety of the public.

In making security arrangements, the Supplier shall be guided by applicable laws and any other requirements that may be stated in the Purchaser's Requirements.

The Supplier shall (i) conduct appropriate background checks on any personnel retained to provide security; (ii) train the security personnel adequately (or determine that they are properly trained) in the use of force (and where applicable, firearms), and appropriate conduct towards the Supplier's Personnel, Purchaser's Personnel and affected communities; and (iii) require the security personnel to act within the applicable Laws and any requirements set out in the Purchaser's Requirements.

The Supplier shall not permit any use of force by security personnel in providing security except when used for preventive and defensive purposes in proportion to the nature and extent of the threat.

9.19 Recruitment of Persons

The Supplier shall not recruit, or attempt to recruit, either on limited time or permanent basis or through any other contractual agreement, staff and labor from amongst the Purchaser's Personnel.

9.20 **Unless otherwise specified in the SCC** the Supplier shall have no other Supplier responsibilities.

10. Purchaser's Responsibilities

10.1 The Purchaser shall ensure the accuracy of all information and/or data to be supplied by the Purchaser to the Supplier, except when otherwise expressly stated in the Contract.

10.2 The Purchaser shall be responsible for timely provision of all resources, information, and decision making under its control that are necessary to reach an Agreed Project Plan (pursuant to GCC Clause 19.2) within the time schedule specified in the Implementation Schedule. Failure to

- provide such resources, information, and decision making may constitute grounds for Termination pursuant to GCC Clause 41.3.1 (b).
- 10.3 The Purchaser shall be responsible for acquiring and providing legal and physical possession of the site and access to it, and for providing possession of and access to all other areas reasonably required for the proper execution of the Contract.
- 10.4 If requested by the Supplier, the Purchaser shall use its best endeavors to assist the Supplier in obtaining in a timely and expeditious manner all permits, approvals, and/or licenses necessary for the execution of the Contract from all local, state, or national government authorities or public service undertakings that such authorities or undertakings require the Supplier or Subcontractors or the Supplier's Personnel, as the case may be, to obtain.
- 10.5 In such cases where the responsibilities of specifying and acquiring or upgrading telecommunications and/or electric power services falls to the Supplier, as specified in the Technical Requirements, SCC, Agreed Project Plan, or other parts of the Contract, the Purchaser shall use its best endeavors to assist the Supplier in obtaining such services in a timely and expeditious manner.
- 10.6 The Purchaser shall be responsible for timely provision of all resources, access, and information necessary for the Installation and Operational Acceptance of the System (including, but not limited to, any required telecommunications or electric power services), as identified in the Agreed Project Plan, except where provision of such items is explicitly identified in the Contract as being the responsibility of the Supplier. Delay by the Purchaser may result in an appropriate extension of the Time for Operational Acceptance, at the Supplier's discretion.
- 10.7 Unless otherwise specified in the Contract or agreed upon by the Purchaser and the Supplier, the Purchaser shall provide sufficient, properly qualified operating and technical personnel, as required by the Supplier to properly carry out Delivery, Pre-commissioning, Installation, Commissioning, and Operational Acceptance, at or before the time specified in the Implementation Schedule and the Agreed Project Plan.
- 10.8 The Purchaser will designate appropriate staff for the training courses to be given by the Supplier and shall make

- all appropriate logistical arrangements for such training as specified in the Technical Requirements, SCC, the Agreed Project Plan, or other parts of the Contract.
- 10.9 The Purchaser assumes primary responsibility for the Operational Acceptance Test(s) for the System, in accordance with GCC Clause 27.2, and shall be responsible for the continued operation of the System after Operational Acceptance. However, this shall not limit in any way the Supplier's responsibilities after the date of Operational Acceptance otherwise specified in the Contract.
- 10.10 The Purchaser is responsible for performing and safely storing timely and regular backups of its data and Software in accordance with accepted data management principles, except where such responsibility is clearly assigned to the Supplier elsewhere in the Contract.
- 10.11 All costs and expenses involved in the performance of the obligations under this GCC Clause 10 shall be the responsibility of the Purchaser, save those to be incurred by the Supplier with respect to the performance of the Operational Acceptance Test(s), in accordance with GCC Clause 27.2.
- 10.12 **Unless otherwise specified in the SCC** the Purchaser shall have no other Purchaser responsibilities.

C. PAYMENT

- 11. Contract Price**
- 11.1 The Contract Price shall be as specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement.
- 11.2 Unless an adjustment clause is **provided for in the SCC**, the Contract Price shall be a firm lump sum not subject to any alteration, except in the event of a Change in the System pursuant to GCC Clause 39 or to other clauses in the Contract;
- 11.3 The Supplier shall be deemed to have satisfied itself as to the correctness and sufficiency of the Contract Price, which shall, except as otherwise provided for in the Contract, cover all its obligations under the Contract.

12. Terms of Payment

- 12.1 The Supplier's request for payment shall be made to the Purchaser in writing, accompanied by an invoice describing, as appropriate, the System or Subsystem(s), Delivered, Pre-commissioned, Installed, and Operationally Accepted, and by documents submitted pursuant to GCC Clause 22.5 and upon fulfillment of other obligations stipulated in the Contract.

The Contract Price shall be paid as **specified in the SCC**.

- 12.2 No payment made by the Purchaser herein shall be deemed to constitute acceptance by the Purchaser of the System or any Subsystem(s).
- 12.3 Payments shall be made promptly by the Purchaser, but in no case later than forty five (45) days after submission of a valid invoice by the Supplier. In the event that the Purchaser fails to make any payment by its respective due date or within the period set forth in the Contract, the Purchaser shall pay to the Supplier interest on the amount of such delayed payment at the rate(s) **specified in the SCC** for the period of delay until payment has been made in full, whether before or after judgment or arbitration award.
- 12.4 Payments shall be made in the currency(ies) specified in the Contract Agreement, pursuant to GCC Clause 11. For Goods and Services supplied locally, payments shall be made **as specified in the SCC**.
- 12.5 **Unless otherwise specified in the SCC**, payment of the foreign currency portion of the Contract Price for Goods supplied from outside the Purchaser's Country shall be made to the Supplier through an irrevocable letter of credit opened by an authorized bank in the Supplier's Country and will be payable on presentation of the appropriate documents. It is agreed that the letter of credit will be subject to Article 10 of the latest revision of *Uniform Customs and Practice for Documentary Credits*, published by the International Chamber of Commerce, Paris.
- 12.6 **As specified in the SCC**, if the Supplier fails to perform its cyber security obligations under the Contract, an assessed amount, as determined by the Project Manager, may be withheld until the obligation has been performed.

13. Securities

13.1 Issuance of Securities

The Supplier shall provide the securities specified below in favor of the Purchaser at the times and in the amount, manner, and form specified below.

13.2 Advance Payment Security

13.2.1 The Supplier shall provide within twenty-eight (28) days of the notification of Contract award an Advance Payment Security in the amount and currency of the Advance Payment specified in SCC for GCC Clause 12.1 above and valid until the System is Operationally Accepted.

13.2.2 The security shall be in the form provided in the request for proposal document or in another form acceptable to the Purchaser. The amount of the security shall be reduced in proportion to the value of the System executed by and paid to the Supplier from time to time and shall automatically become null and void when the full amount of the advance payment has been recovered by the Purchaser. **Unless otherwise specified in the SCC**, the reduction in value and expiration of the Advance Payment Security are calculated as follows:

$P*a/(100-a)$, where “P” is the sum of all payments effected so far to the Supplier (excluding the Advance Payment), and “a” is the Advance Payment expressed as a percentage of the Contract Price pursuant to the SCC for GCC Clause 12.1.

The security shall be returned to the Supplier immediately after its expiration.

13.3 Performance Security

13.3.1 The Supplier shall, within twenty-eight (28) days of the notification of Contract award, provide a security for the due performance of the Contract in the amount and currency **specified in the SCC**.

13.3.2 The security shall be a bank guarantee in the form provided in the Sample Contractual Forms Section of the request for proposal document, or it shall be in another form acceptable to the Purchaser.

13.3.3 The security shall automatically become null and void once all the obligations of the Supplier under the Contract have been fulfilled, including, but not limited to, any obligations during the Warranty Period and any

extensions to the period. The security shall be returned to the Supplier no later than twenty-eight (28) days after its expiration.

13.3.4 Upon Operational Acceptance of the entire System, the security shall be reduced to the amount **specified in the SCC**, on the date of the Operational Acceptance, so that the reduced security would only cover the remaining warranty obligations of the Supplier.

14. Taxes and Duties

- 14.1 For Goods or Services supplied from outside the Purchaser's country, the Supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the Purchaser's country. Any duties, such as importation or customs duties, and taxes and other levies, payable in the Purchaser's country for the supply of Goods and Services from outside the Purchaser's country are the responsibility of the Purchaser unless these duties or taxes have been made part of the Contract Price in Article 2 of the Contract Agreement and the Price Schedule it refers to, in which case the duties and taxes will be the Supplier's responsibility.
- 14.2 For Goods or Services supplied locally, the Supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted Goods or Services to the Purchaser. The only exception are taxes or duties, such as value-added or sales tax or stamp duty as apply to, or are clearly identifiable, on the invoices and provided they apply in the Purchaser's country, and only if these taxes, levies and/or duties are also excluded from the Contract Price in Article 2 of the Contract Agreement and the Price Schedule it refers to.
- 14.3 If any tax exemptions, reductions, allowances, or privileges may be available to the Supplier in the Purchaser's Country, the Purchaser shall use its best efforts to enable the Supplier to benefit from any such tax savings to the maximum allowable extent.
- 14.4 For the purpose of the Contract, it is agreed that the Contract Price specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement is based on the taxes, duties, levies, and charges prevailing at the date twenty-eight (28) days prior to the date of proposal submission in the Purchaser's Country (also called "Tax" in this GCC Clause 14.4). If any Tax rates are increased or decreased, a new Tax is introduced, an existing Tax is

abolished, or any change in interpretation or application of any Tax occurs in the course of the performance of the Contract, which was or will be assessed on the Supplier, its Subcontractors, or their employees in connection with performance of the Contract, an equitable adjustment to the Contract Price shall be made to fully take into account any such change by addition to or reduction from the Contract Price, as the case may be.

D. INTELLECTUAL PROPERTY

15. Copyright

- 15.1 The Intellectual Property Rights in all Standard Software and Standard Materials shall remain vested in the owner of such rights.
- 15.2 The Purchaser agrees to restrict use, copying, or duplication of the Standard Software and Standard Materials in accordance with GCC Clause 16, except that additional copies of Standard Materials may be made by the Purchaser for use within the scope of the project of which the System is a part, in the event that the Supplier does not deliver copies within thirty (30) days from receipt of a request for such Standard Materials.
- 15.3 The Purchaser's contractual rights to use the Standard Software or elements of the Standard Software may not be assigned, licensed, or otherwise transferred voluntarily except in accordance with the relevant license agreement or **unless otherwise specified in the SCC** to a legally constituted successor organization (e.g., a reorganization of a public entity formally authorized by the government or through a merger or acquisition of a private entity).
- 15.4 **Unless otherwise specified in the SCC**, the Intellectual Property Rights in all Custom Software and Custom Materials specified in Appendices 4 and 5 of the Contract Agreement (if any) shall, at the date of this Contract or on creation of the rights (if later than the date of this Contract), vest in the Purchaser. The Supplier shall do and execute or arrange for the doing and executing of each necessary act, document, and thing (as legally sufficient) that the Purchaser may consider necessary or desirable to perfect the right, title, and interest of the Purchaser in and to those rights. In respect of such Custom Software and Custom Materials, the Supplier shall ensure that the holder of a moral right in such an item does not assert it, and the Supplier shall, if requested to do so by the Purchaser and

where permitted by applicable law, ensure that the holder of such a moral right waives it.

15.5 **Unless otherwise specified in the SCC**, escrow arrangements shall NOT be required.

16. Software License Agreements

16.1 Except to the extent that the Intellectual Property Rights in the Software vest in the Purchaser, the Supplier hereby grants to the Purchaser license to access and use the Software, including all inventions, designs, and marks embodied in the Software.

Such license to access and use the Software shall:

- (a) be:
 - (i) nonexclusive;
 - (ii) fully paid up and irrevocable (except that it shall terminate if the Contract terminates under GCC Clauses 41.1 or 41.3);
 - (iii) **unless otherwise specified in the SCC** valid throughout the territory of the Purchaser's Country;
 - (iv) **unless otherwise specified in the SCC** subject to NO additional restrictions.
- (b) permit the Software to be:
 - (i) used or copied for use on or with the computer(s) for which it was acquired (if specified in the Technical Requirements and/or the Supplier's proposal), plus a backup computer(s) of the same or similar capacity, if the primary is(are) inoperative, and during a reasonable transitional period when use is being transferred between primary and backup;
 - (ii) used or copied for use on or transferred to a replacement computer(s), (and use on the original and replacement computer(s) may be simultaneous during a reasonable transitional period) provided that, if the Technical Requirements and/or the Supplier's proposal specifies a class of computer to which the license is restricted, the replacement computer(s) is(are) within that class;
 - (iii) if the nature of the System is such as to permit such access, accessed from other computers connected to the primary and/or backup computer(s) by

means of a local or wide-area network or similar arrangement, and used on or copied for use on those other computers to the extent necessary to that access;

- (iv) reproduced for safekeeping or backup purposes;
- (v) customized, adapted, or combined with other computer software for use by the Purchaser, provided that derivative software incorporating any substantial part of the delivered, restricted Software shall be subject to same restrictions as are set forth in this Contract;
- (vi) **unless otherwise specified in the SCC**, disclosed to, and reproduced for use by, support service suppliers and their subcontractors, to the extent reasonably necessary to the performance of their support service contracts, subject to the same restrictions as are set forth in this Contract; and
- (vii) **unless otherwise specified in the SCC** disclosed to, and reproduced for use by, NO other parties.

16.2 The Supplier has the right to audit the Standard Software to verify compliance with the above license agreements. **Unless otherwise specified in the SCC**, the Purchaser will make available to the Supplier, within seven (7) days of a written request, accurate and up-to-date records of the number and location of copies, the number of authorized users, or any other relevant data required to demonstrate use of the Standard Software as per the license agreement. If and only if, expressly agreed in writing between the Purchaser and the Supplier, Purchaser will allow, under a pre-specified agreed procedure, the execution of embedded software functions under Supplier's control, and unencumbered transmission of resulting information on software usage.

17. Confidential Information

- 17.1 **Unless otherwise specified in the SCC**, the "Receiving Party" (either the Purchaser or the Supplier) shall keep confidential and shall not, without the written consent of the other party to this Contract ("the Disclosing Party"), divulge to any third party any documents, data, or other information of a confidential nature ("Confidential Information") connected with this Contract, and furnished directly or indirectly by the Disclosing Party prior to or during performance, or following termination, of this Contract.
- 17.2 For the purposes of GCC Clause 17.1, the Supplier is also deemed to be the Receiving Party of Confidential Information generated by the Supplier itself in the course of the performance of its obligations under the Contract and relating to the businesses, finances, suppliers, employees, or other contacts of the Purchaser or the Purchaser's use of the System.
- 17.3 Notwithstanding GCC Clauses 17.1 and 17.2:
- (a) the Supplier may furnish to its Subcontractor Confidential Information of the Purchaser to the extent reasonably required for the Subcontractor to perform its work under the Contract; and
 - (b) the Purchaser may furnish Confidential Information of the Supplier: (i) to its support service suppliers and their subcontractors to the extent reasonably required for them to perform their work under their support service contracts; and (ii) to its affiliates and subsidiaries,
- in which event the Receiving Party shall ensure that the person to whom it furnishes Confidential Information of the Disclosing Party is aware of and abides by the Receiving Party's obligations under this GCC Clause 17 as if that person were party to the Contract in place of the Receiving Party.
- 17.4 The Purchaser shall not, without the Supplier's prior written consent, use any Confidential Information received from the Supplier for any purpose other than the operation, maintenance and further development of the System. Similarly, the Supplier shall not, without the Purchaser's prior written consent, use any Confidential Information received from the Purchaser for any purpose other than those that are required for the performance of the Contract.

- 17.5 The obligation of a party under GCC Clauses 17.1 through 17.4 above, however, shall not apply to that information which:
- (a) now or hereafter enters the public domain through no fault of the Receiving Party;
 - (b) can be proven to have been possessed by the Receiving Party at the time of disclosure and that was not previously obtained, directly or indirectly, from the Disclosing Party;
 - (c) otherwise lawfully becomes available to the Receiving Party from a third party that has no obligation of confidentiality;
 - (d) is being provided to the Bank.
- 17.6 The above provisions of this GCC Clause 17 shall not in any way modify any undertaking of confidentiality given by either of the parties to this Contract prior to the date of the Contract in respect of the System or any part thereof.
- 17.7 **Unless otherwise specified in the SCC**, the provisions of this GCC Clause 17 shall survive the termination, for whatever reason, of the Contract for three (3) years.

E. SUPPLY, INSTALLATION, TESTING, COMMISSIONING, AND ACCEPTANCE OF THE SYSTEM

18. Representatives

18.1 Project Manager

If the Project Manager is not named in the Contract, then within fourteen (14) days of the Effective Date, the Purchaser shall appoint and notify the Supplier in writing of the name of the Project Manager. The Purchaser may from time to time appoint some other person as the Project Manager in place of the person previously so appointed and shall give a notice of the name of such other person to the Supplier without delay. No such appointment shall be made at such a time or in such a manner as to impede the progress of work on the System. Such appointment shall take effect only upon receipt of such notice by the Supplier. **Unless otherwise specified in the SCC** (if any), the Project Manager shall have the authority to represent the Purchaser on all day-to-day matters relating to the System or arising from the Contract, and shall normally be the person giving or receiving notices on behalf of the Purchaser pursuant to GCC Clause 4.

18.2 Supplier's Representative

- 18.2.1 If the Supplier's Representative is not named in the Contract, then within fourteen (14) days of the Effective Date, the Supplier shall appoint the Supplier's Representative and shall request the Purchaser in writing to approve the person so appointed. The request must be accompanied by a detailed curriculum vitae for the nominee, as well as a description of any other System or non-System responsibilities the nominee would retain while performing the duties of the Supplier's Representative. If the Purchaser does not object to the appointment within fourteen (14) days, the Supplier's Representative shall be deemed to have been approved. If the Purchaser objects to the appointment within fourteen (14) days giving the reason therefor, then the Supplier shall appoint a replacement within fourteen (14) days of such objection in accordance with this GCC Clause 18.2.1.
- 18.2.2 **Unless otherwise specified in the SCC** (if any), the Supplier's Representative shall have the authority to represent the Supplier on all day-to-day matters relating to the System or arising from the Contract, and shall normally be the person giving or receiving notices on behalf of the Supplier pursuant to GCC Clause 4.
- 18.2.3 The Supplier shall not revoke the appointment of the Supplier's Representative without the Purchaser's prior written consent, which shall not be unreasonably withheld. If the Purchaser consents to such an action, the Supplier shall appoint another person of equal or superior qualifications as the Supplier's Representative, pursuant to the procedure set out in GCC Clause 18.2.1.
- 18.2.4 The Supplier's Representative and staff are obliged to work closely with the Purchaser's Project Manager and staff, act within their own authority, and abide by directives issued by the Purchaser that are consistent with the terms of the Contract. The Supplier's Representative is responsible for managing the activities of the Supplier's Personnel.
- 18.2.5 The Supplier's Representative may, subject to the approval of the Purchaser (which shall not be unreasonably withheld), at any time delegate to any person any of the powers, functions, and authorities vested in him or her. Any such delegation may be

revoked at any time. Any such delegation or revocation shall be subject to a prior notice signed by the Supplier's Representative and shall specify the powers, functions, and authorities thereby delegated or revoked. No such delegation or revocation shall take effect unless and until the notice of it has been delivered.

18.2.6 Any act or exercise by any person of powers, functions and authorities so delegated to him or her in accordance with GCC Clause 18.2.5 shall be deemed to be an act or exercise by the Supplier's Representative.

18.3 Removal of Supplier's Personnel

18.3.1 The Project Manager may require the Supplier to remove (or cause to be removed) the Supplier's Representative or any other person employed by the Supplier in the execution of the Contract, who:

- (a) persists in any misconduct or lack of care;
- (b) carries out duties incompetently or negligently;
- (c) fails to comply with any provision of the Contract;
- (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment;
- (e) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Contract;
- (f) has been recruited from the Purchaser's Personnel;
- (g) engages in any other behaviour which breaches the Code of Conduct, as applicable;

If appropriate, the Supplier shall then promptly appoint (or cause to be appointed) a suitable replacement with equivalent skills and experience.

Notwithstanding any requirement from the Project Manager to remove or cause to remove any person, the Supplier shall take immediate action as appropriate in response to any violation of (a) through (g) above. Such immediate action shall include removing (or causing to be removed) from work on the System, any person Employed by the Supplier in the execution of the Contract who engages in (a), (b), (c), (d), (e) or (g) above or has been recruited as stated in (f) above.

18.3.2 If any representative or person employed by the Supplier is removed in accordance with GCC Clause 18.3.1, the Supplier shall, where required, promptly appoint a suitable replacement with equivalent skills and experience.

19. Project Plan

- 19.1 In close cooperation with the Purchaser and based on the Preliminary Project Plan included in the Supplier's proposal, the Supplier shall develop a Project Plan encompassing the activities specified in the Contract. The contents of the Project Plan shall be as **specified in the SCC** and/or Technical Requirements.
- 19.2 **Unless otherwise specified in the SCC**, within thirty (30) days from the Effective Date of the Contract, the Supplier shall present a Project Plan to the Purchaser. Such submission to the Purchaser shall include any applicable environmental and social management plan to manage environmental and social risks and impacts. The Purchaser shall, within fourteen (14) days of receipt of the Project Plan, notify the Supplier of any respects in which it considers that the Project Plan does not adequately ensure that the proposed program of work, proposed methods, and/or proposed Information Technologies will satisfy the Technical Requirements and/or the SCC (in this Clause 19.2 called "non-conformities" below). The Supplier shall, within five (5) days of receipt of such notification, correct the Project Plan and resubmit to the Purchaser. The Purchaser shall, within five (5) days of resubmission of the Project Plan, notify the Supplier of any remaining non-conformities. This procedure shall be repeated as necessary until the Project Plan is free from non-conformities. When the Project Plan is free from non-conformities, the Purchaser shall provide confirmation in writing to the Supplier. This approved Project Plan ("the Agreed Project Plan") shall be contractually binding on the Purchaser and the Supplier.
- 19.3 If required, the impact on the Implementation Schedule of modifications agreed during finalization of the Agreed Project Plan shall be incorporated in the Contract by amendment, in accordance with GCC Clauses 39 and 40.
- 19.4 The Supplier shall undertake to supply, install, test, and commission the System in accordance with the Agreed Project Plan and the Contract.

19.5 **Unless otherwise specified in the SCC**, the Supplier shall submit to the Purchaser Monthly Progress Reports summarizing:

- (i) results accomplished during the prior period;
- (ii) cumulative deviations to date from schedule of progress milestones as specified in the Agreed Project Plan;
- (iii) corrective actions to be taken to return to planned schedule of progress; proposed revisions to planned schedule;
- (iv) other issues and outstanding problems; proposed actions to be taken;
- (v) resources that the Supplier expects to be provided by the Purchaser and/or actions to be taken by the Purchaser in the next reporting period;
- (vi) status of compliance to environmental and social requirements, as applicable;
- (vii) other issues or potential problems the Supplier foresees that could impact on project progress and/or effectiveness.

19.6 The Supplier shall submit to the Purchaser other (periodic) reports as specified in the SCC.

19.7 Immediate Reporting requirement

The Supplier shall inform the Project Manager immediately of any allegation, incident or accident in Project Site/s, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Purchaser's Personnel or Supplier's Personnel. This includes, but is not limited to, any incident or accident causing fatality or serious injury; significant adverse effects or damage to private property; any cyber security incidents as **specified in the SCC**; or any allegation of SEA and/or SH. In case of SEA and/or SH, while maintaining confidentiality as appropriate, the type of allegation (sexual exploitation, sexual abuse or sexual harassment), gender and age of the person who experienced the alleged incident should be included in the information.

The Supplier, upon becoming aware of the allegation, incident or accident, shall also immediately inform the Purchaser of any such incident or accident on the

Subcontractors' or suppliers' premises relating to the Contract which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Purchaser's Personnel or Supplier's Personnel. The notification shall provide sufficient detail regarding such incidents or accidents.

The Supplier shall provide full details of such incidents or accidents to the Project Manager within the timeframe agreed with the Purchaser.

The Purchaser shall require its Subcontractors to immediately notify it of any incidents or accidents referred to in this Sub- Clause.

- 20. Subcontracting**
- 20.1 Appendix 3 (List of Approved Subcontractors) to the Contract Agreement specifies critical items of supply or services and a list of Subcontractors for each item that are considered acceptable by the Purchaser. If no Subcontractors are listed for an item, the Supplier shall prepare a list of Subcontractors it considers qualified and wishes to be added to the list for such items. The Supplier may from time to time propose additions to or deletions from any such list. The Supplier shall submit any such list or any modification to the list to the Purchaser for its approval in sufficient time so as not to impede the progress of work on the System. Submission by the Supplier, for addition of any Subcontractor not named in the Contract, shall also include the Subcontractor's declaration in accordance with Appendix 2 to the GCC- Sexual exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration. Approval by the Purchaser of a Subcontractor(s) shall not relieve the Supplier from any of its obligations, duties, or responsibilities under the Contract.
- 20.2 The Supplier may, at its discretion, select and employ Subcontractors for such critical items from those Subcontractors listed pursuant to GCC Clause 20.1. If the Supplier wishes to employ a Subcontractor not so listed, or subcontract an item not so listed, it must seek the Purchaser's prior approval under GCC Clause 20.3.
- 20.3 For items for which pre-approved Subcontractor lists have not been specified in Appendix 3 to the Contract Agreement, the Supplier may employ such Subcontractors as it may select, provided: (i) the Supplier notifies the Purchaser in writing at least twenty-eight (28) days prior to

the proposed mobilization date for such Subcontractor, including by providing the Subcontractor's declaration in accordance with Appendix 2 to the GCC- Sexual exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration; and (ii) by the end of this period either the Purchaser has granted its approval in writing or fails to respond. The Supplier shall not engage any Subcontractor to which the Purchaser has objected in writing prior to the end of the notice period. The absence of a written objection by the Purchaser during the above specified period shall constitute formal acceptance of the proposed Subcontractor. Except to the extent that it permits the deemed approval of the Purchaser of Subcontractors not listed in the Contract Agreement, nothing in this Clause, however, shall limit the rights and obligations of either the Purchaser or Supplier as they are specified in GCC Clauses 20.1 and 20.2, or in Appendix 3 of the Contract Agreement.

- 20.4 The Supplier shall ensure that its Subcontractors comply with the relevant ES requirements and the obligations set out in GCC Clause 9.9.

21. Design and Engineering

21.1 Technical Specifications and Drawings

- 21.1.1 The Supplier shall execute the basic and detailed design and the implementation activities necessary for successful installation of the System in compliance with the provisions of the Contract or, where not so specified, in accordance with good industry practice.

The Supplier shall be responsible for any discrepancies, errors or omissions in the specifications, drawings, and other technical documents that it has prepared, whether such specifications, drawings, and other documents have been approved by the Project Manager or not, provided that such discrepancies, errors, or omissions are not because of inaccurate information furnished in writing to the Supplier by or on behalf of the Purchaser.

- 21.1.2 The Supplier shall be entitled to disclaim responsibility for any design, data, drawing, specification, or other document, or any modification of such design, drawings, specification, or other documents provided or designated by or on behalf of the Purchaser, by giving a notice of such disclaimer to the Project Manager.

21.2 Codes and Standards

Wherever references are made in the Contract to codes and standards in accordance with which the Contract shall be executed, the edition or the revised version of such codes and standards current at the date twenty-eight (28) days prior to date of proposal submission shall apply. During Contract execution, any changes in such codes and standards shall be applied after approval by the Purchaser and shall be treated in accordance with GCC Clause 39.3.

21.3 Approval/Review of Controlling Technical Documents by the Project Manager

21.3.1 Unless otherwise specified in the SCC, there will NO Controlling Technical Documents required. However, **if the SCC specifies** Controlling Technical Documents, the Supplier shall prepare and furnish such documents for the Project Manager's approval or review.

Any part of the System covered by or related to the documents to be approved by the Project Manager shall be executed only after the Project Manager's approval of these documents.

GCC Clauses 21.3.2 through 21.3.7 shall apply to those documents requiring the Project Manager's approval, but not to those furnished to the Project Manager for its review only.

21.3.2 Within fourteen (14) days after receipt by the Project Manager of any document requiring the Project Manager's approval in accordance with GCC Clause 21.3.1, the Project Manager shall either return one copy of the document to the Supplier with its approval endorsed on the document or shall notify the Supplier in writing of its disapproval of the document and the reasons for disapproval and the modifications that the Project Manager proposes. If the Project Manager fails to take such action within the fourteen (14) days, then the document shall be deemed to have been approved by the Project Manager.

21.3.3 The Project Manager shall not disapprove any document except on the grounds that the document does not comply with some specified provision of the Contract or that it is contrary to good industry practice.

21.3.4 If the Project Manager disapproves the document, the Supplier shall modify the document and resubmit it for

the Project Manager's approval in accordance with GCC Clause 21.3.2. If the Project Manager approves the document subject to modification(s), the Supplier shall make the required modification(s), and the document shall then be deemed to have been approved, subject to GCC Clause 21.3.5. The procedure set out in GCC Clauses 21.3.2 through 21.3.4 shall be repeated, as appropriate, until the Project Manager approves such documents.

21.3.5 If any dispute occurs between the Purchaser and the Supplier in connection with or arising out of the disapproval by the Project Manager of any document and/or any modification(s) to a document that cannot be settled between the parties within a reasonable period, then, in case the Contract Agreement includes and names an Adjudicator, such dispute may be referred to the Adjudicator for determination in accordance with GCC Clause 43.1 (Adjudication). If such dispute is referred to an Adjudicator, the Project Manager shall give instructions as to whether and if so, how, performance of the Contract is to proceed. The Supplier shall proceed with the Contract in accordance with the Project Manager's instructions, provided that if the Adjudicator upholds the Supplier's view on the dispute and if the Purchaser has not given notice under GCC Clause 43.1.2, then the Supplier shall be reimbursed by the Purchaser for any additional costs incurred by reason of such instructions and shall be relieved of such responsibility or liability in connection with the dispute and the execution of the instructions as the Adjudicator shall decide, and the Time for Achieving Operational Acceptance shall be extended accordingly.

21.3.6 The Project Manager's approval, with or without modification of the document furnished by the Supplier, shall not relieve the Supplier of any responsibility or liability imposed upon it by any provisions of the Contract except to the extent that any subsequent failure results from modifications required by the Project Manager or inaccurate information furnished in writing to the Supplier by or on behalf of the Purchaser.

21.3.7 The Supplier shall not depart from any approved document unless the Supplier has first submitted to the Project Manager an amended document and obtained

the Project Manager's approval of the document, pursuant to the provisions of this GCC Clause 21.3. If the Project Manager requests any change in any already approved document and/or in any document based on such an approved document, the provisions of GCC Clause 39 (Changes to the System) shall apply to such request.

**22. Procurement,
Delivery, and
Transport**

22.1 Subject to related Purchaser's responsibilities pursuant to GCC Clauses 10 and 14, the Supplier shall manufacture or procure and transport all the Information Technologies, Materials, and other Goods in an expeditious and orderly manner to the Project Site.

22.2 Delivery of the Information Technologies, Materials, and other Goods shall be made by the Supplier in accordance with the Technical Requirements.

22.3 Early or partial deliveries require the explicit written consent of the Purchaser, which consent shall not be unreasonably withheld.

22.4 Transportation

22.4.1 The Supplier shall provide such packing of the Goods as is required to prevent their damage or deterioration during shipment. The packing, marking, and documentation within and outside the packages shall comply strictly with the Purchaser's instructions to the Supplier.

22.4.2 The Supplier will bear responsibility for and cost of transport to the Project Sites in accordance with the terms and conditions used in the specification of prices in the Price Schedules, including the terms and conditions of the associated Incoterms.

22.4.3 **Unless otherwise specified in the SCC**, the Supplier shall be free to use transportation through carriers registered in any eligible country and to obtain insurance from any eligible source country.

22.5 **Unless otherwise specified in the SCC**, the Supplier will provide the Purchaser with shipping and other documents, as specified below:

22.5.1 For Goods supplied from outside the Purchaser's Country:

Upon shipment, the Supplier shall notify the Purchaser and the insurance company contracted by the Supplier

to provide cargo insurance by cable, facsimile, electronic mail, or EDI with the full details of the shipment. The Supplier shall promptly send the following documents to the Purchaser by mail or courier, as appropriate, with a copy to the cargo insurance company:

- (a) two copies of the Supplier's invoice showing the description of the Goods, quantity, unit price, and total amount;
- (b) usual transportation documents;
- (c) insurance certificate;
- (d) certificate(s) of origin; and
- (e) estimated time and point of arrival in the Purchaser's Country and at the site.

22.5.2 For Goods supplied locally (i.e., from within the Purchaser's country):

Upon shipment, the Supplier shall notify the Purchaser by cable, facsimile, electronic mail, or EDI with the full details of the shipment. The Supplier shall promptly send the following documents to the Purchaser by mail or courier, as appropriate:

- (a) two copies of the Supplier's invoice showing the Goods' description, quantity, unit price, and total amount;
- (b) delivery note, railway receipt, or truck receipt;
- (c) certificate of insurance;
- (d) certificate(s) of origin; and
- (e) estimated time of arrival at the site.

22.6 Customs Clearance

- (a) The Purchaser will bear responsibility for, and cost of, customs clearance into the Purchaser's country in accordance the particular Incoterm(s) used for Goods supplied from outside the Purchaser's country in the Price Schedules referred to by Article 2 of the Contract Agreement.
- (b) At the request of the Purchaser, the Supplier will make available a representative or agent during the process of customs clearance in the Purchaser's country for goods supplied from outside the Purchaser's country. In the event of delays in

customs clearance that are not the fault of the Supplier:

- (i) the Supplier shall be entitled to an extension in the Time for Achieving Operational Acceptance, pursuant to GCC Clause 40;
- (ii) the Contract Price shall be adjusted to compensate the Supplier for any additional storage charges that the Supplier may incur as a result of the delay.

23. Product Upgrades

- 23.1 At any point during performance of the Contract, should technological advances be introduced by the Supplier for Information Technologies originally offered by the Supplier in its proposal and still to be delivered, the Supplier shall be obligated to offer to the Purchaser the latest versions of the available Information Technologies having equal or better performance or functionality at the same or lesser unit prices, pursuant to GCC Clause 39 (Changes to the System).
- 23.2 At any point during performance of the Contract, for Information Technologies still to be delivered, the Supplier will also pass on to the Purchaser any cost reductions and additional and/or improved support and facilities that it offers to other clients of the Supplier in the Purchaser's Country, pursuant to GCC Clause 39 (Changes to the System).
- 23.3 During performance of the Contract, the Supplier shall offer to the Purchaser all new versions, releases, and updates of Standard Software, as well as related documentation and technical support services, within thirty (30) days of their availability from the Supplier to other clients of the Supplier in the Purchaser's Country, and no later than twelve (12) months after they are released in the country of origin. In no case will the prices for these Software exceed those quoted by the Supplier in the Recurrent Costs tables in its proposal.
- 23.4 **Unless otherwise specified in the SCC**, during the Warranty Period, the Supplier will provide at no additional cost to the Purchaser all new versions, releases, and updates for all Standard Software that are used in the System, within thirty (30) days of their availability from the Supplier to other clients of the Supplier in the Purchaser's country, and

no later than twelve (12) months after they are released in the country of origin of the Software.

- 23.5 The Purchaser shall introduce all new versions, releases or updates of the Software within eighteen (18) months of receipt of a production-ready copy of the new version, release, or update, provided that the new version, release, or update does not adversely affect System operation or performance or require extensive reworking of the System. In cases where the new version, release, or update adversely affects System operation or performance, or requires extensive reworking of the System, the Supplier shall continue to support and maintain the version or release previously in operation for as long as necessary to allow introduction of the new version, release, or update. In no case shall the Supplier stop supporting or maintaining a version or release of the Software less than twenty four (24) months after the Purchaser receives a production-ready copy of a subsequent version, release, or update. The Purchaser shall use all reasonable endeavors to implement any new version, release, or update as soon as practicable, subject to the twenty-four-month-long stop date.

24. Implementation, Installation, and Other Services

- 24.1 The Supplier shall provide all Services specified in the Contract and Agreed Project Plan in accordance with the highest standards of professional competence and integrity.
- 24.2 Prices charged by the Supplier for Services, if not included in the Contract, shall be agreed upon in advance by the parties (including, but not restricted to, any prices submitted by the Supplier in the Recurrent Cost Schedules of its proposal) and shall not exceed the prevailing rates charged by the Supplier to other purchasers in the Purchaser's Country for similar services.

25. Inspections and Tests

- 25.1 The Purchaser or its representative shall have the right to inspect and/or test any components of the System, as specified in the Technical Requirements, to confirm their good working order and/or conformity to the Contract at the point of delivery and/or at the Project Site.
- 25.2 The Purchaser or its representative shall be entitled to attend any such inspections and/or tests of the components, provided that the Purchaser shall bear all costs and expenses incurred in connection with such attendance, including but not limited to all inspection agent fees, travel, and related expenses.

- 25.3 Should the inspected or tested components fail to conform to the Contract, the Purchaser may reject the component(s), and the Supplier shall either replace the rejected component(s), or make alterations as necessary so that it meets the Contract requirements free of cost to the Purchaser.
- 25.4 The Project Manager may require the Supplier to carry out any inspection and/or test not specified in the Contract, provided that the Supplier's reasonable costs and expenses incurred in the carrying out of such inspection and/or test shall be added to the Contract Price. Further, if such inspection and/or test impedes the progress of work on the System and/or the Supplier's performance of its other obligations under the Contract, due allowance will be made in respect of the Time for Achieving Operational Acceptance and the other obligations so affected.
- 25.5 If any dispute shall arise between the parties in connection with or caused by an inspection and/or with regard to any component to be incorporated in the System that cannot be settled amicably between the parties within a reasonable period of time, either party may invoke the process pursuant to GCC Clause 43 (Settlement of Disputes), starting with referral of the matter to the Adjudicator in case an Adjudicator is included and named in the Contract Agreement.
- 26. Installation of the System**
- 26.1 As soon as the System, or any Subsystem, has, in the opinion of the Supplier, been delivered, Pre-commissioned, and made ready for Commissioning and Operational Acceptance Testing in accordance with the Technical Requirements, the SCC and the Agreed Project Plan, the Supplier shall so notify the Purchaser in writing.
- 26.2 The Project Manager shall, within fourteen (14) days after receipt of the Supplier's notice under GCC Clause 26.1, either issue an Installation Certificate in the form specified in the Sample Contractual Forms Section in the request for proposals document, stating that the System, or major component or Subsystem (if Acceptance by major component or Subsystem is specified pursuant to the SCC for GCC Clause 27.2.1), has achieved Installation by the date of the Supplier's notice under GCC Clause 26.1, or notify the Supplier in writing of any defects and/or deficiencies, including, but not limited to, defects or deficiencies in the interoperability or integration of the various components and/or Subsystems making up the

System. The Supplier shall use all reasonable endeavors to promptly remedy any defect and/or deficiencies that the Project Manager has notified the Supplier of. The Supplier shall then promptly carry out retesting of the System or Subsystem and, when in the Supplier's opinion the System or Subsystem is ready for Commissioning and Operational Acceptance Testing, notify the Purchaser in writing, in accordance with GCC Clause 26.1. The procedure set out in this GCC Clause 26.2 shall be repeated, as necessary, until an Installation Certificate is issued.

- 26.3 If the Project Manager fails to issue the Installation Certificate and fails to inform the Supplier of any defects and/or deficiencies within fourteen (14) days after receipt of the Supplier's notice under GCC Clause 26.1, or if the Purchaser puts the System or a Subsystem into production operation, then the System (or Subsystem) shall be deemed to have achieved successful Installation as of the date of the Supplier's notice or repeated notice, or when the Purchaser put the System into production operation, as the case may be.

**27. Commissioning
and Operational
Acceptance**

27.1 Commissioning

27.1.1 Commissioning of the System (or Subsystem if specified pursuant to the SCC for GCC Clause 27.2.1) shall be commenced by the Supplier:

- (a) immediately after the Installation Certificate is issued by the Project Manager, pursuant to GCC Clause 26.2; or
- (b) as otherwise specified in the Technical Requirement or the Agreed Project Plan; or
- (c) immediately after Installation is deemed to have occurred, under GCC Clause 26.3.

27.1.2 The Purchaser shall supply the operating and technical personnel and all materials and information reasonably required to enable the Supplier to carry out its obligations with respect to Commissioning.

Production use of the System or Subsystem(s) shall not commence prior to the start of formal Operational Acceptance Testing.

27.2 Operational Acceptance Tests

27.2.1 The Operational Acceptance Tests (and repeats of such tests) shall be the primary responsibility of the

Purchaser (in accordance with GCC Clause 10.9), but shall be conducted with the full cooperation of the Supplier during Commissioning of the System (or major components or Subsystem[s]), to ascertain whether the System (or major component or Subsystem[s]) conforms to the Technical Requirements and meets the standard of performance quoted in the Supplier's proposal, including, but not restricted to, the functional and technical performance requirements. **Unless otherwise specified in the SCC**, the Operational Acceptance Tests during Commissioning will be conducted as specified in the Technical Requirements and/or the Agreed Project Plan.

At the Purchaser's discretion, Operational Acceptance Tests may also be performed on replacement Goods, upgrades and new version releases, and Goods that are added or field-modified after Operational Acceptance of the System.

27.2.2 If for reasons attributable to the Purchaser, the Operational Acceptance Test of the System (or Subsystem[s] or major components, pursuant to the SCC for GCC Clause 27.2.1) cannot be successfully completed within ninety (90) days from the date of Installation or any other period agreed upon in writing by the Purchaser and the Supplier, the Supplier shall be deemed to have fulfilled its obligations with respect to the technical and functional aspects of the Technical Specifications, SCC and/or the Agreed Project Plan, and GCC Clause 28.2 and 28.3 shall not apply.

27.3 Operational Acceptance

27.3.1 Subject to GCC Clause 27.4 (Partial Acceptance) below, Operational Acceptance shall occur in respect of the System, when

- (a) the Operational Acceptance Tests, as specified in the Technical Requirements, and/or SCC and/or the Agreed Project Plan have been successfully completed; or
- (b) the Operational Acceptance Tests have not been successfully completed or have not been carried out for reasons that are attributable to the Purchaser within the period from the date of Installation or any other agreed-upon period as specified in GCC Clause 27.2.2 above; or

- (c) the Purchaser has put the System into production or use for sixty (60) consecutive days. If the System is put into production or use in this manner, the Supplier shall notify the Purchaser and document such use.

27.3.2 At any time after any of the events set out in GCC Clause 27.3.1 have occurred, the Supplier may give a notice to the Project Manager requesting the issue of an Operational Acceptance Certificate.

27.3.3 After consultation with the Purchaser, and within fourteen (14) days after receipt of the Supplier's notice, the Project Manager shall:

- (a) issue an Operational Acceptance Certificate; or
- (b) notify the Supplier in writing of any defect or deficiencies or other reason for the failure of the Operational Acceptance Tests; or
- (c) issue the Operational Acceptance Certificate, if the situation covered by GCC Clause 27.3.1 (b) arises.

27.3.4 The Supplier shall use all reasonable endeavors to promptly remedy any defect and/or deficiencies and/or other reasons for the failure of the Operational Acceptance Test that the Project Manager has notified the Supplier of. Once such remedies have been made by the Supplier, the Supplier shall notify the Purchaser, and the Purchaser, with the full cooperation of the Supplier, shall use all reasonable endeavors to promptly carry out retesting of the System or Subsystem. Upon the successful conclusion of the Operational Acceptance Tests, the Supplier shall notify the Purchaser of its request for Operational Acceptance Certification, in accordance with GCC Clause 27.3.3. The Purchaser shall then issue to the Supplier the Operational Acceptance Certification in accordance with GCC Clause 27.3.3 (a), or shall notify the Supplier of further defects, deficiencies, or other reasons for the failure of the Operational Acceptance Test. The procedure set out in this GCC Clause 27.3.4 shall be repeated, as necessary, until an Operational Acceptance Certificate is issued.

27.3.5 If the System or Subsystem fails to pass the Operational Acceptance Test(s) in accordance with GCC Clause 27.2, then either:

- (a) the Purchaser may consider terminating the Contract, pursuant to GCC Clause 41.2.2;
- or
- (b) if the failure to achieve Operational Acceptance within the specified time period is a result of the failure of the Purchaser to fulfill its obligations under the Contract, then the Supplier shall be deemed to have fulfilled its obligations with respect to the relevant technical and functional aspects of the Contract, and GCC Clauses 30.3 and 30.4 shall not apply.

27.3.6 If within fourteen (14) days after receipt of the Supplier's notice the Project Manager fails to issue the Operational Acceptance Certificate or fails to inform the Supplier in writing of the justifiable reasons why the Project Manager has not issued the Operational Acceptance Certificate, the System or Subsystem shall be deemed to have been accepted as of the date of the Supplier's said notice.

27.4 Partial Acceptance

27.4.1 If so specified in the SCC for GCC Clause 27.2.1, Installation and Commissioning shall be carried out individually for each identified major component or Subsystem(s) of the System. In this event, the provisions in the Contract relating to Installation and Commissioning, including the Operational Acceptance Test, shall apply to each such major component or Subsystem individually, and Operational Acceptance Certificate(s) shall be issued accordingly for each such major component or Subsystem of the System, subject to the limitations contained in GCC Clause 27.4.2.

27.4.2 The issuance of Operational Acceptance Certificates for individual major components or Subsystems pursuant to GCC Clause 27.4.1 shall not relieve the Supplier of its obligation to obtain an Operational Acceptance Certificate for the System as an integrated whole (if so specified in the SCC for GCC Clauses 12.1 and 27.2.1) once all major components and Subsystems have been supplied, installed, tested, and commissioned.

27.4.3 In the case of minor components for the System that by their nature do not require Commissioning or an Operational Acceptance Test (e.g., minor fittings, furnishings or site works, etc.), the Project Manager

shall issue an Operational Acceptance Certificate within fourteen (14) days after the fittings and/or furnishings have been delivered and/or installed or the site works have been completed. The Supplier shall, however, use all reasonable endeavors to promptly remedy any defects or deficiencies in such minor components detected by the Purchaser or Supplier.

F. GUARANTEES AND LIABILITIES

28. Operational Acceptance Time Guarantee

- 28.1 The Supplier guarantees that it shall complete the supply, Installation, Commissioning, and achieve Operational Acceptance of the System (or Subsystems, pursuant to the SCC for GCC Clause 27.2.1) within the time periods specified in the Implementation Schedule and/or the Agreed Project Plan pursuant to GCC Clause 8.2, or within such extended time to which the Supplier shall be entitled under GCC Clause 40 (Extension of Time for Achieving Operational Acceptance).
- 28.2 **Unless otherwise specified in the SCC**, if the Supplier fails to supply, install, commission, and achieve Operational Acceptance of the System (or Subsystems pursuant to the SCC for GCC Clause 27.2.1) within the time for achieving Operational Acceptance specified in the Implementation Schedule or the Agreed Project Plan, or any extension of the time for achieving Operational Acceptance previously granted under GCC Clause 40 (Extension of Time for Achieving Operational Acceptance), the Supplier shall pay to the Purchaser liquidated damages at the rate of one half of one percent per week as a percentage of the Contract Price (exclusive of Recurrent Costs if any), or the relevant part of the Contract Price if a Subsystem has not achieved Operational Acceptance. The aggregate amount of such liquidated damages shall in no event exceed the amount of ten (10) percent of the Contract Price (exclusive of Recurrent Costs if any). Once the Maximum is reached, the Purchaser may consider termination of the Contract, pursuant to GCC Clause 41.2.2.
- 28.3 **Unless otherwise specified in the SCC**, liquidated damages payable under GCC Clause 28.2 shall apply only to the failure to achieve Operational Acceptance of the System (and Subsystems) as specified in the Implementation Schedule and/or Agreed Project Plan. This Clause 28.3 shall not limit, however, any other rights or

remedies the Purchaser may have under the Contract for other delays.

- 28.4 If liquidated damages are claimed by the Purchaser for the System (or Subsystem), the Supplier shall have no further liability whatsoever to the Purchaser in respect to the Operational Acceptance time guarantee for the System (or Subsystem). However, the payment of liquidated damages shall not in any way relieve the Supplier from any of its obligations to complete the System or from any other of its obligations and liabilities under the Contract.

29. Defect Liability

- 29.1 The Supplier warrants that the System, including all Information Technologies, Materials, and other Goods supplied and Services provided, shall be free from defects in the design, engineering, Materials, and workmanship that prevent the System and/or any of its components from fulfilling the Technical Requirements or that limit in a material fashion the performance, reliability, or extensibility of the System and/or Subsystems. **Unless otherwise specified in the SCC**, there will be NO exceptions and/or limitations to this warranty with respect to Software (or categories of Software). Commercial warranty provisions of products supplied under the Contract shall apply to the extent that they do not conflict with the provisions of this Contract.
- 29.2 The Supplier also warrants that the Information Technologies, Materials, and other Goods supplied under the Contract are new, unused, and incorporate all recent improvements in design that materially affect the System's or Subsystem's ability to fulfill the Technical Requirements.
- 29.3 **Unless otherwise specified in the SCC**, the Supplier warrants that: (i) all Goods components to be incorporated into the System form part of the Supplier's and/or Subcontractor's current product lines, and (ii) they have been previously released to the market.
- 29.4 **Unless otherwise specified in the SCC**, the Warranty Period shall commence from the date of Operational Acceptance of the System (or of any major component or Subsystem for which separate Operational Acceptance is provided for in the Contract) and shall extend for thirty-six (36) months.
- 29.5 If during the Warranty Period any defect as described in GCC Clause 29.1 should be found in the design,

- engineering, Materials, and workmanship of the Information Technologies and other Goods supplied or of the Services provided by the Supplier, the Supplier shall promptly, in consultation and agreement with the Purchaser regarding appropriate remedying of the defects, and at its sole cost, repair, replace, or otherwise make good (as the Supplier shall, at its discretion, determine) such defect as well as any damage to the System caused by such defect. Any defective Information Technologies or other Goods that have been replaced by the Supplier shall remain the property of the Supplier.
- 29.6 The Supplier shall not be responsible for the repair, replacement, or making good of any defect, or of any damage to the System arising out of or resulting from any of the following causes:
- (a) improper operation or maintenance of the System by the Purchaser;
 - (b) normal wear and tear;
 - (c) use of the System with items not supplied by the Supplier, unless otherwise identified in the Technical Requirements, or approved by the Supplier; or
 - (d) modifications made to the System by the Purchaser, or a third party, not approved by the Supplier.
- 29.7 The Supplier's obligations under this GCC Clause 29 shall not apply to:
- (a) any materials that are normally consumed in operation or have a normal life shorter than the Warranty Period; or
 - (b) any designs, specifications, or other data designed, supplied, or specified by or on behalf of the Purchaser or any matters for which the Supplier has disclaimed responsibility, in accordance with GCC Clause 21.1.2.
- 29.8 The Purchaser shall give the Supplier a notice promptly following the discovery of such defect, stating the nature of any such defect together with all available evidence. The Purchaser shall afford all reasonable opportunity for the Supplier to inspect any such defect. The Purchaser shall afford the Supplier all necessary access to the System and the site to enable the Supplier to perform its obligations under this GCC Clause 29.

29.9 The Supplier may, with the consent of the Purchaser, remove from the site any Information Technologies and other Goods that are defective, if the nature of the defect, and/or any damage to the System caused by the defect, is such that repairs cannot be expeditiously carried out at the site. If the repair, replacement, or making good is of such a character that it may affect the efficiency of the System, the Purchaser may give the Supplier notice requiring that tests of the defective part be made by the Supplier immediately upon completion of such remedial work, whereupon the Supplier shall carry out such tests.

If such part fails the tests, the Supplier shall carry out further repair, replacement, or making good (as the case may be) until that part of the System passes such tests. The tests shall be agreed upon by the Purchaser and the Supplier.

29.10 **Unless otherwise specified in the SCC**, the response times and repair/replacement times for Warranty Defect Repair are specified in the Technical Requirements. Nevertheless, if the Supplier fails to commence the work necessary to remedy such defect or any damage to the System caused by such defect within two weeks the Purchaser may, following notice to the Supplier, proceed to do such work or contract a third party (or parties) to do such work, and the reasonable costs incurred by the Purchaser in connection with such work shall be paid to the Purchaser by the Supplier or may be deducted by the Purchaser from any monies due the Supplier or claimed under the Performance Security.

29.11 If the System or Subsystem cannot be used by reason of such defect and/or making good of such defect, the Warranty Period for the System shall be extended by a period equal to the period during which the System or Subsystem could not be used by the Purchaser because of such defect and/or making good of such defect.

29.12 Items substituted for defective parts of the System during the Warranty Period shall be covered by the Defect Liability Warranty for the remainder of the Warranty Period applicable for the part replaced or three (3) months, whichever is greater. For reasons of information security, the Purchaser may choose to retain physical possession of any replaced defective information storage devices.

29.13 At the request of the Purchaser and without prejudice to any other rights and remedies that the Purchaser may have

against the Supplier under the Contract, the Supplier will offer all possible assistance to the Purchaser to seek warranty services or remedial action from any subcontracted third-party producers or licensor of Goods included in the System, including without limitation assignment or transfer in favor of the Purchaser of the benefit of any warranties given by such producers or licensors to the Supplier.

30. Functional Guarantees

- 30.1 The Supplier guarantees that, once the Operational Acceptance Certificate(s) has been issued, the System represents a complete, integrated solution to the Purchaser's requirements set forth in the Technical Requirements and it conforms to all other aspects of the Contract. The Supplier acknowledges that GCC Clause 27 regarding Commissioning and Operational Acceptance governs how technical conformance of the System to the Contract requirements will be determined.
- 30.2 If, for reasons attributable to the Supplier, the System does not conform to the Technical Requirements or does not conform to all other aspects of the Contract, the Supplier shall at its cost and expense make such changes, modifications, and/or additions to the System as may be necessary to conform to the Technical Requirements and meet all functional and performance standards. The Supplier shall notify the Purchaser upon completion of the necessary changes, modifications, and/or additions and shall request the Purchaser to repeat the Operational Acceptance Tests until the System achieves Operational Acceptance.
- 30.3 If the System (or Subsystem[s]) fails to achieve Operational Acceptance, the Purchaser may consider termination of the Contract, pursuant to GCC Clause 41.2.2, and forfeiture of the Supplier's Performance Security in accordance with GCC Clause 13.3 in compensation for the extra costs and delays likely to result from this failure.

31. Intellectual Property Rights Warranty

- 31.1 The Supplier hereby represents and warrants that:
- (a) the System as supplied, installed, tested, and accepted;
 - (b) use of the System in accordance with the Contract; and

- (c) copying of the Software and Materials provided to the Purchaser in accordance with the Contract

do not and will not infringe any Intellectual Property Rights held by any third party and that it has all necessary rights or at its sole expense shall have secured in writing all transfers of rights and other consents necessary to make the assignments, licenses, and other transfers of Intellectual Property Rights and the warranties set forth in the Contract, and for the Purchaser to own or exercise all Intellectual Property Rights as provided in the Contract. Without limitation, the Supplier shall secure all necessary written agreements, consents, and transfers of rights from its employees and other persons or entities whose services are used for development of the System.

**32. Intellectual
Property Rights
Indemnity**

- 32.1 The Supplier shall indemnify and hold harmless the Purchaser and its employees and officers from and against any and all losses, liabilities, and costs (including losses, liabilities, and costs incurred in defending a claim alleging such a liability), that the Purchaser or its employees or officers may suffer as a result of any infringement or alleged infringement of any Intellectual Property Rights by reason of:
- (a) installation of the System by the Supplier or the use of the System, including the Materials, in the country where the site is located;
 - (b) copying of the Software and Materials provided the Supplier in accordance with the Agreement; and
 - (c) sale of the products produced by the System in any country, except to the extent that such losses, liabilities, and costs arise as a result of the Purchaser's breach of GCC Clause 32.2.
- 32.2 Such indemnity shall not cover any use of the System, including the Materials, other than for the purpose indicated by or to be reasonably inferred from the Contract, any infringement resulting from the use of the System, or any products of the System produced thereby in association or combination with any other goods or services not supplied by the Supplier, where the infringement arises because of such association or combination and not because of use of the System in its own right.

- 32.3 Such indemnities shall also not apply if any claim of infringement:
- (a) is asserted by a parent, subsidiary, or affiliate of the Purchaser's organization;
 - (b) is a direct result of a design mandated by the Purchaser's Technical Requirements and the possibility of such infringement was duly noted in the Supplier's Proposal; or
 - (c) results from the alteration of the System, including the Materials, by the Purchaser or any persons other than the Supplier or a person authorized by the Supplier.

- 32.4 If any proceedings are brought or any claim is made against the Purchaser arising out of the matters referred to in GCC Clause 32.1, the Purchaser shall promptly give the Supplier notice of such proceedings or claims, and the Supplier may at its own expense and in the Purchaser's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Supplier fails to notify the Purchaser within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Purchaser shall be free to conduct the same on its own behalf. Unless the Supplier has so failed to notify the Purchaser within the twenty-eight (28) days, the Purchaser shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Purchaser shall, at the Supplier's request, afford all available assistance to the Supplier in conducting such proceedings or claim and shall be reimbursed by the Supplier for all reasonable expenses incurred in so doing.

- 32.5 The Purchaser shall indemnify and hold harmless the Supplier and its employees, officers, and Subcontractors from and against any and all losses, liabilities, and costs (including losses, liabilities, and costs incurred in defending a claim alleging such a liability) that the Supplier or its employees, officers, or Subcontractors may suffer as a result of any infringement or alleged infringement of any Intellectual Property Rights arising out of or in connection with any design, data, drawing, specification, or other documents or materials provided to the Supplier in connection with this Contract by the Purchaser or any persons (other than the Supplier) contracted by the

Purchaser, except to the extent that such losses, liabilities, and costs arise as a result of the Supplier's breach of GCC Clause 32.8.

- 32.6 Such indemnity shall not cover
- (a) any use of the design, data, drawing, specification, or other documents or materials, other than for the purpose indicated by or to be reasonably inferred from the Contract;
 - (b) any infringement resulting from the use of the design, data, drawing, specification, or other documents or materials, or any products produced thereby, in association or combination with any other Goods or Services not provided by the Purchaser or any other person contracted by the Purchaser, where the infringement arises because of such association or combination and not because of the use of the design, data, drawing, specification, or other documents or materials in its own right.
- 32.7 Such indemnities shall also not apply:
- (a) if any claim of infringement is asserted by a parent, subsidiary, or affiliate of the Supplier's organization;
 - (b) to the extent that any claim of infringement is caused by the alteration, by the Supplier, or any persons contracted by the Supplier, of the design, data, drawing, specification, or other documents or materials provided to the Supplier by the Purchaser or any persons contracted by the Purchaser.
- 32.8 If any proceedings are brought or any claim is made against the Supplier arising out of the matters referred to in GCC Clause 32.5, the Supplier shall promptly give the Purchaser notice of such proceedings or claims, and the Purchaser may at its own expense and in the Supplier's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Purchaser fails to notify the Supplier within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Supplier shall be free to conduct the same on its own behalf. Unless the Purchaser has so failed to notify the Supplier within the twenty-eight (28) days, the Supplier shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Supplier shall, at the Purchaser's request, afford all available assistance to the Purchaser in

conducting such proceedings or claim and shall be reimbursed by the Purchaser for all reasonable expenses incurred in so doing.

33. Limitation of Liability

33.1 Provided the following does not exclude or limit any liabilities of either party in ways not permitted by applicable law:

- (a) the Supplier shall not be liable to the Purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the Supplier to pay liquidated damages to the Purchaser; and
- (b) the aggregate liability of the Supplier to the Purchaser, whether under the Contract, in tort or otherwise, shall not exceed the total Contract Price, provided that this limitation shall not apply to any obligation of the Supplier to indemnify the Purchaser with respect to intellectual property rights infringement.

G. RISK DISTRIBUTION

34. Transfer of Ownership

34.1 With the exception of Software and Materials, the ownership of the Information Technologies and other Goods shall be transferred to the Purchaser at the time of Delivery or otherwise under terms that may be agreed upon and specified in the Contract Agreement.

34.2 Ownership and the terms of usage of the Software and Materials supplied under the Contract shall be governed by GCC Clause 15 (Copyright) Clause 16 (Software License Agreements), and any elaboration in the Technical Requirements.

34.3 Ownership of the Supplier's Equipment used by the Supplier and its Subcontractors in connection with the Contract shall remain with the Supplier or its Subcontractors.

35. Care of the System

35.1 The Purchaser shall become responsible for the care and custody of the System or Subsystems upon their Delivery. The Purchaser shall make good at its own cost any loss or damage that may occur to the System or Subsystems from

any cause from the date of Delivery until the date of Operational Acceptance of the System or Subsystems, pursuant to GCC Clause 27 (Commissioning and Operational Acceptance), excepting such loss or damage arising from acts or omissions of the Supplier, its employees, or subcontractors.

35.2 If any loss or damage occurs to the System or any part of the System by reason of:

- (a) (insofar as they relate to the country where the Project Site is located) nuclear reaction, nuclear radiation, radioactive contamination, a pressure wave caused by aircraft or other aerial objects, or any other occurrences that an experienced Supplier could not reasonably foresee, or if reasonably foreseeable could not reasonably make provision for or insure against, insofar as such risks are not normally insurable on the insurance market and are mentioned in the general exclusions of the policy of insurance taken out under GCC Clause 37;
- (b) any use not in accordance with the Contract, by the Purchaser or any third party;
- (c) any use of or reliance upon any design, data, or specification provided or designated by or on behalf of the Purchaser, or any such matter for which the Supplier has disclaimed responsibility in accordance with GCC Clause 21.1.2,

the Purchaser shall pay to the Supplier all sums payable in respect of the System or Subsystems that have achieved Operational Acceptance, notwithstanding that the same be lost, destroyed, or damaged. If the Purchaser requests the Supplier in writing to make good any loss or damage to the System thereby occasioned, the Supplier shall make good the same at the cost of the Purchaser in accordance with GCC Clause 39. If the Purchaser does not request the Supplier in writing to make good any loss or damage to the System thereby occasioned, the Purchaser shall either request a change in accordance with GCC Clause 39, excluding the performance of that part of the System thereby lost, destroyed, or damaged, or, where the loss or damage affects a substantial part of the System, the Purchaser shall terminate the Contract pursuant to GCC Clause 41.1.

35.3 The Purchaser shall be liable for any loss of or damage to any Supplier's Equipment which the Purchaser has

authorized to locate within the Purchaser's premises for use in fulfillment of Supplier's obligations under the Contract, except where such loss or damage arises from acts or omissions of the Supplier, its employees, or subcontractors.

**36. Loss of or
Damage to
Property;
Accident or
Injury to
Workers;
Indemnification**

36.1 The Supplier and each and every Subcontractor shall abide by the job safety, insurance, customs, and immigration measures prevalent and laws in force in the Purchaser's Country.

36.2 Subject to GCC Clause 36.3, the Supplier shall indemnify and hold harmless the Purchaser and its employees and officers from and against any and all losses, liabilities and costs (including losses, liabilities, and costs incurred in defending a claim alleging such a liability) that the Purchaser or its employees or officers may suffer as a result of the death or injury of any person or loss of or damage to any property (other than the System, whether accepted or not) arising in connection with the supply, installation, testing, and Commissioning of the System and by reason of the negligence of the Supplier or its Subcontractors, or their employees, officers or agents, except any injury, death, or property damage caused by the negligence of the Purchaser, its contractors, employees, officers, or agents.

36.3 If any proceedings are brought or any claim is made against the Purchaser that might subject the Supplier to liability under GCC Clause 36.2, the Purchaser shall promptly give the Supplier notice of such proceedings or claims, and the Supplier may at its own expense and in the Purchaser's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Supplier fails to notify the Purchaser within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Purchaser shall be free to conduct the same on its own behalf. Unless the Supplier has so failed to notify the Purchaser within the twenty-eight (28) day period, the Purchaser shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Purchaser shall, at the Supplier's request, afford all available assistance to the Supplier in conducting such proceedings or claim and shall be reimbursed by the Supplier for all reasonable expenses incurred in so doing.

36.4 The Purchaser shall indemnify and hold harmless the Supplier and its employees, officers, and Subcontractors from any and all losses, liabilities, and costs (including

losses, liabilities, and costs incurred in defending a claim alleging such a liability) that the Supplier or its employees, officers, or Subcontractors may suffer as a result of the death or personal injury of any person or loss of or damage to property of the Purchaser, other than the System not yet achieving Operational Acceptance, that is caused by fire, explosion, or any other perils, in excess of the amount recoverable from insurances procured under GCC Clause 37 (Insurances), provided that such fire, explosion, or other perils were not caused by any act or failure of the Supplier.

- 36.5 If any proceedings are brought or any claim is made against the Supplier that might subject the Purchaser to liability under GCC Clause 36.4, the Supplier shall promptly give the Purchaser notice of such proceedings or claims, and the Purchaser may at its own expense and in the Supplier's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Purchaser fails to notify the Supplier within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Supplier shall be free to conduct the same on its own behalf. Unless the Purchaser has so failed to notify the Supplier within the twenty-eight (28) days, the Supplier shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Supplier shall, at the Purchaser's request, afford all available assistance to the Purchaser in conducting such proceedings or claim and shall be reimbursed by the Purchaser for all reasonable expenses incurred in so doing.
- 36.6 The party entitled to the benefit of an indemnity under this GCC Clause 36 shall take all reasonable measures to mitigate any loss or damage that has occurred. If the party fails to take such measures, the other party's liabilities shall be correspondingly reduced.

37. Insurances

- 37.1 The Supplier shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurance set forth below. The identity of the insurers and the form of the policies shall be subject to the approval of the Purchaser, who should not unreasonably withhold such approval.

- (a) Cargo Insurance During Transport

as applicable, 110 percent of the price of the Information Technologies and other Goods in a freely convertible currency, covering the Goods from physical loss or damage during shipment through receipt at the Project Site.

(b) Installation “All Risks” Insurance

as applicable, 110 percent of the price of the Information Technologies and other Goods covering the Goods at the site from all risks of physical loss or damage (excluding only perils commonly excluded under “all risks” insurance policies of this type by reputable insurers) occurring prior to Operational Acceptance of the System.

(c) Third-Party Liability Insurance

On terms as **specified in the SCC**, covering bodily injury or death suffered by third parties (including the Purchaser’s personnel) and loss of or damage to property (including the Purchaser’s property and any Subsystems that have been accepted by the Purchaser) occurring in connection with the supply and installation of the Information System.

(d) Automobile Liability Insurance

In accordance with the statutory requirements prevailing in the Purchaser’s Country, covering use of all vehicles used by the Supplier or its Subcontractors (whether or not owned by them) in connection with the execution of the Contract.

(e) Other Insurance (if any), as **specified in the SCC**.

37.2 The Purchaser shall be named as co-insured under all insurance policies taken out by the Supplier pursuant to GCC Clause 37.1, except for the Third-Party Liability, and the Supplier’s Subcontractors shall be named as co-insured under all insurance policies taken out by the Supplier pursuant to GCC Clause 37.1 except for Cargo Insurance During Transport. All insurer’s rights of subrogation against such co-insured for losses or claims arising out of the performance of the Contract shall be waived under such policies.

37.3 The Supplier shall deliver to the Purchaser certificates of insurance (or copies of the insurance policies) as evidence that the required policies are in full force and effect.

- 37.4 The Supplier shall ensure that, where applicable, its Subcontractor(s) shall take out and maintain in effect adequate insurance policies for their personnel and vehicles and for work executed by them under the Contract, unless such Subcontractors are covered by the policies taken out by the Supplier.
- 37.5 If the Supplier fails to take out and/or maintain in effect the insurance referred to in GCC Clause 37.1, the Purchaser may take out and maintain in effect any such insurance and may from time to time deduct from any amount due the Supplier under the Contract any premium that the Purchaser shall have paid to the insurer or may otherwise recover such amount as a debt due from the Supplier.
- 37.6 Unless otherwise provided in the Contract, the Supplier shall prepare and conduct all and any claims made under the policies affected by it pursuant to this GCC Clause 37, and all monies payable by any insurers shall be paid to the Supplier. The Purchaser shall give to the Supplier all such reasonable assistance as may be required by the Supplier in connection with any claim under the relevant insurance policies. With respect to insurance claims in which the Purchaser's interest is involved, the Supplier shall not give any release or make any compromise with the insurer without the prior written consent of the Purchaser. With respect to insurance claims in which the Supplier's interest is involved, the Purchaser shall not give any release or make any compromise with the insurer without the prior written consent of the Supplier.
- 38. Force Majeure**
- 38.1 "Force Majeure" shall mean any event beyond the reasonable control of the Purchaser or of the Supplier, as the case may be, and which is unavoidable notwithstanding the reasonable care of the party affected and shall include, without limitation, the following:
- (a) war, hostilities, or warlike operations (whether a state of war be declared or not), invasion, act of foreign enemy, and civil war;
 - (b) rebellion, revolution, insurrection, mutiny, usurpation of civil or military government, conspiracy, riot, civil commotion, and terrorist acts;
 - (c) confiscation, nationalization, mobilization, commandeering or requisition by or under the order of any government or de jure or de facto authority or

- ruler, or any other act or failure to act of any local state or national government authority;
- (d) strike, sabotage, lockout, embargo, import restriction, port congestion, lack of usual means of public transportation and communication, industrial dispute, shipwreck, shortage or restriction of power supply, epidemics, quarantine, and plague;
 - (e) earthquake, landslide, volcanic activity, fire, flood or inundation, tidal wave, typhoon or cyclone, hurricane, storm, lightning, or other inclement weather condition, nuclear and pressure waves, or other natural or physical disaster;
 - (f) failure, by the Supplier, to obtain the necessary export permit(s) from the governments of the Country(s) of Origin of the Information Technologies or other Goods, or Supplier's Equipment provided that the Supplier has made all reasonable efforts to obtain the required export permit(s), including the exercise of due diligence in determining the eligibility of the System and all of its components for receipt of the necessary export permits.
- 38.2 If either party is prevented, hindered, or delayed from or in performing any of its obligations under the Contract by an event of Force Majeure, then it shall notify the other in writing of the occurrence of such event and the circumstances of the event of Force Majeure within fourteen (14) days after the occurrence of such event.
- 38.3 The party who has given such notice shall be excused from the performance or punctual performance of its obligations under the Contract for so long as the relevant event of Force Majeure continues and to the extent that such party's performance is prevented, hindered, or delayed. The Time for Achieving Operational Acceptance shall be extended in accordance with GCC Clause 40 (Extension of Time for Achieving Operational Acceptance).
- 38.4 The party or parties affected by the event of Force Majeure shall use reasonable efforts to mitigate the effect of the event of Force Majeure upon its or their performance of the Contract and to fulfill its or their obligations under the Contract, but without prejudice to either party's right to terminate the Contract under GCC Clause 38.6.

- 38.5 No delay or nonperformance by either party to this Contract caused by the occurrence of any event of Force Majeure shall:
- (a) constitute a default or breach of the Contract;
 - (b) (subject to GCC Clauses 35.2, 38.3, and 38.4) give rise to any claim for damages or additional cost or expense occasioned by the delay or nonperformance, if, and to the extent that, such delay or nonperformance is caused by the occurrence of an event of Force Majeure.
- 38.6 If the performance of the Contract is substantially prevented, hindered, or delayed for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of one or more events of Force Majeure during the time period covered by the Contract, the parties will attempt to develop a mutually satisfactory solution, failing which, either party may terminate the Contract by giving a notice to the other.
- 38.7 In the event of termination pursuant to GCC Clause 38.6, the rights and obligations of the Purchaser and the Supplier shall be as specified in GCC Clauses 41.1.2 and 41.1.3.
- 38.8 Notwithstanding GCC Clause 38.5, Force Majeure shall not apply to any obligation of the Purchaser to make payments to the Supplier under this Contract.

H. CHANGE IN CONTRACT ELEMENTS

39. Changes to the System

- 39.1 Introducing a Change
- 39.1.1 Subject to GCC Clauses 39.2.5 and 39.2.7, the Purchaser shall have the right to propose, and subsequently require, the Project Manager to order the Supplier from time to time during the performance of the Contract to make any change, modification, addition, or deletion to, in, or from the System (interchangeably called “Change”), provided that such Change falls within the general scope of the System, does not constitute unrelated work, and is technically practicable, taking into account both the state of advancement of the System and the technical compatibility of the Change envisaged with the nature of the System as originally specified in the Contract.

A Change may involve, but is not restricted to, the substitution of updated Information Technologies and

related Services in accordance with GCC Clause 23 (Product Upgrades).

- 39.1.2 The Supplier may from time to time during its performance of the Contract propose to the Purchaser (with a copy to the Project Manager) any Change that the Supplier considers necessary or desirable to improve the quality or efficiency of the System. The Purchaser may at its discretion approve or reject any Change proposed by the Supplier.
- 39.1.3 Notwithstanding GCC Clauses 39.1.1 and 39.1.2, no change made necessary because of any default of the Supplier in the performance of its obligations under the Contract shall be deemed to be a Change, and such change shall not result in any adjustment of the Contract Price or the Time for Achieving Operational Acceptance.
- 39.1.4 The procedure on how to proceed with and execute Changes is specified in GCC Clauses 39.2 and 39.3, and further details and sample forms are provided in the Sample Contractual Forms Section in the request for proposals document.
- 39.1.5 Moreover, the Purchaser and Supplier will agree, during development of the Project Plan, to a date prior to the scheduled date for Operational Acceptance, after which the Technical Requirements for the System shall be “frozen.” Any Change initiated after this time will be dealt with after Operational Acceptance.
- 39.2 Changes Originating from Purchaser
- 39.2.1 If the Purchaser proposes a Change pursuant to GCC Clauses 39.1.1, it shall send to the Supplier a “Request for Change Proposal,” requiring the Supplier to prepare and furnish to the Project Manager as soon as reasonably practicable a “Change Proposal,” which shall include the following:
- (a) brief description of the Change;
 - (b) impact on the Time for Achieving Operational Acceptance;
 - (c) detailed estimated cost of the Change;
 - (d) effect on Functional Guarantees (if any);
 - (e) effect on any other provisions of the Contract; and

(f) any additional documents as **specified in the SCC**.

39.2.2 Prior to preparing and submitting the “Change Proposal,” the Supplier shall submit to the Project Manager a “Change Estimate Proposal,” which shall be an estimate of the cost of preparing the Change Proposal, plus a first approximation of the suggested approach and cost for implementing the changes. Upon receipt of the Supplier’s Change Estimate Proposal, the Purchaser shall do one of the following:

- (a) accept the Supplier’s estimate with instructions to the Supplier to proceed with the preparation of the Change Proposal;
- (b) advise the Supplier of any part of its Change Estimate Proposal that is unacceptable and request the Supplier to review its estimate;
- (c) advise the Supplier that the Purchaser does not intend to proceed with the Change.

39.2.3 Upon receipt of the Purchaser’s instruction to proceed under GCC Clause 39.2.2 (a), the Supplier shall, with proper expedition, proceed with the preparation of the Change Proposal, in accordance with GCC Clause 39.2.1. The Supplier, at its discretion, may specify a validity period for the Change Proposal, after which if the Purchaser and Supplier has not reached agreement in accordance with GCC Clause 39.2.6, then GCC Clause 39.2.7 shall apply.

39.2.4 The pricing of any Change shall, as far as practicable, be calculated in accordance with the rates and prices included in the Contract. If the nature of the Change is such that the Contract rates and prices are inequitable, the parties to the Contract shall agree on other specific rates to be used for valuing the Change.

39.2.5 If before or during the preparation of the Change Proposal it becomes apparent that the aggregate impact of compliance with the Request for Change Proposal and with all other Change Orders that have already become binding upon the Supplier under this GCC Clause 39 would be to increase or decrease the Contract Price as originally set forth in Article 2 (Contract Price) of the Contract Agreement by more than fifteen (15) percent, the Supplier may give a written notice of objection to this Request for Change Proposal prior to furnishing the Change Proposal. If

the Purchaser accepts the Supplier's objection, the Purchaser shall withdraw the proposed Change and shall notify the Supplier in writing of its acceptance.

The Supplier's failure to so object to a Request for Change Proposal shall neither affect its right to object to any subsequent requested Changes or Change Orders, nor affect its right to take into account, when making such subsequent objection, the percentage increase or decrease in the Contract Price that any Change not objected to by the Supplier represents.

39.2.6 Upon receipt of the Change Proposal, the Purchaser and the Supplier shall mutually agree upon all matters contained in the Change Proposal. Within fourteen (14) days after such agreement, the Purchaser shall, if it intends to proceed with the Change, issue the Supplier a Change Order. If the Purchaser is unable to reach a decision within fourteen (14) days, it shall notify the Supplier with details of when the Supplier can expect a decision. If the Purchaser decides not to proceed with the Change for whatever reason, it shall, within the said period of fourteen (14) days, notify the Supplier accordingly. Under such circumstances, the Supplier shall be entitled to reimbursement of all costs reasonably incurred by it in the preparation of the Change Proposal, provided that these do not exceed the amount given by the Supplier in its Change Estimate Proposal submitted in accordance with GCC Clause 39.2.2.

39.2.7 If the Purchaser and the Supplier cannot reach agreement on the price for the Change, an equitable adjustment to the Time for Achieving Operational Acceptance, or any other matters identified in the Change Proposal, the Change will not be implemented. However, this provision does not limit the rights of either party under GCC Clause 6 (Settlement of Disputes).

39.3 Changes Originating from Supplier

If the Supplier proposes a Change pursuant to GCC Clause 39.1.2, the Supplier shall submit to the Project Manager a written "Application for Change Proposal," giving reasons for the proposed Change and including the information specified in GCC Clause 39.2.1. Upon receipt of the Application for Change Proposal, the parties shall follow the procedures outlined in GCC Clauses 39.2.6 and

39.2.7. However, should the Purchaser choose not to proceed or the Purchaser and the Supplier cannot come to agreement on the change during any validity period that the Supplier may specify in its Application for Change Proposal, the Supplier shall not be entitled to recover the costs of preparing the Application for Change Proposal, unless subject to an agreement between the Purchaser and the Supplier to the contrary.

39.4 Value engineering. The Supplier may prepare, at its own cost, a value engineering proposal at any time during the performance of the Contract. The value engineering proposal shall, at a minimum, include the following;

- (a) the proposed change(s), and a description of the difference to the existing Contract requirements;
- (b) a full cost/benefit analysis of the proposed change(s) including a description and estimate of costs (including life cycle costs) the Purchaser may incur in implementing the value engineering proposal; and
- (c) a description of any effect(s) of the change on performance/functionality.

The Purchaser may accept the value engineering proposal if the proposal demonstrates benefits that:

- (a) accelerates the delivery period; or
- (b) reduces the Contract Price or the life cycle costs to the Purchaser; or
- (c) improves the quality, efficiency, safety or sustainability of the systems; or
- (d) yields any other benefits to the Purchaser,

without compromising the necessary functions of the systems.

If the value engineering proposal is approved by the Purchaser and results in:

- (a) a reduction of the Contract Price; the amount to be paid to the Supplier shall be the percentage specified in the SCC of the reduction in the Contract Price; or
- (b) an increase in the Contract Price; but results in a reduction in life cycle costs due to any benefit described in (a) to (d) above,

the amount to be paid to the Supplier shall be the full increase in the Contract Price.

40. Extension of Time for Achieving Operational Acceptance

40.1 The time(s) for achieving Operational Acceptance specified in the Schedule of Implementation shall be extended if the Supplier is delayed or impeded in the performance of any of its obligations under the Contract by reason of any of the following:

- (a) any Change in the System as provided in GCC Clause 39 (Change in the Information System);
- (b) any occurrence of Force Majeure as provided in GCC Clause 38 (Force Majeure);
- (c) default of the Purchaser; or
- (d) any other matter specifically mentioned in the Contract;

by such period as shall be fair and reasonable in all the circumstances and as shall fairly reflect the delay or impediment sustained by the Supplier.

40.2 Except where otherwise specifically provided in the Contract, the Supplier shall submit to the Project Manager a notice of a claim for an extension of the time for achieving Operational Acceptance, together with particulars of the event or circumstance justifying such extension as soon as reasonably practicable after the commencement of such event or circumstance. As soon as reasonably practicable after receipt of such notice and supporting particulars of the claim, the Purchaser and the Supplier shall agree upon the period of such extension. In the event that the Supplier does not accept the Purchaser's estimate of a fair and reasonable time extension, the Supplier shall be entitled to refer the matter to the provisions for the Settlement of Disputes pursuant to GCC Clause 43.

40.3 The Supplier shall at all times use its reasonable efforts to minimize any delay in the performance of its obligations under the Contract.

41. Termination

41.1 Termination for Purchaser's Convenience

41.1.1 The Purchaser may at any time terminate the Contract for any reason by giving the Supplier a notice of termination that refers to this GCC Clause 41.1.

41.1.2 Upon receipt of the notice of termination under GCC Clause 41.1.1, the Supplier shall either as soon as reasonably practical or upon the date specified in the notice of termination

- (a) cease all further work, except for such work as the Purchaser may specify in the notice of termination for the sole purpose of protecting that part of the System already executed, or any work required to leave the site in a clean and safe condition;
- (b) terminate all subcontracts, except those to be assigned to the Purchaser pursuant to GCC Clause 41.1.2 (d) (ii) below;
- (c) remove all Supplier's Equipment from the site, repatriate the Supplier's Personnel from the site, remove from the site any wreckage, rubbish, and debris of any kind;
- (d) in addition, the Supplier, subject to the payment specified in GCC Clause 41.1.3, shall
 - (i) deliver to the Purchaser the parts of the System executed by the Supplier up to the date of termination;
 - (ii) to the extent legally possible, assign to the Purchaser all right, title, and benefit of the Supplier to the System, or Subsystem, as at the date of termination, and, as may be required by the Purchaser, in any subcontracts concluded between the Supplier and its Subcontractors;
 - (iii) deliver to the Purchaser all nonproprietary drawings, specifications, and other documents prepared by the Supplier or its Subcontractors as of the date of termination in connection with the System.

41.1.3 In the event of termination of the Contract under GCC Clause 41.1.1, the Purchaser shall pay to the Supplier the following amounts:

- (a) the Contract Price, properly attributable to the parts of the System executed by the Supplier as of the date of termination;
- (b) the costs reasonably incurred by the Supplier in the removal of the Supplier's Equipment from the site and in the repatriation of the Supplier's Personnel;
- (c) any amount to be paid by the Supplier to its Subcontractors in connection with the

termination of any subcontracts, including any cancellation charges;

- (d) costs incurred by the Supplier in protecting the System and leaving the site in a clean and safe condition pursuant to GCC Clause 41.1.2 (a); and
- (e) the cost of satisfying all other obligations, commitments, and claims that the Supplier may in good faith have undertaken with third parties in connection with the Contract and that are not covered by GCC Clauses 41.1.3 (a) through (d) above.

41.2 Termination for Supplier's Default

41.2.1 The Purchaser, without prejudice to any other rights or remedies it may possess, may terminate the Contract forthwith in the following circumstances by giving a notice of termination and its reasons therefore to the Supplier, referring to this GCC Clause 41.2:

- (a) if the Supplier becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, if the Supplier is a corporation, a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Supplier takes or suffers any other analogous action in consequence of debt;
- (b) if the Supplier assigns or transfers the Contract or any right or interest therein in violation of the provision of GCC Clause 42 (Assignment); or
- (c) if the Supplier, in the judgment of the Purchaser has engaged in Fraud and Corruption, as defined in paragraph 2.2 a. of the Appendix 1 to the GCC, in competing for or in executing the Contract, including but not limited to willful misrepresentation of facts concerning ownership of Intellectual Property Rights in, or proper authorization and/or licenses from the owner to offer, the hardware, software, or materials provided under this Contract.

41.2.2 If the Supplier:

- (a) has abandoned or repudiated the Contract;

- (b) has without valid reason failed to commence work on the System promptly;
- (c) persistently fails to execute the Contract in accordance with the Contract or persistently neglects to carry out its obligations under the Contract without just cause;
- (d) refuses or is unable to provide sufficient Materials, Services, or labor to execute and complete the System in the manner specified in the Agreed Project Plan furnished under GCC Clause 19 at rates of progress that give reasonable assurance to the Purchaser that the Supplier can attain Operational Acceptance of the System by the Time for Achieving Operational Acceptance as extended;

then the Purchaser may, without prejudice to any other rights it may possess under the Contract, give a notice to the Supplier stating the nature of the default and requiring the Supplier to remedy the same. If the Supplier fails to remedy or to take steps to remedy the same within thirty (30) days of its receipt of such notice, then the Purchaser may terminate the Contract forthwith by giving a notice of termination to the Supplier that refers to this GCC Clause 41.2.

41.2.3 Upon receipt of the notice of termination under GCC Clauses 41.2.1 or 41.2.2, the Supplier shall, either immediately or upon such date as is specified in the notice of termination:

- (a) cease all further work, except for such work as the Purchaser may specify in the notice of termination for the sole purpose of protecting that part of the System already executed or any work required to leave the site in a clean and safe condition;
- (b) terminate all subcontracts, except those to be assigned to the Purchaser pursuant to GCC Clause 41.2.3 (d) below;
- (c) deliver to the Purchaser the parts of the System executed by the Supplier up to the date of termination;
- (d) to the extent legally possible, assign to the Purchaser all right, title and benefit of the Supplier to the System or Subsystems as at the

date of termination, and, as may be required by the Purchaser, in any subcontracts concluded between the Supplier and its Subcontractors;

- (e) deliver to the Purchaser all drawings, specifications, and other documents prepared by the Supplier or its Subcontractors as at the date of termination in connection with the System.

41.2.4 The Purchaser may enter upon the site, expel the Supplier, and complete the System itself or by employing any third party. Upon completion of the System or at such earlier date as the Purchaser thinks appropriate, the Purchaser shall give notice to the Supplier that such Supplier's Equipment will be returned to the Supplier at or near the site and shall return such Supplier's Equipment to the Supplier in accordance with such notice. The Supplier shall thereafter without delay and at its cost remove or arrange removal of the same from the site.

41.2.5 Subject to GCC Clause 41.2.6, the Supplier shall be entitled to be paid the Contract Price attributable to the portion of the System executed as at the date of termination and the costs, if any, incurred in protecting the System and in leaving the site in a clean and safe condition pursuant to GCC Clause 41.2.3 (a). Any sums due the Purchaser from the Supplier accruing prior to the date of termination shall be deducted from the amount to be paid to the Supplier under this Contract.

41.2.6 If the Purchaser completes the System, the cost of completing the System by the Purchaser shall be determined. If the sum that the Supplier is entitled to be paid, pursuant to GCC Clause 41.2.5, plus the reasonable costs incurred by the Purchaser in completing the System, exceeds the Contract Price, the Supplier shall be liable for such excess. If such excess is greater than the sums due the Supplier under GCC Clause 41.2.5, the Supplier shall pay the balance to the Purchaser, and if such excess is less than the sums due the Supplier under GCC Clause 41.2.5, the Purchaser shall pay the balance to the Supplier. The Purchaser and the Supplier shall agree, in writing, on the computation described above and the manner in which any sums shall be paid.

41.3 Termination by Supplier

41.3.1 If:

- (a) the Purchaser has failed to pay the Supplier any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause **pursuant to the SCC**, or commits a substantial breach of the Contract, the Supplier may give a notice to the Purchaser that requires payment of such sum, with interest on this sum as stipulated in GCC Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Purchaser to remedy the same, as the case may be. If the Purchaser fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Supplier's notice; or
- (b) the Supplier is unable to carry out any of its obligations under the Contract for any reason attributable to the Purchaser, including but not limited to the Purchaser's failure to provide possession of or access to the site or other areas or failure to obtain any governmental permit necessary for the execution and/or completion of the System;

then the Supplier may give a notice to the Purchaser of such events, and if the Purchaser has failed to pay the outstanding sum, to approve the invoice or supporting documents, to give its reasons for withholding such approval, or to remedy the breach within twenty-eight (28) days of such notice, or if the Supplier is still unable to carry out any of its obligations under the Contract for any reason attributable to the Purchaser within twenty-eight (28) days of the said notice, the Supplier may by a further notice to the Purchaser referring to this GCC Clause 41.3.1, forthwith terminate the Contract.

41.3.2 The Supplier may terminate the Contract immediately by giving a notice to the Purchaser to that effect, referring to this GCC Clause 41.3.2, if the Purchaser becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or,

being a corporation, if a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Purchaser takes or suffers any other analogous action in consequence of debt.

41.3.3 If the Contract is terminated under GCC Clauses 41.3.1 or 41.3.2, then the Supplier shall immediately:

- (a) cease all further work, except for such work as may be necessary for the purpose of protecting that part of the System already executed, or any work required to leave the site in a clean and safe condition;
- (b) terminate all subcontracts, except those to be assigned to the Purchaser pursuant to Clause 41.3.3 (d) (ii);
- (c) remove all Supplier's Equipment from the site and repatriate the Supplier's Personnel from the site.
- (d) In addition, the Supplier, subject to the payment specified in GCC Clause 41.3.4, shall:
 - (i) deliver to the Purchaser the parts of the System executed by the Supplier up to the date of termination;
 - (ii) to the extent legally possible, assign to the Purchaser all right, title, and benefit of the Supplier to the System, or Subsystems, as of the date of termination, and, as may be required by the Purchaser, in any subcontracts concluded between the Supplier and its Subcontractors;
 - (iii) to the extent legally possible, deliver to the Purchaser all drawings, specifications, and other documents prepared by the Supplier or its Subcontractors as of the date of termination in connection with the System.

41.3.4 If the Contract is terminated under GCC Clauses 41.3.1 or 41.3.2, the Purchaser shall pay to the Supplier all payments specified in GCC Clause 41.1.3 and reasonable compensation for all loss, except for

loss of profit, or damage sustained by the Supplier arising out of, in connection with, or in consequence of such termination.

41.3.5 Termination by the Supplier pursuant to this GCC Clause 41.3 is without prejudice to any other rights or remedies of the Supplier that may be exercised in lieu of or in addition to rights conferred by GCC Clause 41.3.

41.4 In this GCC Clause 41, the expression “portion of the System executed” shall include all work executed, Services provided, and all Information Technologies, or other Goods acquired (or subject to a legally binding obligation to purchase) by the Supplier and used or intended to be used for the purpose of the System, up to and including the date of termination.

41.5 In this GCC Clause 41, in calculating any monies due from the Purchaser to the Supplier, account shall be taken of any sum previously paid by the Purchaser to the Supplier under the Contract, including any advance payment paid **pursuant to the SCC.**

42. Assignment

42.1 Neither the Purchaser nor the Supplier shall, without the express prior written consent of the other, assign to any third party the Contract or any part thereof, or any right, benefit, obligation, or interest therein or thereunder, except that the Supplier shall be entitled to assign either absolutely or by way of charge any monies due and payable to it or that may become due and payable to it under the Contract.

I. SETTLEMENT OF DISPUTES

43. Settlement of Disputes

43.1 Adjudication

43.1.1 If any dispute of any kind whatsoever shall arise between the Purchaser and the Supplier in connection with or arising out of the Contract, including without prejudice to the generality of the foregoing, any question regarding its existence, validity, or termination, or the operation of the System (whether during the progress of implementation or after its achieving Operational Acceptance and whether before or after the termination, abandonment, or breach of the Contract), the parties shall seek to resolve any such dispute by mutual consultation. If

the parties fail to resolve such a dispute by mutual consultation within fourteen (14) days after one party has notified the other in writing of the dispute, then, if the Contract Agreement in Appendix 2 includes and names an Adjudicator, the dispute shall, within another fourteen (14) days, be referred in writing by either party to the Adjudicator, with a copy to the other party. If there is no Adjudicator specified in the Contract Agreement, the mutual consultation period stated above shall last twenty-eight (28) days (instead of fourteen), upon expiry of which either party may move to the notification of arbitration pursuant to GCC Clause 43.2.1.

- 43.1.2 The Adjudicator shall give his or her decision in writing to both parties within twenty-eight (28) days of the dispute being referred to the Adjudicator. If the Adjudicator has done so, and no notice of intention to commence arbitration has been given by either the Purchaser or the Supplier within fifty-six (56) days of such reference, the decision shall become final and binding upon the Purchaser and the Supplier. Any decision that has become final and binding shall be implemented by the parties forthwith.
- 43.1.3 The Adjudicator shall be paid an hourly fee at the rate specified in the Contract Agreement plus reasonable expenditures incurred in the execution of duties as Adjudicator, and these costs shall be divided equally between the Purchaser and the Supplier.
- 43.1.4 Should the Adjudicator resign or die, or should the Purchaser and the Supplier agree that the Adjudicator is not fulfilling his or her functions in accordance with the provisions of the Contract, a new Adjudicator shall be jointly appointed by the Purchaser and the Supplier. Failing agreement between the two within twenty-eight (28) days, the new Adjudicator shall be appointed at the request of either party by the Appointing Authority **specified in the SCC**, or, if no Appointing Authority is **specified in SCC**, the Contract shall, from this point onward and until the parties may otherwise agree on an Adjudicator or an Appointing Authority, be implemented as if there is no Adjudicator.

43.2 Arbitration

43.2.1 If

- (a) the Purchaser or the Supplier is dissatisfied with the Adjudicator's decision and acts before this decision has become final and binding pursuant to GCC Clause 43.1.2, or
- (b) the Adjudicator fails to give a decision within the allotted time from referral of the dispute pursuant to GCC Clause 43.1.2, and the Purchaser or the Supplier acts within the following fourteen (14) days, or
- (c) in the absence of an Adjudicator from the Contract Agreement, the mutual consultation pursuant to GCC Clause 43.1.1 expires without resolution of the dispute and the Purchaser or the Supplier acts within the following fourteen (14) days,

then either the Purchaser or the Supplier may act to give notice to the other party, with a copy for information to the Adjudicator in case an Adjudicator had been involved, of its intention to commence arbitration, as provided below, as to the matter in dispute, and no arbitration in respect of this matter may be commenced unless such notice is given.

43.2.2 Any dispute in respect of which a notice of intention to commence arbitration has been given, in accordance with GCC Clause 43.2.1, shall be finally settled by arbitration. Arbitration may be commenced prior to or after Installation of the Information System.

43.2.3 Arbitration proceedings shall be conducted in accordance with the rules of procedure **specified in the SCC.**

43.3 Notwithstanding any reference to the Adjudicator or arbitration in this clause,

- (a) the parties shall continue to perform their respective obligations under the Contract unless they otherwise agree;
- (b) the Purchaser shall pay the Supplier any monies due the Supplier.

J. CYBER SECURITY

44. Cyber Security

- 44.1 **Pursuant to the SCC**, the Supplier, including its Subcontractors/ suppliers/ manufacturers shall take all technical and organizational measures necessary to protect the information technology systems and data used in connection with the Contract. Without limiting the foregoing, the Supplier, including its Subcontractors/ suppliers/ manufacturers, shall use all reasonable efforts to establish, maintain, implement and comply with, reasonable information technology, information security, cyber security and data protection controls, policies and procedures, including oversight, access controls, encryption, technological and physical safeguards and business continuity/disaster recovery and security plans that are designed to protect against and prevent breach, destruction, loss, unauthorized distribution, use, access, disablement, misappropriation or modification, or other compromise or misuse of or relating to any information technology system or data used in connection with the Contract.

APPENDIX 1

Fraud and Corruption

(Text in this Appendix shall not be modified)

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.

- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect³ all accounts,

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

APPENDIX 2

Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration for Subcontractors

[The following table shall be filled in by each subcontractor proposed by the Supplier, that was not named in the Contract]

Subcontractor’s Name: *[insert full name]*

Date: *[insert day, month, year]*

Contract reference *[insert contract reference]*

Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration
<p>We:</p> <p><input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, and were removed from the disqualification list. An arbitral award on the disqualification case has been made in our favor.</p>
<p><i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i></p>
<p>Period of disqualification: From: _____ To: _____</p>

Name of the Subcontractor _____

Name of the person duly authorized to sign on behalf of the Subcontractor _____

Title of the person signing on behalf of the Subcontractor _____

Signature of the person named above _____

Date signed _____ day of _____, _____

Countersignature of authorized representative of the Supplier:

Signature: _____

Date signed _____ day of _____, _____

SECTION IX - SPECIAL CONDITIONS OF CONTRACT

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Special Conditions of Contract

The following Special Conditions of Contract (SCC) shall supplement or amend the General Conditions of Contract (GCC). Whenever there is a conflict, the provisions of the SCC shall prevail over those in the General Conditions of Contract. For the purposes of clarity, any referenced GCC clause numbers are indicated in the left column of the SCC.

A. CONTRACT AND INTERPRETATION

Definitions (GCC Clause 1)

GCC 1.1 (a) (ix)	The applicable edition of the Procurement Regulations is dated: September 2023 (Fifth Edition) .
GCC 1.1 (b) (i)	The Purchaser is: Ministry of Public Works, Public Utilities and the Digital Economy .
GCC 1.1 (b) (ii)	The Project Manager is: Dr. Jermaine Jewel Jean-Pierre
GCC 1.1 (e) (i)	The Purchaser's Country is: Commonwealth of Dominica
GCC 1.1 (e) (x)	<p>(i) Twelve (12) months for Commissioning/installation of the Design and Development of an Automated Border Control (eGate) System in the project sites.</p> <p>(ii) Next twelve (12) Months for Operations and Maintenance Support.</p> <p>(iii) Warranty period is thirty-six (36) months after Commissioning/installation of the system in the project sites.</p>
GCC 1.1 (e) (xiii)	The Post-Warranty Services Period is: twenty-four (24) months starting from completion of the Warranty Period.

Notices (GCC Clause 4)

GCC 4.3	<p>Address of the Project Manager:</p> <p>Dr. Jermaine Jewel Jean-Pierre Project Manager Project Implementation Unit (PIU) Caribbean Digital Transformation Project (CARDTP) Ministry of Public Works and the Digital Economy 2nd Floor First Domestic Building, 19-21 King George V Street Roseau, 00109-8000 Commonwealth of Dominica</p>
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	<p>Telephone: 1-767-266-3840/3841/3844 Email: dtpprocurement@dominica.gov.dm with cc to: jeanpierrej@dominica.gov.dm</p> <p>Fallback address of the Purchaser: Same as above</p>
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B. SUBJECT MATTER OF CONTRACT

Scope of the System (GCC Clause 7)

GCC 7.3	<p>The Supplier’s obligations under the Contract will include the following recurrent cost items, as identified in the Recurrent Cost tables in the Supplier’s Proposal:</p> <ul style="list-style-type: none"> - Warranty Services - Software licenses and updates - Technical Support Services/Helpdesk - Post Operational Acceptance Technical Assistance
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Time for Commencement and Operational Acceptance (GCC Clause 8)

GCC 8.1	<p>The Supplier shall commence work on the System within: Fifteen (15) days from the Effective Date of the Contract.</p>
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Supplier’s Responsibilities (GCC Clause 9)

GCC 9.1	Health and Safety Manual is not required.
GCC 9.8	The following sustainable procurement contractual provisions, apply: none
GCC 9.18	The Supplier is not required to make security arrangements for the Project Site/s.

C. PAYMENT

Contract Price (GCC Clause 11)

GCC 11.2	Adjustments to the Contract Price shall be as follows: not applicable
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Terms of Payment (GCC Clause 12)

GCC 12.1	<p>Subject to the provisions of GCC Clause 12 (Terms of Payment), the Purchaser shall pay the Contract Price to the Supplier according to the categories and in the manner specified below. Only the categories Advance Payment and Complete System Integration relate to the entire Contract Price. In other payment categories, the term "total Contract Price" means the total cost of goods or services under the specific payment category. Within each such category, the Contract Implementation Schedule may trigger pro-rata payments for the portion of the total Contract Price for the category corresponding to the goods or services actually Delivered, Installed, or Operationally Accepted, at unit prices and in the currencies specified in the Price Schedules of the Contract Agreement.</p>																
	<table border="1"> <thead> <tr> <th data-bbox="440 722 1097 879">Categories</th> <th data-bbox="1097 722 1395 879">%age of pro-rata Contract Price excluding Recurrent Costs</th> </tr> </thead> <tbody> <tr> <td colspan="2" data-bbox="440 879 1395 932" style="text-align: center;">Supply and Installation Cost</td> </tr> <tr> <td data-bbox="440 932 1097 1194">Advance Payment of the entire Contract Price, exclusive of all Recurrent Costs, paid against receipt of a claim accompanied by the Advance Payment Security specified in GCC Clause 13.2. Advance payment to be fully amortized by Payment No. 8 - Capacity Building as per Sub Section 2.4.</td> <td data-bbox="1097 932 1395 1194" style="text-align: center;">10%</td> </tr> <tr> <td data-bbox="440 1194 1097 1278">Inception report approved by the Project Manager</td> <td data-bbox="1097 1194 1395 1278" style="text-align: center;">5%</td> </tr> <tr> <td data-bbox="440 1278 1097 1436">Recommendation of hardware, software and other specification for development, staging, testing and production, against the documents approved by the Project Manager</td> <td data-bbox="1097 1278 1395 1436" style="text-align: center;">5%</td> </tr> <tr> <td data-bbox="440 1436 1097 1596">Submission and Approval of documents as per Sub Section 2.1: System Analysis, Design and Customization/ Development against the documents approved by the Project Manager</td> <td data-bbox="1097 1436 1395 1596" style="text-align: center;">10%</td> </tr> <tr> <td data-bbox="440 1596 1097 1719">Setting up the Data Center with development, testing acceptance and production (DTAP) environment and Disaster Recovery Centre</td> <td data-bbox="1097 1596 1395 1719" style="text-align: center;">10%</td> </tr> <tr> <td data-bbox="440 1719 1097 1799">ABC system design, development/ customization, testing</td> <td data-bbox="1097 1719 1395 1799" style="text-align: center;">30%</td> </tr> </tbody> </table>	Categories	%age of pro-rata Contract Price excluding Recurrent Costs	Supply and Installation Cost		Advance Payment of the entire Contract Price, exclusive of all Recurrent Costs, paid against receipt of a claim accompanied by the Advance Payment Security specified in GCC Clause 13.2. Advance payment to be fully amortized by Payment No. 8 - Capacity Building as per Sub Section 2.4.	10%	Inception report approved by the Project Manager	5%	Recommendation of hardware, software and other specification for development, staging, testing and production, against the documents approved by the Project Manager	5%	Submission and Approval of documents as per Sub Section 2.1: System Analysis, Design and Customization/ Development against the documents approved by the Project Manager	10%	Setting up the Data Center with development, testing acceptance and production (DTAP) environment and Disaster Recovery Centre	10%	ABC system design, development/ customization, testing	30%
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ABC system design, development/ customization, testing	30%																

	Data migration including the digitalization (scanning and creating database) of the paper records	5%
	Capacity Building as per Sub Section 2.4.: Training and Training Materials	5%
	Pre-commissioning test	10%
	OAT of the ABC system	10%
	Commissioning/installation of the ABC system in the project sites	10%
	Total Percentage	110%
	Recurring Cost	
	“One hundred percent (100%) of the price of the services actually delivered will be paid quarterly in arrears, on submission and Purchaser’s approval of invoices and quarterly progress report”.	
GCC 12.3	The Purchaser shall pay to the Supplier interest on the delayed payments at a rate of: London Inter Bank Offered Rate (LIBOR) +1% per annum.	
GCC 12.4	The Supplier will invoice the Purchaser in the currency used in the Contract Agreement and the Price Schedules it refers to, for Goods and Services supplied locally, and the conversion between this currency and Eastern Caribbean Dollar (XCD) for payment purposes - in case the two currencies are different - will be made as of the actual payment date using the exchange rate found in Eastern Caribbean Central Bank (ECCB) .	
GCC 12.6	GCC Clause 12.6 applies.	

Securities (GCC Clause 13)

GCC 13.3.1	The Performance Security shall be denominated in the currency of the Contract for an amount equal to ten (10) percent of the Contract Price, excluding any Recurrent Costs.
GCC 13.3.4	During the Warranty Period (i.e., after Operational Acceptance of the System), the Performance Security shall be reduced to 2.5 percent of the Contract Price, excluding any Recurrent Costs.

D. INTELLECTUAL PROPERTY

Copyright (GCC Clause 15)

GCC 15.3	The Purchaser can transfer to legally constituted successor organization or Government organization.
GCC 15.4	The ownership of the source code, configuration DLLs, and the related elements for all the customizations on and above the standard software to meet ABC system application requirements as described in the Section VII, Sub-Section B. Functional, Architectural and Performance Requirements shall vest with the Purchaser.
GCC 15.5	There are no Special Conditions of Contract applicable to GCC Clause 15.5.

Software License Agreements (GCC Clause 16)

GCC 16.1 (a) (iv)	All the software shall be with perpetual license agreement.
GCC 16.1 (b) (vi)	<p>(a) All licenses for “all-modules” shall be perpetual, concurrent, of fixed price. Any proposed chart of licensing must not foresee additional charges and any other restrictions after completion of warranty period.</p> <p>(b) "All-modules" means all functionality across the ABC system without limitation other than those configured with system and process designed variably definable privileges relating to end, administrative or system user roles.</p> <p>Access to functionality by any type of user may be controlled by authorisation and authentication controlled by workflow processes and within integrated features of SSO or within ABC system itself and not via licensing controls modular.</p> <p>(c) e-services shall provide access for an unlimited number of users and will not require any payment for separate licenses for this software functionality, either standard or custom.</p> <p>(d) The Proposer must ensure clarity of the per unit price license for their proposed solution and provide separately a per annum support and maintenance amount.: N/A</p>
GCC 16.1 (b) (vii)	There are no Special Conditions of Contract applicable to GCC Clause 16.1 (b) (vii).

GCC 16.2	There are no Special Conditions of Contract applicable to GCC Clause 16.2
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Confidential Information (GCC Clause 17)

GCC 17.1	There are no Special Conditions of Contract applicable to GCC Clause 17.1
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**E. SUPPLY, INSTALLATION, TESTING, COMMISSIONING, AND
ACCEPTANCE OF THE SYSTEM**

Representatives (GCC Clause 18)

GCC 18.1	Project Manager from Purchaser is :- Dr. Jermaine Jewel Jean-Pierre Project Implementation Unit. There are no Special Conditions of Contract applicable to GCC Clause 18.
GCC 18.2	Supplier's Representative is:
GCC 18.2.2	<p>There are no Special Conditions of Contract applicable to GCC Clause 18.2.2</p> <p><i>[Note: If appropriate specify additional powers or limitations.]</i></p> <p>The Supplier's Representative shall have the following additional powers and / or limitations to his or her authority to represent the Supplier in matters relating to the Contract <i>[state necessary and appropriate clauses]</i>.</p> <p><i>[Note: Any additional powers or limitations of the Supplier's Representative will, of necessity, be subject to discussions at Contract finalization and the SCC amended accordingly.]</i></p>

Project Plan (GCC Clause 19)

GCC 19.1	<p>Chapters in the Project Plan shall address the following subject:</p> <ul style="list-style-type: none"> (a) Project Organization and Management Plan, including quality assurance, configuration management, problem escalation and resolution, etc. (b) Systems Development Methodology Plan (c) Delivery and Installation Plan
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	<p>(d) Integration and Data Migration Plan</p> <p>(e) Training Plan</p> <p>(f) Documentation Plan</p> <p>(g) Verification, Validation and Testing Plan</p> <p>(h) Technical Support Plan, including Warranty Services</p> <p>(i) Task, Time, and Resource Schedules;</p> <p>Further details regarding the required contents of each of the above chapters are contained in the Technical Requirements: Section VII.</p>
GCC 19.6	<p>The Supplier shall submit to the Purchaser:</p> <p>(i) monthly inspection and quality assurance report</p> <p>(ii) monthly training participants test results</p> <p>(iii) monthly log of service calls and problem resolutions</p>
GCC 19.7	Cyber security incidents to be immediately reported;

Design and Engineering (GCC Clause 21)

GCC 21.3.1	<p>The Supplier shall prepare and furnish to the Project Manager the documents identified in 2.1 – System Analysis, Design and Customization/Development in C. Services Specifications – Supply and Install Items of Section VII – Purchaser’s Requirements for which the Supplier must obtain the Project Manager’s approval before proceeding with work on the system or any subsystem covered by these documents.</p>
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Product Upgrades (GCC Clause 23)

GCC 23.4	<p>There are no Special Conditions of Contract applicable to GCC Clause 23.4.</p>
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Inspections and Tests (GCC Clause 25)

GCC 25	<p>There are no Special Conditions of Contract applicable to GCC Clause 25.</p>
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Commissioning and Operational Acceptance (GCC Clause 27)

GCC 27.2.1	There are no Special Conditions of Contract applicable to GCC Clause 27.2.1.
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F. GUARANTEES AND LIABILITIES

Operational Acceptance Time Guarantee (GCC Clause 28)

GCC 28.2	One half of one percent (0.5%) per week and ten percent (10%) of the total.
GCC 28.3	It also applies to other failure on the part of supplier as per Technical Requirement. It will be further detailed at contract negotiation.

Defect Liability (GCC Clause 29)

GCC 29.1	There are no Special Conditions of Contract applicable to GCC Clause 29.1.
GCC 29.4	There are no Special Conditions of Contract applicable to GCC Clause 29.4. Defect Liability (Warranty) period is 36 Months.
GCC 29.10	There are no Special Conditions of Contract applicable to GCC Clause 29.10.

Functional Guarantees (GCC Clause 30)

GCC 30	There are no Special Conditions of Contract applicable to GCC Clause 30.
GCC 32	There are no Special Conditions of Contract applicable to GCC Clause 32.

G. RISK DISTRIBUTION

Insurances (GCC Clause 37)

GCC 37.1 (c)	The Supplier shall obtain Third-Party Liability Insurance in the amount equivalent to the contract with deductible limits of no more than USD 10,000. The insured Parties shall be <i>in the name of the Client and the Supplier</i>. The Insurance shall cover the period from beginning date,
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	relative to the Effective Date of the Contract until expiration date, relative to the Effective Date of the Contract or its completion.
GCC 37.1 (e)	<i>There are no Special Conditions of Contract applicable to GCC Clause 37.1 (e).</i>

H. CHANGE IN CONTRACT ELEMENTS

Changes to the System (GCC Clause 39)

GCC 39.2.1	“(f) sufficient information to enable assessment of cyber security risks.”
GCC 39.4	Value Engineering The Purchaser will not consider a Value Engineering Proposal.

I. SETTLEMENT OF DISPUTES

Settlement of Disputes (GCC Clause 43)

GCC 43.1.4	The Appointing Authority for the Adjudicator is: the Eastern Caribbean Supreme Court.
GCC 43.2.3	If the Supplier is from outside the Purchaser’s Country arbitration proceedings shall be conducted in accordance with the rules of arbitration of UNCITRAL . These rules, in the version in force at the time of the request for arbitration, will be deemed to form part of this Contract. If the Supplier is a national of the Purchaser’s Country, any dispute between the Purchaser and a Supplier arising in connection with the present Contract shall be referred to arbitration in accordance with the laws of the Purchaser’s country.

J. CYBER SECURITY

Cyber Security (GCC Clause 44)

GCC 44.1	Cyber Security applies.
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SECTION X - CONTRACT FORMS

Notes to the Purchaser on preparing the Contract Forms

Performance Security: Pursuant to GCC Clause 13.3, the successful Proposer is required to provide the Performance Security within twenty-eight (28) days of notification of Contract award.

Advance Payment Security: Pursuant to Clause 13.2, the successful Proposer is required to provide a bank guarantee securing the Advance Payment, if the SCC related to GCC Clause 12.1 provides for an Advance Payment.

Installation and Operational Acceptance Certificates: Recommended formats for these certificates are included in this SPD. Unless the Purchaser has good reason to require procedures that differ from those recommended, or to require different wording in the certificates, the procedures and forms shall be included unchanged. If the Purchaser wishes to amend the recommended procedures and/or certificates, it may propose alternatives for the approval of the World Bank before release of the request for proposals document to potential Proposers.

Change Order Procedures and Forms: Similar to the Installation and Operational Acceptance Certificates, the Change Estimate Proposal, Estimate Acceptance, Change Proposal, Change Order, and related Forms should be included in the request for proposals document unaltered. If the Purchaser wishes to amend the recommended procedures and/or certificates, it may propose alternatives for the approval of the World Bank before release of the request for proposals document.

Notes to Proposers on working with the Sample Contractual Forms

The following forms are to be completed and submitted by the successful Proposer following receipt of the Letter of Acceptance from the Purchaser: (i) Contract Agreement, with all Appendices; (ii) Performance Security; and (iii) Advance Payment Security.

- **Contract Agreement:** In addition to specifying the parties and the Contract Price, the Contract Agreement is where the: (i) Supplier Representative; (ii) if applicable, agreed Adjudicator and his/her compensation; and (iii) the List of Approved Subcontractors are specified. In addition, modifications to the successful Proposer's Proposal Price Schedules are attached to the Agreement. These contain corrections and adjustments to the Supplier's Proposal prices to correct errors, adjust the Contract Price to reflect – if applicable - any extensions to Proposal validity beyond the last day of original Proposal validity plus 56 days, etc.
- **Performance Security:** Pursuant to GCC Clause 13.3, the successful Proposer is required to provide the Performance Security in the form

contained in this section of this request for proposals document and in the amount specified in accordance with the SCC.

- Advance Payment Security: Pursuant to GCC Clause 13.2, the successful Proposer is required to provide a bank guarantee for the full amount of the Advance Payment - if an Advance Payment is specified in the SCC for GCC Clause 12.1 - in the form contained in this section of this request for proposals document or another form acceptable to the Purchaser. If a Proposer wishes to propose a different Advance Payment Security form, it should submit a copy to the Purchaser promptly for review and confirmation of acceptability before the proposal submission deadline.

The Purchaser and Supplier will use the following additional forms during Contract implementation to formalize or certify important Contract events: (i) the Installation and Operational Acceptance Certificates; and (ii) the various Change Order forms. These and the procedures for their use during performance of the Contract are included in the request for proposals document for the information of Proposers.

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NOTIFICATION OF INTENTION TO AWARD

[This Notification of Intention to Award shall be sent to each Proposer that submitted a Proposal, unless the Proposer has previously received notice of exclusion from the process Proposer.]

[Send this Notification to the Proposer's Authorized Representative named in the Proposer Information Form]

For the attention of Proposer's Authorized Representative

Name: *[insert Authorized Representative's name]*

Address: *[insert Authorized Representative's Address]*

Telephone/Fax numbers: *[insert Authorized Representative's telephone/fax numbers]*

Email Address: *[insert Authorized Representative's email address]*

[IMPORTANT: insert the date that this Notification is transmitted to all participating Proposers. The Notification must be sent to all Proposers simultaneously. This means on the same date and as close to the same time as possible.]

DATE OF TRANSMISSION: This Notification is sent by: *[specify email / fax]* on *[specify date]* (local time)

Notification of Intention to Award

Purchaser: *[insert the name of the Purchaser]*

Project: *[insert name of project]*

Contract title: *[insert the name of the contract]*

Country: *[insert country where RFP is issued]*

Loan No. /Credit No. / Grant No.: *[insert reference number for loan/credit/grant]*

RFP No: *[insert RFP reference number from Procurement Plan]*

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may:

- a) request a debriefing in relation to the evaluation of your Proposal, and/or
- b) submit a Procurement-related Complaint in relation to the decision to award the contract.

1. The successful Proposer

Name:	[insert name of successful Proposer]
Address:	[insert address of the successful Proposer]
Contract price:	[insert contract price of the successful Proposer]
Total combined score:	[insert the total combined score of the successful Proposer]

2. Other Proposers [INSTRUCTIONS: insert names of all Proposers that submitted a Proposal, Proposal prices as read out and evaluated, technical scores and combined scores.]

Name of Proposer	Technical Score (If applicable)	Proposal price	Evaluated Proposal Cost	Combined Score
[insert name]	[insert Technical score]	[insert Proposal price]	[insert evaluated cost]	[insert combined score]
[insert name]	[insert Technical score]	[insert Proposal price]	[insert evaluated cost]	[insert combined score]
[insert name]	[insert Technical score]	[insert Proposal price]	[insert evaluated cost]	[insert combined score]

3. Reason/s why your Proposal was unsuccessful [Delete if the combined score already reveals the reason]

[INSTRUCTIONS; State the reason/s why this Proposer's Proposal was unsuccessful. Do NOT include: (a) a point by point comparison with another Proposer's Proposal or (b) information that is marked confidential by the Proposer in its Proposal.]

4. How to request a debriefing

DEADLINE: The deadline to request a debriefing expires at midnight on [insert date] (local time).

You may request a debriefing in relation to the results of the evaluation of your Proposal. If you decide to request a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Proposer, contact details; and address the request for debriefing as follows:

Attention: *[insert full name of person, if applicable]*

Title/position: *[insert title/position]*

Agency: *[insert name of Purchaser]*

Email address: *[insert email address]*

Fax number: *[insert fax number or state “not applicable”]*

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

5. How to make a complaint

DEADLINE: The deadline for submitting a Procurement-related Complaint challenging the decision to award the contract expires on midnight, *[insert date]* (local time).

Provide the contract name, reference number, name of the Proposer, contact details; and address the Procurement-related Complaint as follows:

Attention: *[insert full name of person, if applicable]*

Title/position: *[insert title/position]*

Agency: *[insert name of Purchaser]*

Email address: *[insert email address]*

Fax number: *[insert fax number or state “not applicable”]*

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

For more information see the “[Procurement Regulations for IPF Borrowers \(Procurement Regulations\)](#) (Annex III).” You should read these provisions before preparing and submitting your complaint. In addition, the World Bank’s Guidance “[How to make a Procurement-related Complaint](#)” provides a useful explanation of the process, as well as a sample letter of complaint.

In summary, there are four essential requirements:

1. You must be an ‘interested party’. In this case, that means a Proposer who submitted a Proposal in this procurement, and is the recipient of a Notification of Intention to Award.
2. The complaint can only challenge the decision to award the contract.
3. You must submit the complaint within the deadline stated above.
4. You must include, in your complaint, all of the information required by the Procurement Regulations (as described in Annex III).

6. Standstill Period

DEADLINE: The Standstill Period is due to end at midnight on *[insert date]* (local time).

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended. This may happen where we are unable to provide a debriefing within the five (5) Business Day deadline. If this happens, we will notify you of the extension.

If you have any questions regarding this Notification, please do not hesitate to contact us.

On behalf of the Purchaser:

Signature: _____

Title/position: *[insert title/position]*

Agency: *[insert name of Purchaser]*

Email address: *[insert email address]*

Telephone number: *[insert telephone number]*

BENEFICIAL OWNERSHIP DISCLOSURE FORM

INSTRUCTIONS TO PROPOSERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form (“Form”) is to be completed by the successful Proposer. In case of joint venture, the Proposer must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Proposer is any natural person who ultimately owns or controls the Proposer by meeting one or more of the following conditions:

- *directly or indirectly holding 25% or more of the shares*
- *directly or indirectly holding 25% or more of the voting rights*
- *directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Proposer*

RFP No.: *[insert **number of RFP process**]*

Request for Proposals No.: *[insert **identification**]*

To: *[insert **complete name of Purchaser**]*

In response to your request in the Letter of Acceptance dated *[insert date of letter of Acceptance]* to furnish additional information on beneficial ownership: *[select one option as applicable and delete the options that are not applicable]*

(i) we hereby provide the following beneficial ownership information.

Details of beneficial ownership

Identity of Beneficial Owner	Directly or indirectly holding 25% or more of the shares (Yes / No)	Directly or indirectly holding 25 % or more of the Voting Rights (Yes / No)	Directly or indirectly having the right to appoint a majority of the board of the directors or an equivalent governing body of the Proposer (Yes / No)

<i>[include full name (last, middle, first), nationality, country of residence]</i>			
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OR

(ii) *We declare that there is no Beneficial Owner meeting one or more of the following conditions:*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Proposer

OR

(iii) *We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Proposer shall provide explanation on why it is unable to identify any Beneficial Owner]*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Proposer”

Name of the Proposer: **[insert complete name of the Proposer]*

Name of the person duly authorized to sign the Proposal on behalf of the Proposer: ***[insert complete name of person duly authorized to sign the Proposal]*

Title of the person signing the Proposal: *[insert complete title of the person signing the Proposal]*

Signature of the person named above: _____

Date signed *[insert ordinal number]* day of *[insert month]*, *[insert year]*

* In the case of the Proposal submitted by a Joint Venture specify the name of the Joint Venture as Proposer. In the event that the Proposer is a joint venture, each reference to “Proposer” in the Beneficial Ownership Disclosure Form (including this Introduction thereto) shall be read to refer to the joint venture member.

** Person signing the Proposal shall have the power of attorney given by the Proposer. The power of attorney shall be attached with the Proposal Schedules.

LETTER OF ACCEPTANCE

Purchaser: *[insert the name of the Purchaser]*

Project: *[insert name of project]*

Contract title: *[insert the name of the contract]*

Country: *[insert country where RFP is issued]*

Loan No. /Credit No. / Grant No.: *[insert reference number for loan/credit/grant]*

RFP No: *[insert RFP reference number from Procurement Plan]*

Date: *[insert Date]*

To: *[insert Name of Proposer]*

This is to notify you that your Proposal dated *[insert Date]* for execution of the *[insert brief description of the Information System]* for the Contract Price in the aggregate of *[insert amount in figures]* (*[insert amount in words]*), as corrected and modified in accordance with the Instructions to Proposers is hereby accepted by our Agency.

You are requested to furnish (i) the Performance Security within 28 days in accordance with the Conditions of Contract, using for that purpose one of the Performance Security Forms and (ii) the additional information on beneficial ownership in accordance with ITP 47.1 within eight (8) Business days using the Beneficial Ownership Disclosure Form, included in Section X, - Contract Forms, of the request for proposals document.

Authorized Signature: _____

Name and Title of Signatory: *[insert Name and Title]*

Name of Agency: *[insert Purchaser Name]*

Attachment: Contract Agreement

1. CONTRACT AGREEMENT

THIS CONTRACT AGREEMENT is made

the [*insert: ordinal number*] day of [*insert: month*], [*insert: year*].

BETWEEN

- (1) [*insert: Name of Purchaser*], a [*insert: description of type of legal entity, for example, an agency of the Ministry of . . .*] of the Government of [*insert: country of Purchaser*], or corporation incorporated under the laws of [*insert: country of Purchaser*] and having its principal place of business at [*insert: address of Purchaser*] (hereinafter called “the Purchaser”), and
- (2) [*insert: name of Supplier*], a corporation incorporated under the laws of [*insert: country of Supplier*] and having its principal place of business at [*insert: address of Supplier*] (hereinafter called “the Supplier”).

WHEREAS the Purchaser desires to engage the Supplier to supply, install, achieve Operational Acceptance of, and support the following Information System [*insert: brief description of the Information System*] (“the System”), and the Supplier has agreed to such engagement upon and subject to the terms and conditions appearing below in this Contract Agreement.

NOW IT IS HEREBY AGREED as follows:

- | | |
|--------------------|--|
| Article 1. | 1.1 Contract Documents (Reference GCC Clause 1.1 (a) (ii)) |
| Contract Documents | <p>The following documents shall constitute the Contract between the Purchaser and the Supplier, and each shall be read and construed as an integral part of the Contract:</p> <ol style="list-style-type: none"> (a) This Contract Agreement and the Appendices attached to the Contract Agreement (b) Special Conditions of Contract (c) General Conditions of Contract (d) Technical Requirements (including Implementation Schedule) (e) The Supplier’s proposal and original Price Schedules (f) Code of Conduct for Supplier’s Personnel |

(g) [Add here: **any other documents**]

1.2 Order of Precedence (Reference GCC Clause 2)

In the event of any ambiguity or conflict between the Contract Documents listed above, the order of precedence shall be the order in which the Contract Documents are listed in Article 1.1 (Contract Documents) above, provided that Appendix 7 shall prevail over all provisions of the Contract Agreement and the other Appendices attached to the Contract Agreement and all the other Contract Documents listed in Article 1.1 above.

1.3 Definitions (Reference GCC Clause 1)

Capitalized words and phrases used in this Contract Agreement shall have the same meanings as are ascribed to them in the General Conditions of Contract.

Article 2.

Contract Price and
Terms of Payment

2.1 Contract Price (Reference GCC Clause 1.1(a)(viii) and GCC Clause 11)

The Purchaser hereby agrees to pay to the Supplier the Contract Price in consideration of the performance by the Supplier of its obligations under the Contract. The Contract Price shall be the aggregate of: [insert: **amount of foreign currency A in words**], [insert: **amount in figures**], plus [insert: **amount of foreign currency B in words**], [insert: **amount in figures**], plus [insert: **amount of foreign currency C in words**], [insert: **amount in figures**], [insert: **amount of local currency in words**], [insert: **amount in figures**], as specified in the Grand Summary Price Schedule.

The Contract Price shall be understood to reflect the terms and conditions used in the specification of prices in the detailed price schedules, including the terms and conditions of the associated Incoterms, and the taxes, duties and related levies if and as identified.

Article 3.

Effective Date for
Determining Time
for Operational
Acceptance

3.1 Effective Date (Reference GCC Clause 1.1 (e) (ix))

The time allowed for supply, installation, and achieving Operational Acceptance of the System shall be determined from the date when all of the following conditions have been fulfilled:

- (a) This Contract Agreement has been duly executed for and on behalf of the Purchaser and the Supplier;
- (b) The Supplier has submitted to the Purchaser the performance security and the advance payment security, in accordance with GCC Clause 13.2 and GCC Clause 13.3;
- (c) The Purchaser has paid the Supplier the advance payment, in accordance with GCC Clause 12;

Each party shall use its best efforts to fulfill the above conditions for which it is responsible as soon as practicable.

- 3.2 If the conditions listed under 3.1 are not fulfilled within two (2) months from the date of this Contract Agreement because of reasons not attributable to the Supplier, the parties shall discuss and agree on an equitable adjustment to the Contract Price and the Time for Achieving Operational Acceptance and/or other relevant conditions of the Contract.

Article 4. 4.1 The Appendixes listed below shall be deemed to form an integral part of this Contract Agreement.

Appendixes

- 4.2 Reference in the Contract to any Appendix shall mean the Appendixes listed below and attached to this Contract Agreement, and the Contract shall be read and construed accordingly.

APPENDIXES

- Appendix 1. Supplier's Representative
- Appendix 2. Adjudicator [*if there is no Adjudicator, state "not applicable"*]
- Appendix 3. List of Approved Subcontractors
- Appendix 4. Categories of Software
- Appendix 5. Custom Materials
- Appendix 6. Revised Price Schedules (if any)
- Appendix 7. Minutes of Contract Finalization Discussions and Agreed-to Contract Amendments

IN WITNESS WHEREOF the Purchaser and the Supplier have caused this Agreement to be duly executed by their duly authorized representatives the day and year first above written.

For and on behalf of the Purchaser

Signed:

in the capacity of [*insert: title or other appropriate designation*]

in the presence of

For and on behalf of the Supplier

Signed:

in the capacity of [*insert: title or other appropriate designation*]

in the presence of

CONTRACT AGREEMENT

dated the [*insert: number*] day of [*insert: month*], [*insert: year*]

BETWEEN

[*insert: name of Purchaser*], “the Purchaser”

and

[*insert: name of Supplier*], “the Supplier”

Appendix 1. Supplier's Representative

In accordance with GCC Clause 1.1 (b) (iv), the Supplier's Representative is:

Name: *[insert: name and provide title and address further below, or state "to be nominated within fourteen (14) days of the Effective Date"]*

Title: *[if appropriate, insert: title]*

In accordance with GCC Clause 4.3, the Supplier's addresses for notices under the Contract are:

Address of the Supplier's Representative: *[as appropriate, insert: personal delivery, postal, cable, facsimile, electronic mail, and/or EDI addresses.]*

Fallback address of the Supplier: *[as appropriate, insert: personal delivery, postal, cable, facsimile, electronic mail, and/or EDI addresses.]*

Appendix 2. Adjudicator

In accordance with GCC Clause 1.1 (b) (vi), the agreed-upon Adjudicator is:

Name: [*insert: name*]

Title: [*insert: title*]

Address: [*insert: postal address*]

Telephone: [*insert: telephone*]

In accordance with GCC Clause 43.1.3, the agreed-upon fees and reimbursable expenses are:

Hourly Fees: [*insert: hourly fees*]

Reimbursable Expenses: [*list: reimbursables*]

Pursuant to GCC Clause 43.1.4, if at the time of Contract signing, agreement has not been reached between the Purchaser and the Supplier, an Adjudicator will be appointed by the Appointing Authority named in the SCC.

Appendix 3. List of Approved Subcontractors

The Purchaser has approved use of the following Subcontractors nominated by the Supplier for carrying out the item or component of the System indicated. Where more than one Subcontractor is listed, the Supplier is free to choose between them, but it must notify the Purchaser of its choice sufficiently in advance of the time when the subcontracted work needs to commence to give the Purchaser reasonable time for review. In accordance with GCC Clause 20.1, the Supplier is free to submit proposals for Subcontractors for additional items from time to time. No subcontracts shall be placed with any such Subcontractors for additional items until the Subcontractors have been approved in writing by the Purchaser and their names have been added to this list of Approved Subcontractors, subject to GCC Clause 20.3.

[specify: item, approved Subcontractors, and their place of registration that the Supplier proposed in the corresponding attachment to its proposal and that the Purchaser approves that the Supplier engage during the performance of the Contract. Add additional pages as necessary.]

Item	Approved Subcontractors	Place of Registration

Appendix 5. Custom Materials

The follow table specifies the Custom Materials the Supplier will provide under the Contract.

Custom Materials
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>

Appendix 6. Revised Price Schedules

The attached Revised Price Schedules (if any) shall form part of this Contract Agreement and, where differences exist, shall supersede the Price Schedules contained in the Supplier's Proposal. These Revised Price Schedules reflect any corrections or adjustments to the Supplier's proposal price, pursuant to the ITP Clauses 30.3 and 38.2.

Appendix 7. Minutes of Contract Finalization Discussions and Agreed-to Contract Amendments

The attached Contract amendments (if any) shall form part of this Contract Agreement and, where differences exist, shall supersede the relevant clauses in the GCC, SCC, Technical Requirements, or other parts of this Contract as defined in GCC Clause 1.1 (a) (ii).

2. PERFORMANCE AND ADVANCE PAYMENT SECURITY FORMS

2.1 Performance Security Form (Bank Guarantee) (Bank Guarantee)

[The bank, as requested by the successful Proposer, shall fill in this form in accordance with the instructions indicated]

[Guarantor letterhead or SWIFT identifier code]

*[insert: **Bank's Name, and Address of Issuing Branch or Office**]*

Beneficiary: *[insert: **Name and Address of Purchaser**]*

Date: *[insert: **date**]*

PERFORMANCE GUARANTEE No.: *[insert: **Performance Guarantee Number**]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that on *[insert: **date of award**]* you awarded Contract No. *[insert: **Contract number**]* for *[insert: **title and/or brief description of the Contract**]* (hereinafter called "the Contract") to *[insert: **complete name of Supplier which in the case of a joint venture shall be in the name of the joint venture**]* (hereinafter called "the Applicant"). Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant, we as Guarantor hereby irrevocably undertake to pay you any sum(s) not exceeding *[insert: **amount(s)¹ in figures and words**]* such sum being payable in the types and proportions of currencies which the Contract Price is payable upon receipt by us of the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the contract without the Beneficiary needing to prove or to show grounds or reasons for their demand or the sum specified therein.

On the date of your issuing, to the Supplier, the Operational Acceptance Certificate for the System, the value of this guarantee will be reduced to any sum(s) not exceeding *[insert: **amount(s)¹ in figures and words**]*. This remaining guarantee shall expire no later than *[insert: **number and select: of months/of years** (of the Warranty Period that needs to be covered by the remaining*

¹ The bank shall insert the amount(s) specified and denominated in the SCC for GCC Clauses 13.3.1 and 13.3.4 respectively, either in the currency(ies) of the Contract or a freely convertible currency acceptable to the Purchaser.

guarantee)] from the date of the Operational Acceptance Certificate for the System,¹ and any demand for payment under it must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees, (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under 15 (a) is hereby excluded.

[Signature(s)]

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]

¹ *In this sample form, the formulation of this paragraph reflects the usual SCC provisions for GCC Clause 13.3. However, if the SCC for GCC Clauses 13.3.1 and 13.3.4 varies from the usual provisions, the paragraph, and possibly the previous paragraph, need to be adjusted to precisely reflect the provisions specified in the SCC.*

2.2 Advance Payment Security Bank Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: *[insert: Name and Address of Purchaser]*

Date: *[insert date of issue]*

ADVANCE PAYMENT GUARANTEE No.: *[insert: Advance Payment Guarantee Number]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that on *[insert: date of award]* you awarded Contract No. *[insert: Contract number]* for *[insert: title and/or brief description of the Contract]* (hereinafter called "the Contract") to *[insert: complete name of Supplier, which in the case of a joint venture shall be the name of the joint venture]* (hereinafter called "the Applicant").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of *[insert: amount in numbers and words, for each currency of the advance payment]* is to be made to the Supplier against an advance payment guarantee.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of *[insert amount in figures]* (*[insert amount in words]*)¹ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating either that the Applicant:

- (a) has used the advance payment for purposes other than toward delivery of Goods; or
- (b) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the advance payment referred to above has been

¹ *The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Purchaser.*

credited to the Applicant on its account number *[insert number]* at *[insert name and address of Applicant's bank]*.

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as specified in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that ninety (90) percent of the Accepted Contract Amount, has been certified for payment, or on the *[insert day]* day of *[insert month]*, *[insert year]*, whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No.758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]

3. INSTALLATION AND ACCEPTANCE CERTIFICATES

3. Installation and Acceptance Certificates

3.1 Installation Certificate

Date: [insert: **date**]

Loan/Credit Number: [insert: **loan or credit number from RFP**]

RFP: [insert: **title and number of RFP**]

Contract: [insert: **name and number of Contract**]

To: [insert: **name and address of Supplier**]

Dear Sir or Madam:

Pursuant to GCC Clause 26 (Installation of the System) of the Contract entered into between yourselves and the [insert: **name of Purchaser**] (hereinafter the “Purchaser”) dated [insert: **date of Contract**], relating to the [insert: **brief description of the Information System**], we hereby notify you that the System (or a Subsystem or major component thereof) was deemed to have been correctly installed on the date specified below.

1. Description of the System (or relevant Subsystem or major component: [insert: **description**]
2. Date of Installation: [insert: **date**]

Notwithstanding the above, you are required to complete the outstanding items listed in the attachment to this certificate as soon as practicable. This letter shall not relieve you of your obligation to achieve Operational Acceptance of the System in accordance with the Contract nor of your obligations during the Warranty Period.

For and on behalf of the Purchaser

Signed:

Date:

in the capacity of: [state: **“Project Manager”** or specify a higher level authority in the Purchaser’s organization]

3.2 Operational Acceptance Certificate

Date: [insert: **date**]

Loan/Credit Number: [insert: **loan or credit number from RFP**]

RFP: [insert: **title and number of RFP**]

Contract: [insert: **name of System or Subsystem and number of Contract**]

To: [insert: **name and address of Supplier**]

Dear Sir or Madam:

Pursuant to GCC Clause 27 (Commissioning and Operational Acceptance) of the Contract entered into between yourselves and the [insert: **name of Purchaser**] (hereinafter the “Purchaser”) dated [insert: **date of Contract**], relating to the [insert: **brief description of the Information System**], we hereby notify you the System (or the Subsystem or major component identified below) successfully completed the Operational Acceptance Tests specified in the Contract. In accordance with the terms of the Contract, the Purchaser hereby takes over the System (or the Subsystem or major component identified below), together with the responsibility for care and custody and the risk of loss thereof on the date mentioned below.

1. Description of the System (or Subsystem or major component): [insert: **description**]
2. Date of Operational Acceptance: [insert: **date**]

This letter shall not relieve you of your remaining performance obligations under the Contract nor of your obligations during the Warranty Period.

For and on behalf of the Purchaser

Signed: _____

Date: [insert: **date**]

in the capacity of: [state: **“Project Manager”** or specify a higher level authority in the Purchaser’s organization]

4. CHANGE ORDER PROCEDURES AND FORMS

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name or System or Subsystem and number of Contract*]

General

This section provides samples of procedures and forms for carrying out changes to the System during the performance of the Contract in accordance with GCC Clause 39 (Changes to the System) of the Contract.

Change Order Log

The Supplier shall keep an up-to-date Change Order Log to show the current status of Requests for Change and Change Orders authorized or pending. Changes shall be entered regularly in the Change Order Log to ensure that the log is kept up-to-date. The Supplier shall attach a copy of the current Change Order Log in the monthly progress report to be submitted to the Purchaser.

References to Changes

- (1) Request for Change Proposals (including Application for Change Proposals) shall be serially numbered CR-nnn.
- (2) Change Estimate Proposals shall be numbered CN-nnn.
- (3) Estimate Acceptances shall be numbered CA-nnn.
- (4) Change Proposals shall be numbered CP-nnn.
- (5) Change Orders shall be numbered CO-nnn.

On all forms, the numbering shall be determined by the original CR-nnn.

Annexes

- 4.1 Request for Change Proposal Form
- 4.2 Change Estimate Proposal Form
- 4.3 Estimate Acceptance Form
- 4.4 Change Proposal Form
- 4.5 Change Order Form
- 4.6 Application for Change Proposal Form

4.1 Request for Change Proposal Form

(Purchaser's Letterhead)

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name of System or Subsystem or number of Contract*]

To: [*insert: name of Supplier and address*]

Attention: [*insert: name and title*]

Dear Sir or Madam:

With reference to the above-referenced Contract, you are requested to prepare and submit a Change Proposal for the Change noted below in accordance with the following instructions within [*insert: number*] days of the date of this letter.

1. Title of Change: [*insert: title*]
2. Request for Change No./Rev.: [*insert: number*]
3. Originator of Change: [*select Purchaser / Supplier (by Application for Change Proposal), and add: name of originator*]
4. Brief Description of Change: [*insert: description*]
5. System (or Subsystem or major component affected by requested Change): [*insert: description*]
6. Technical documents and/or drawings for the request of Change:

Document or Drawing No.	Description
-------------------------	-------------
7. Detailed conditions or special requirements of the requested Change: [*insert: description*]
8. Procedures to be followed:
 - (a) Your Change Proposal will have to show what effect the requested Change will have on the Contract Price.
 - (b) Your Change Proposal shall explain the time it will take to complete the requested Change and the impact, if any, it will have on the date when Operational Acceptance of the entire System agreed in the Contract.

- (c) If you believe implementation of the requested Change will have a negative impact on the quality, operability, or integrity of the System, please provide a detailed explanation, including other approaches that might achieve the same impact as the requested Change.
 - (d) You should also indicate what impact the Change will have on the number and mix of staff needed by the Supplier to perform the Contract.
 - (e) You shall not proceed with the execution of work related to the requested Change until we have accepted and confirmed the impact it will have on the Contract Price and the Implementation Schedule in writing.
9. As next step, please respond using the Change Estimate Proposal form, indicating how much it will cost you to prepare a concrete Change Proposal that will describe the proposed approach for implementing the Change, all its elements, and will also address the points in paragraph 8 above pursuant to GCC Clause 39.2.1. Your Change Estimate Proposal should contain a first approximation of the proposed approach, and implications for schedule and cost, of the Change.

For and on behalf of the Purchaser

Signed:

Date:

in the capacity of: [state: **“Project Manager”** or specify a higher level authority in the Purchaser’s organization]

4.2 Change Estimate Proposal Form

(Supplier's Letterhead)

Date: [insert: **date**]

Loan/Credit Number: [insert: **loan or credit number from RFP**]

RFP: [insert: **title and number of RFP**]

Contract: [insert: **name of System or Subsystem and number of Contract**]

To: [insert: **name of Purchaser and address**]

Attention: [insert: **name and title**]

Dear Sir or Madam:

With reference to your Request for Change Proposal, we are pleased to notify you of the approximate cost of preparing the below-referenced Change in accordance with GCC Clause 39.2.1 of the Contract. We acknowledge that your agreement to the cost of preparing the Change Proposal, in accordance with GCC Clause 39.2.2, is required before we proceed to prepare the actual Change Proposal including a detailed estimate of the cost of implementing the Change itself.

1. Title of Change: [insert: **title**]
2. Request for Change No./Rev.: [insert: **number**]
3. Brief Description of Change (including proposed implementation approach): [insert: **description**]
4. Schedule Impact of Change (initial estimate): [insert: **description**]
5. Initial Cost Estimate for Implementing the Change: [insert: **initial cost estimate**]
6. Cost for Preparation of Change Proposal: [insert: **cost in the currencies of the Contract**], as detailed below in the breakdown of prices, rates, and quantities.

For and on behalf of the Supplier

Signed:

Date:

in the capacity of: [state: **"Supplier's Representative"** or specify a other higher level authority in the Supplier's organization]

4.3 Estimate Acceptance Form

(Purchaser's Letterhead)

Date: [insert: *date*]

Loan/Credit Number: [insert: *loan or credit number from RFP*]

RFP: [insert: *title and number of RFP*]

Contract: [insert: *name of System or Subsystem and number of Contract*]

To: [insert: *name of Supplier and address*]

Attention: [insert: *name and title*]

Dear Sir or Madam:

We hereby accept your Change Estimate and agree that you should proceed with the preparation of a formal Change Proposal.

1. Title of Change: [insert: *title*]
2. Request for Change No./Rev.: [insert: *request number / revision*]
3. Change Estimate Proposal No./Rev.: [insert: *proposal number / revision*]
4. Estimate Acceptance No./Rev.: [insert: *estimate number / revision*]
5. Brief Description of Change: [insert: *description*]
6. Other Terms and Conditions: [insert: *other terms and conditions*]

In the event that we decide not to order the Change referenced above, you shall be entitled to compensation for the cost of preparing the Change Proposal up to the amount estimated for this purpose in the Change Estimate Proposal, in accordance with GCC Clause 39 of the General Conditions of Contract.

For and on behalf of the Purchaser

Signed:

Date:

in the capacity of: [state: **“Project Manager”** or specify a higher level authority in the Purchaser's organization]

4.4 Change Proposal Form

(Supplier's Letterhead)

Date: [insert: **date**]

Loan/Credit Number: [insert: **loan or credit number from RFP**]

RFP: [insert: **title and number of RFP**]

Contract: [insert: **name of System or Subsystem and number of Contract**]

To: [insert: **name of Purchaser and address**]

Attention: [insert: **name and title**]

Dear Sir or Madam:

In response to your Request for Change Proposal No. [insert: **number**], we hereby submit our proposal as follows:

1. Title of Change: [insert: **name**]
2. Change Proposal No./Rev.: [insert: **proposal number/revision**]
3. Originator of Change: [select: **Purchaser / Supplier**; and add: **name**]
4. Brief Description of Change: [insert: **description**]
5. Reasons for Change: [insert: **reason**]
6. The System Subsystem, major component, or equipment that will be affected by the requested Change: [insert: **description**]
7. Technical documents and/or drawings for the requested Change:
Document or Drawing No. Description
8. Estimate of the increase/decrease to the Contract Price resulting from the proposed Change: [insert: **amount in currencies of Contract**], as detailed below in the breakdown of prices, rates, and quantities.
Total lump sum cost of the Change:
Cost to prepare this Change Proposal (i.e., the amount payable if the Change is not accepted, limited as provided by GCC Clause 39.2.6):
9. Additional Time for Achieving Operational Acceptance required due to the Change: [insert: **amount in days / weeks**]

10. Effect on the Functional Guarantees: [*insert: description*]
11. Effect on the other terms and conditions of the Contract: [*insert: description*]
12. Validity of this Proposal: for a period of [*insert: number*] days after receipt of this Proposal by the Purchaser
13. Procedures to be followed:
 - (a) You are requested to notify us of your acceptance, comments, or rejection of this detailed Change Proposal within [*insert: number*] days from your receipt of this Proposal.
 - (b) The amount of any increase and/or decrease shall be taken into account in the adjustment of the Contract Price.

For and on behalf of the Supplier

Signed:

Date:

in the capacity of: [*state: “Supplier’s Representative” or specify a other higher level authority in the Supplier’s organization*]

4.5 Change Order Form

(Purchaser's Letterhead)

Date: [insert: *date*]

Loan/Credit Number: [insert: *loan or credit number from RFP*]

RFP: [insert: *title and number of RFP*]

Contract: [insert: *name of System or Subsystem and number of Contract*]

To: [insert: *name of Supplier and address*]

Attention: [insert: *name and title*]

Dear Sir or Madam:

We hereby approve the Change Order for the work specified in Change Proposal No. [insert: *number*], and agree to adjust the Contract Price, Time for Completion, and/or other conditions of the Contract in accordance with GCC Clause 39 of the Contract.

1. Title of Change: [insert: *name*]
2. Request for Change No./Rev.: [insert: *request number / revision*]
3. Change Order No./Rev.: [insert: *order number / revision*]
4. Originator of Change: [select: *Purchaser / Supplier*; and add: *name*]
5. Authorized Price for the Change:

Ref. No.: [insert: *number*]

Date: [insert: *date*]

[insert: *amount in foreign currency A*] plus [insert: *amount in foreign currency B*]
plus [insert: *amount in foreign currency C*] plus [insert: *amount in local currency*]

6. Adjustment of Time for Achieving Operational Acceptance: [insert: *amount and description of adjustment*]
7. Other effects, if any: [state: *"none" or insert description*]

For and on behalf of the Purchaser

Signed: _____

Date: [insert *date*]

in the capacity of: [state: *"Project Manager" or higher level authority in the Purchaser's organization*]

For and on behalf of the Supplier

Signed: _____

Date: [insert *date*]

in the capacity of: [state **“Supplier’s Representative”** or specify a higher level authority in the Supplier’s organization]

4.6 Application for Change Proposal Form

(Supplier's Letterhead)

Date: [insert: **date**]

Loan/Credit Number: [insert: **loan or credit number from RFP**]

RFP: [insert: **title and number of RFP**]

Contract: [insert: **name of System or Subsystem and number of Contract**]

To: [insert: **name of Purchaser and address**]

Attention: [insert: **name and title**]

Dear Sir or Madam:

We hereby propose that the below-mentioned work be treated as a Change to the System.

1. Title of Change: [insert: **name**]
2. Application for Change Proposal No./Rev.: [insert: **number / revision**] dated: [insert: **date**]
3. Brief Description of Change: [insert: **description**]
4. Reasons for Change: [insert: **description**]
5. Order of Magnitude Estimation: [insert: **amount in currencies of the Contract**]
6. Schedule Impact of Change: [insert: **description**]
7. Effect on Functional Guarantees, if any: [insert: **description**]
8. Appendix: [insert: **titles (if any); otherwise state "none"**]

For and on behalf of the Supplier

Signed:

Date:

in the capacity of: [state: **"Supplier's Representative"** or specify a higher level authority in the Supplier's organization]